# Matrices of Linear Transformations

Geometric Algorithms Lecture 9

#### Practice Problem

$$T\left(\begin{bmatrix}1\\0\end{bmatrix}\right) = 9 \qquad \qquad T\left(\begin{bmatrix}0\\1\end{bmatrix}\right) = 2$$

Suppose that T is a linear transformation with the above input-output behavior.

What is the domain of T? What is the codomain of T?

What is the value of 
$$T\left(\begin{vmatrix} 2\\ -3 \end{vmatrix}\right)$$
?

domain:

codomnin: D

$$\begin{bmatrix} 2 \\ -3 \end{bmatrix} = 2 \begin{bmatrix} 1 \\ 0 \end{bmatrix} + (-3) \begin{bmatrix} 0 \\ 1 \end{bmatrix}$$
 Span all of 
$$\mathbb{Z}^2$$

$$T\left(\begin{bmatrix}23\\-3\end{bmatrix}\right)=T\left(2\begin{bmatrix}1\\1\\1\end{bmatrix}+(-3)\begin{bmatrix}0\\1\end{bmatrix}\right)$$

$$= Z T((-3)+(-3)T((-3))$$

$$= 2(9) + (-3)(2) = 12$$

$$T\left(\begin{bmatrix}1\\0\end{bmatrix}\right) = 9 \qquad T\left(\begin{bmatrix}0\\1\end{bmatrix}\right) = 2$$

## Objectives

- 1. Look at more examples of linear transformations
- 2. Show that matrix transformations and linear transformations are really the same thing
- 3. See more the geometry of linear transformations
- 4. Relate the properties of matrix equations to properties of linear transformations

#### Keywords

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matrix of a linear transformation
standard basis vectors (standard coordinate vectors)
2D linear transformations
the unit square
one-to-one
onto
```

## Recap

#### Recall: Matrices as Transformations

Matrices allow us to transform vectors.

The transformed vector lies in the span of its columns.

$$X \mapsto AX$$

map a vector  $\mathbf{x}$  to the vector  $A\mathbf{v}$ 

#### Recall: Transformation of a Matrix

The *transformation of a*  $(m \times n)$  *matrix* A is the function  $T: \mathbb{R}^n \to \mathbb{R}^m$  such that

$$T(\mathbf{y}) = A\mathbf{y}$$

given v, return A multiplied by v

## Recall: Motivating Questions

What kind of functions can we define in this way?

How do we interpret what the transformation does to a set of vectors?

How does this relate back to matrix equations?

#### Recall: Linear Transformations

**Definition.** A transformation  $T: \mathbb{R}^m \to \mathbb{R}^n$  is **linear** if it satisfies the following two properties.

1. 
$$T(\mathbf{u} + \mathbf{v}) = T(\mathbf{u}) + T(\mathbf{v})$$
 (additivity)

2. 
$$T(c\mathbf{v}) = cT(\mathbf{v})$$
 (homogeneity)

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 (homogeneity)

Matrix transformations are linear transformations.

## Properties of Linear Transformations

$$T(0) = ???$$

$$T(0) = 0$$

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The zero vector is *fixed* by linear transformations.

$$T(\mathbf{0}) = \mathbf{0}$$

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Note: These may be different dimensions!

The zero vector is *fixed* by linear transformations.

$$T(\bar{0}) = T(\bar{0}\bar{1})$$

$$= O(T(\bar{1})) \text{ (by homogeneily)}$$

$$= \bar{3}$$

$$T(a\mathbf{v} + b\mathbf{u}) = aT(\mathbf{v}) + bT(\mathbf{u})$$

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=  $T(a\mathbf{v}) + T(b\mathbf{u})$  (additivity)  
=  $aT(\mathbf{v}) + bT(\mathbf{u})$  (homogeneity for each term)

**Theorem.** A transformation  $T: \mathbb{R}^m \to \mathbb{R}^n$  is linear if and only if for any vectors  $\mathbf{u}$  and  $\mathbf{v}$  in  $\mathbb{R}^m$  and any real numbers a and b,

$$T(a\mathbf{u} + b\mathbf{v}) = aT(\mathbf{u}) + bT(\mathbf{v})$$

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$$T(a\mathbf{u} + b\mathbf{v}) = aT(\mathbf{u}) + bT(\mathbf{v})$$

It's often easiest to show this single condition.

#### Linear Combinations

$$T(a_1\mathbf{v}_1 + a_2\mathbf{v}_2 + \dots + a_n\mathbf{v}_n) = a_1T(\mathbf{v}_1) + a_2T(\mathbf{v}_2) + \dots + a_nT(\mathbf{v}_n)$$

We can generalize this condition to any linear combination.

#### Linear Combinations

$$T\left(\sum_{i=1}^{n} a_i \mathbf{v}_i\right) = \sum_{i=1}^{n} a_i T(\mathbf{v}_i)$$

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We can generalize this condition to any linear combination.

This is the most useful form.

## Example: Identity

$$T(\mathbf{v}) = \mathbf{v}$$

$$T(\vec{r} + \vec{u}) = \vec{r} + \vec{v}$$

$$= T(\vec{r}) + T(\vec{v})$$

$$T(c\vec{v}) = c\vec{v} = cT(\vec{v}) \checkmark$$

## Example: Zero

$$T(\mathbf{v}) = \mathbf{0}$$

$$T(a\vec{v} + b\vec{u}) = \vec{0}$$

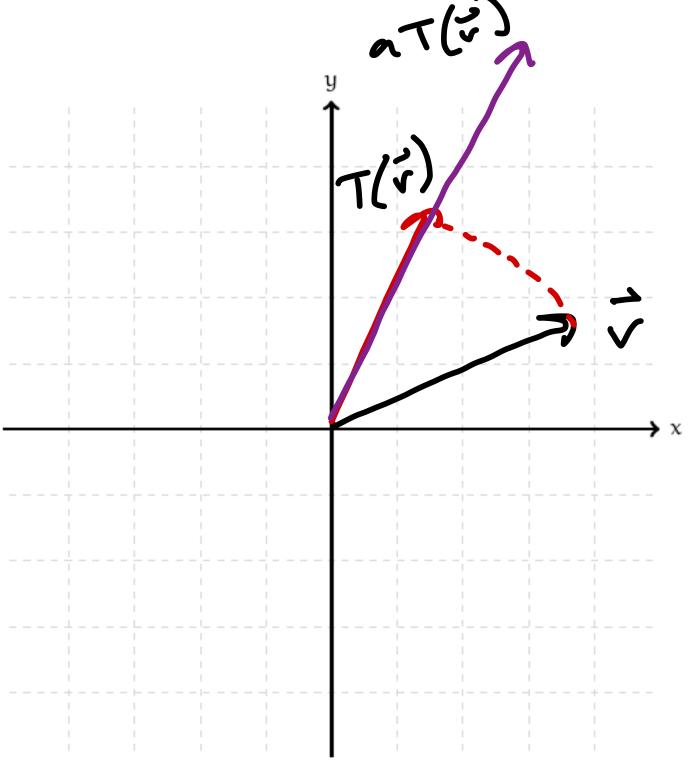
$$= \vec{0} + \vec{0}$$

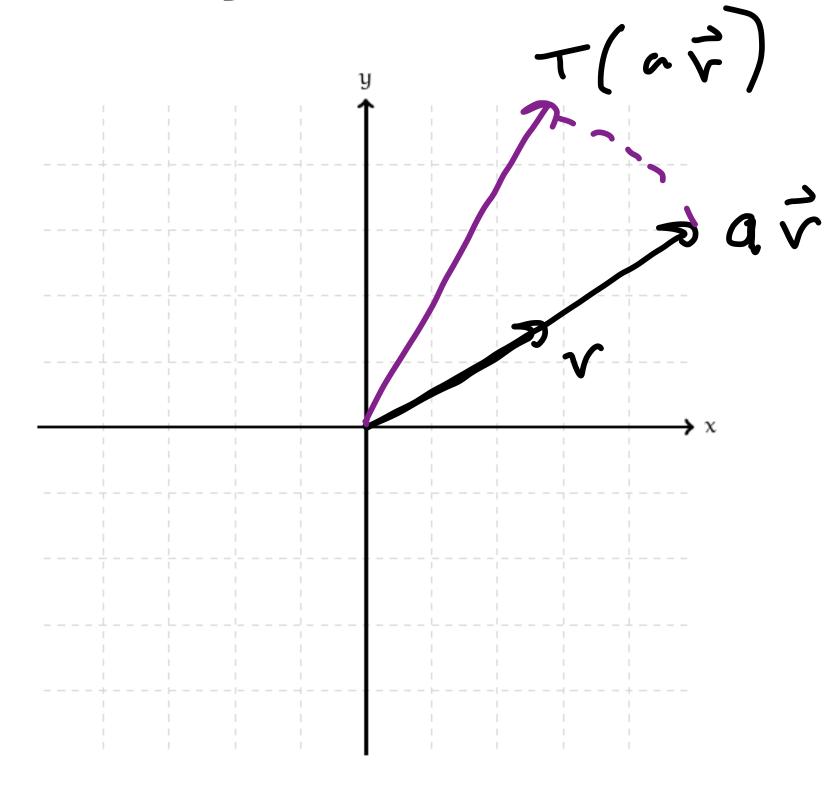
$$= a\vec{0} + b\vec{0}$$

$$= aT(\vec{v}) + bT(\vec{u})$$

## Example: Rotation

We'll see this on Thursday, but we can reason about it geometrically for now.





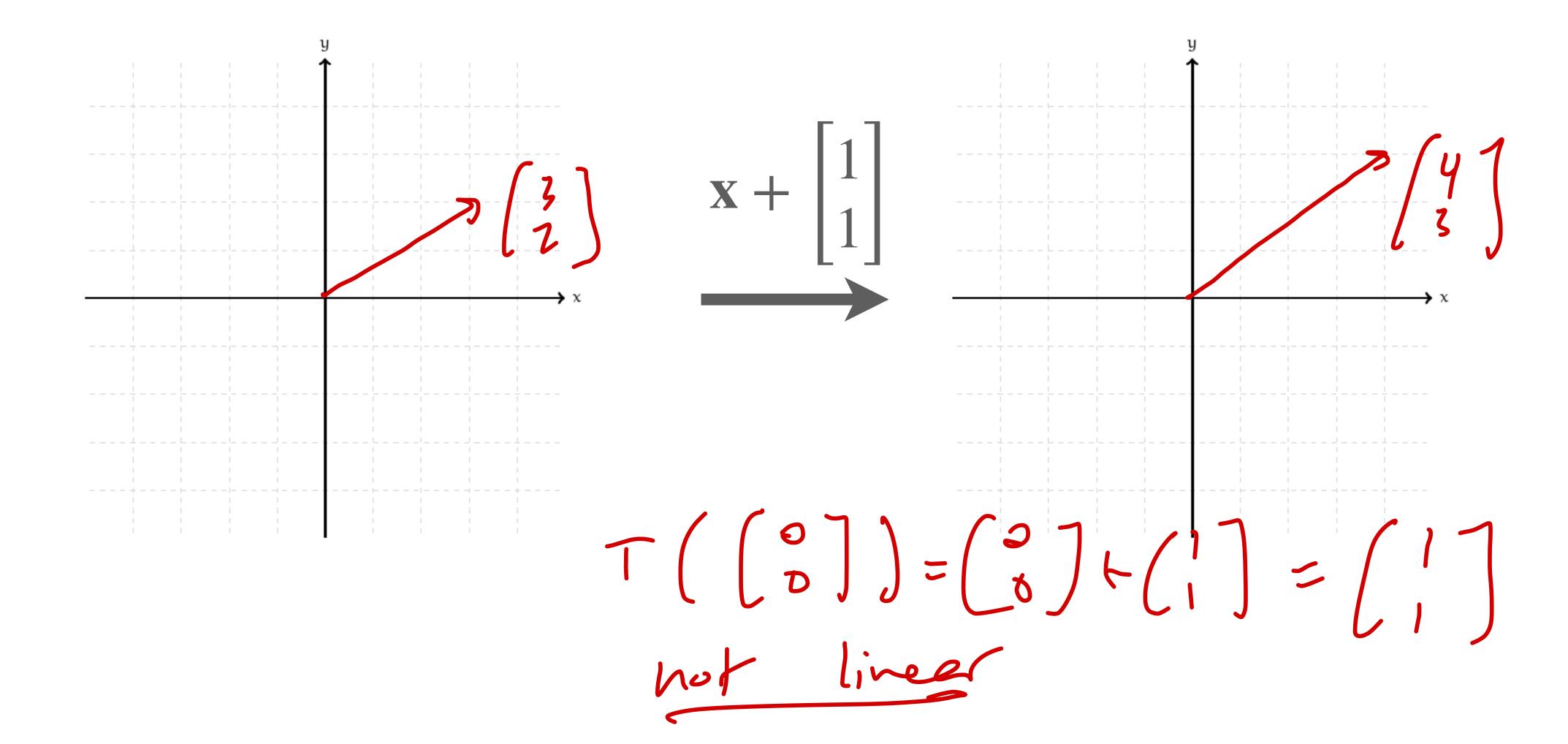
#### Non-Example: Squares

$$T(x) = x^{2}$$
Note that  $T: \mathbb{R}^{1} \to \mathbb{R}^{1}$ 

$$T(5(1)) = 25$$

$$S(T(1)) = 5$$
does not satisfy
homogeneity

#### Non-Example: Translation



## Example (Understanding Check)

$$T(\mathbf{v}) = 5\mathbf{v}$$

$$T(\vec{v} + \vec{u}) = 5(\vec{v} + \vec{v})$$

$$= 5\vec{v} + 5\vec{u}$$

$$= T(\vec{v}) + T(\vec{u})$$
exercise: homogeneiny

## Example (Understanding Check)

$$T(x) = e^x$$

## Properties of Linear Transformations

$$T(0) = ???$$

$$T(0) = 0$$

## The Zero Vector

$$T(0) = 0$$

The zero vector is *fixed* by linear transformations. It can't move anywhere.

## The Zero Vector

Note: These may be different dimensions!

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### Verification

any matrix transformation:

rotation about the origin:

translation (non-example):

$$T(a\mathbf{v} + b\mathbf{u}) = aT(\mathbf{v}) + bT(\mathbf{u})$$

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$$T(a\mathbf{v} + b\mathbf{u})$$

$$= T(a\mathbf{v}) + T(b\mathbf{u})$$
 (by additivity)

 $T(a\mathbf{v} + b\mathbf{u})$ 

 $= aT(\mathbf{v}) + bT(\mathbf{u})$ 

$$T(a\mathbf{v} + b\mathbf{u}) = aT(\mathbf{v}) + bT(\mathbf{u})$$

(by homogeneity for each term)

$$= T(a\mathbf{v}) + T(b\mathbf{u})$$
 (by additivity)

**Theorem.** A transformation  $T: \mathbb{R}^m \to \mathbb{R}^n$  is linear if and only if for any vectors  $\mathbf{u}$  and  $\mathbf{v}$  in  $\mathbb{R}^m$  and any real numbers a and b,

$$T(a\mathbf{u} + b\mathbf{v}) = aT(\mathbf{u}) + bT(\mathbf{v})$$

# Example (Again)

$$T(\mathbf{v}) = 5\mathbf{v}$$

## Linear Combinations

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We can generalize this condition to any linear combination.

This is the most useful form.

We know that matrix transformations are linear transformations.

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Are there any other kinds of linear transformations?

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### Matrix of a Linear Transformation

**Theorem.** A transformation T is linear if and only if there is a matrix whose corresponding transformation is T (which "implements" T).

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**Theorem.** A transformation T is linear if and only if there is a matrix whose corresponding transformation is T (which "implements" T).

Linear transformations are **exactly** matrix transformations.

#### A Fundamental Concern

Given a linear transformation T, how do we find the matrix A such that

$$T(\mathbf{v}) = A\mathbf{v}$$
?

# A Thought Experiment

Suppose I tell you  ${\it T}$  is a linear transformation and

$$T\left(\begin{bmatrix}1\\2\end{bmatrix}\right) = \begin{bmatrix}3\\4\end{bmatrix} \qquad T\left(\begin{bmatrix}3\\4\end{bmatrix}\right) = \begin{bmatrix}5\\6\end{bmatrix}$$

Do we know what 
$$T\begin{pmatrix} 4 \\ 6 \end{pmatrix}$$
 is?

## Answer: Yes

$$T\left(\begin{bmatrix}1\\2\end{bmatrix}\right) = \begin{bmatrix}3\\4\end{bmatrix} \qquad T\left(\begin{bmatrix}3\\4\end{bmatrix}\right) = \begin{bmatrix}5\\6\end{bmatrix}$$

#### Because of additivity:

$$T\left(\begin{bmatrix} 4\\6 \end{bmatrix}\right) = T\left(\begin{pmatrix} 1\\2 \end{pmatrix} + \begin{pmatrix} 3\\4 \end{pmatrix}\right)$$

$$= T\left(\begin{pmatrix} 1\\2 \end{pmatrix} + \begin{pmatrix} 3\\4 \end{pmatrix}\right) + T\left(\begin{pmatrix} 3\\4 \end{pmatrix}\right)$$

$$= \begin{pmatrix} 3\\4 \end{pmatrix} + \begin{pmatrix} 6\\6 \end{pmatrix} = \begin{pmatrix} 8\\10 \end{pmatrix}$$

A Thought Experiment 
$$T(\begin{bmatrix}1\\2\end{bmatrix}) = \begin{bmatrix}3\\4\end{bmatrix}$$
  $T(\begin{bmatrix}3\\4\end{bmatrix}) = \begin{bmatrix}5\\6\end{bmatrix}$ 

What about:

$$T\left(\begin{bmatrix}2\\3\end{bmatrix}\right) = T\left(\frac{1}{2}\begin{bmatrix}4\\6\end{bmatrix}\right) = \frac{1}{2}T\left(\begin{pmatrix}4\\6\end{bmatrix}\right) = \frac{1}{2}\left(\begin{bmatrix}4\\6\end{bmatrix}\right) = \frac{1}{2}\left(\begin{bmatrix}4\\6\end{bmatrix}\right)$$

$$T\left(\begin{bmatrix}1\\0\end{bmatrix}\right) = T\left(\begin{bmatrix}3\\4\end{bmatrix} - \begin{bmatrix}2\\4\end{bmatrix}\right) = T\left(\begin{bmatrix}3\\4\end{bmatrix}\right) = T\left(\begin{bmatrix}1\\2\end{bmatrix}\right)$$

# The Takeaway

Linearity is a very strong restriction.

If we know the values of  $T:\mathbb{R}^n \to \mathbb{R}^m$  on any set of vectors which spans all of  $\mathbb{R}^n$ , then we know span 3 ú,,... û, 3 = R why?:  $T(\vec{r}) = T(\vec{x}, \vec{u}) = \alpha \cdot \sum_{i=1}^{k} T(\vec{u}_i)$ 

Suppose I am holding a matrix  $A_{\bullet}$ 

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Your objective is to figure out what A is.

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(you pick the v's, and I have to tell the truth)

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This is basically linear algebraic battleship.

# Recall: Calculating Av

$$\begin{bmatrix} a_{11} & a_{12} & \dots & a_{1n} \\ a_{21} & a_{22} & \dots & a_{2n} \\ \vdots & \vdots & \ddots & \vdots \\ a_{m1} & a_{m2} & \dots & a_{mn} \end{bmatrix} \begin{bmatrix} v_1 \\ v_2 \\ \vdots \\ v_n \end{bmatrix} = \begin{bmatrix} ? \\ ? \\ \vdots \\ ? \end{bmatrix}$$

$$b_1 = a_{11}v_1 + a_{12}v_2 + \dots + a_{1n}v_n = \sum_{i=1}^{n} a_{1i}v_i$$

## Recall: Matrix-Vector Multiplication

**Definition.** Given a  $(m \times n)$  matrix A with columns  $\mathbf{a}_1, \mathbf{a}_2, ..., \mathbf{a}_n$ , and a vector  $\mathbf{v}$  in  $\mathbb{R}^n$ , we define

$$A\mathbf{v} = \begin{bmatrix} \mathbf{a}_1 & \mathbf{a}_2 & \dots & \mathbf{a}_n \end{bmatrix} \begin{bmatrix} v_1 \\ v_2 \\ \vdots \\ v_n \end{bmatrix} = v_1 \mathbf{a}_1 + v_2 \mathbf{a}_2 + \dots + v_n \mathbf{a}_n$$

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 $A\mathbf{v}$  is a linear combination of the columns of A with weights given by  $\mathbf{v}$ 

# Isolating $a_{11}$

$$b_1 = a_{11}v_1 + a_{12}v_2 + \dots + a_{1n}v_n = \sum_{i=1}^{n} a_{1i}v_i$$
1 0  $i=1$ 

# Isolating $a_{11}$

$$b_1 = a_{11}v_1 + a_{12}v_2 + \dots + a_{1n}v_n = \sum_{i=1}^{n} a_{1i}v_i$$

We actually get the whole column  $\mathbf{a}_1$ 

So its like battleship, but you get to choose one column at a time.

### The Takeaway

We can learn the first column of the matrix implementing

$$T$$
 by looking at  $T \left( \begin{bmatrix} 1 \\ 0 \\ \vdots \\ 0 \end{bmatrix} \right)$ 

# Matrix of a Linear Transformation

#### Standard Basis

**Definition.** The n-dimensional standard basis vectors (or standard coordinate vectors) are the vectors  $\mathbf{e}_1, ..., \mathbf{e}_n$  where

$$\mathbf{e}_{i} = \begin{bmatrix} 0 & 1 & 1 \\ 0 & 2 & \vdots \\ \vdots & \vdots & 1 \\ 0 & i-1 \\ 0 & i+1 \\ \vdots & \vdots & \vdots \\ 0 & n-1 \\ 0 & n \end{bmatrix}$$

#### Standard Basis

**Definition (Alternative).** The n-dimensional standard basis vectors  $\mathbf{e}_1, ..., \mathbf{e}_n$  are the columns of the  $n \times n$  identity matrix.

$$I = [\mathbf{e}_1 \ \mathbf{e}_2 \ \dots \ \mathbf{e}_n]$$

### Standard Basis and the Matrix Equation

The key points:  $[\mathbf{a}_1 \ \mathbf{a}_2 \ \dots \ \mathbf{a}_n] \mathbf{e}_i = \mathbf{a}_i$ 

The standard basis vectors gives us a way to "look into" a matrix.

#### Standard Basis and Vector Coordinates

$$\begin{bmatrix} v_1 \\ v_2 \\ \vdots \\ v_n \end{bmatrix} = v_1 \mathbf{e}_1 + v_2 \mathbf{e}_2 + \dots + v_n \mathbf{e}_n$$
vectors can be viewed as descri-

Column vectors can be viewed as describing how to write a vector as a linear combination of the standard basis.

### Example:

### Standard Basis and Linear Transformations

**Theorem.** For any linear transformation  $T: \mathbb{R}^n \to \mathbb{R}^m$ , the matrix

$$A = \begin{bmatrix} T(\mathbf{e}_1) & T(\mathbf{e}_2) & \dots & T(\mathbf{e}_n) \end{bmatrix}$$

is the <u>unique</u> matrix such that  $T(\mathbf{v}) = A\mathbf{v}$  for all  $\mathbf{v}$  in  $\mathbb{R}^n$ .

### More Formally

$$T(\mathbf{v}) =$$

$$= \begin{bmatrix} T(\mathbf{e}_1) & T(\mathbf{e}_2) & \dots & T(\mathbf{e}_n) \end{bmatrix} \mathbf{v}$$

#### **How To: Matrices of Linear Transformations**

**Question.** Find the matrix which implements the transformation  $T: \mathbb{R}^n \to \mathbb{R}^m$ .

**Solution.** Determine the images of standard basis under T. Then write down

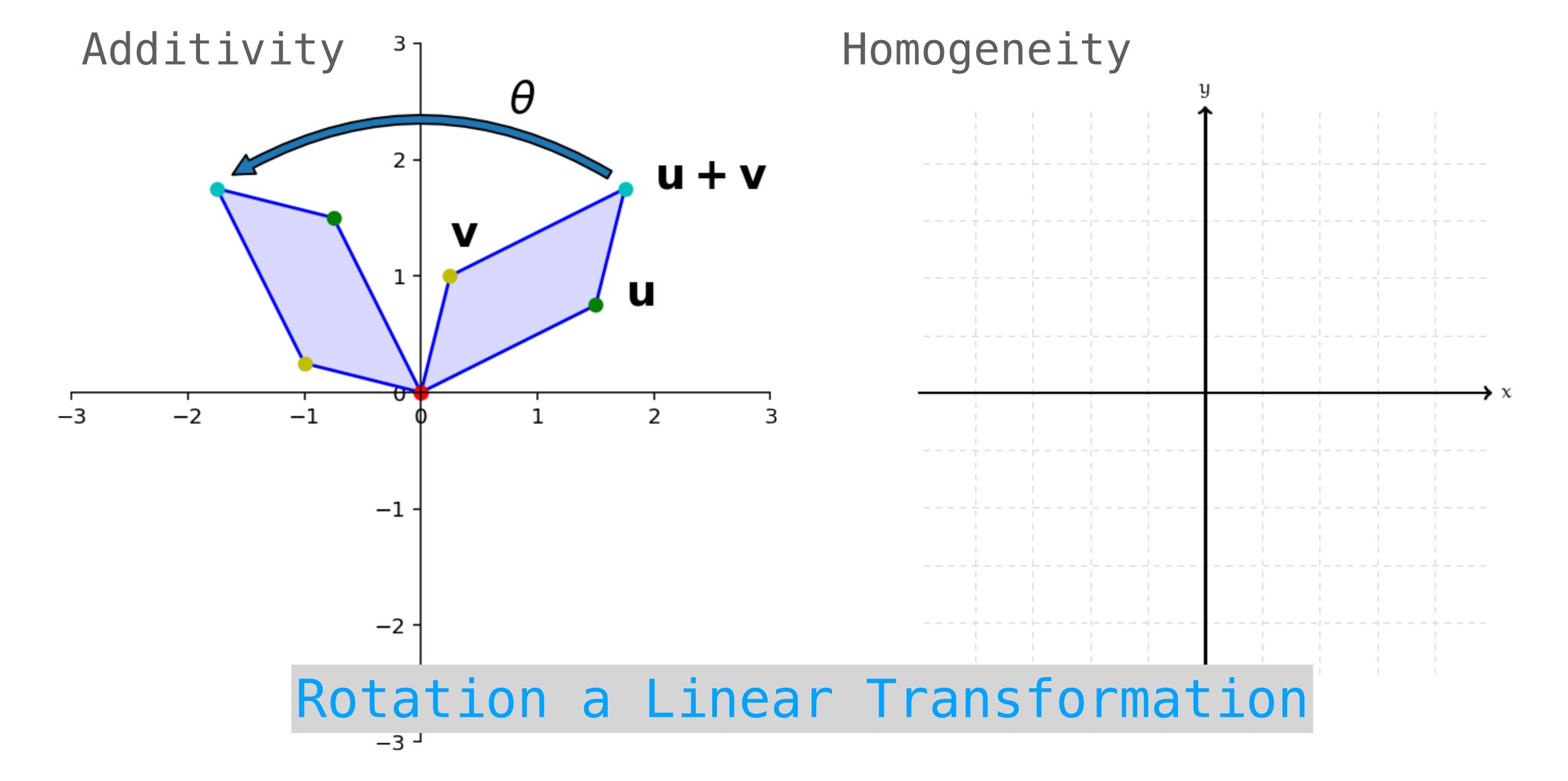
$$T(\mathbf{e}_1)$$
  $T(\mathbf{e}_2)$  ...  $T(\mathbf{e}_n)$ 

### Question

Write done the matrix which implements the linear transformation T which **rotates** vectors by 90 degrees clockwise.

### Answer

### General Rotation



# Geometry of Matrix Transformations

### Motivating Questions

What kind of functions can we define in this way?

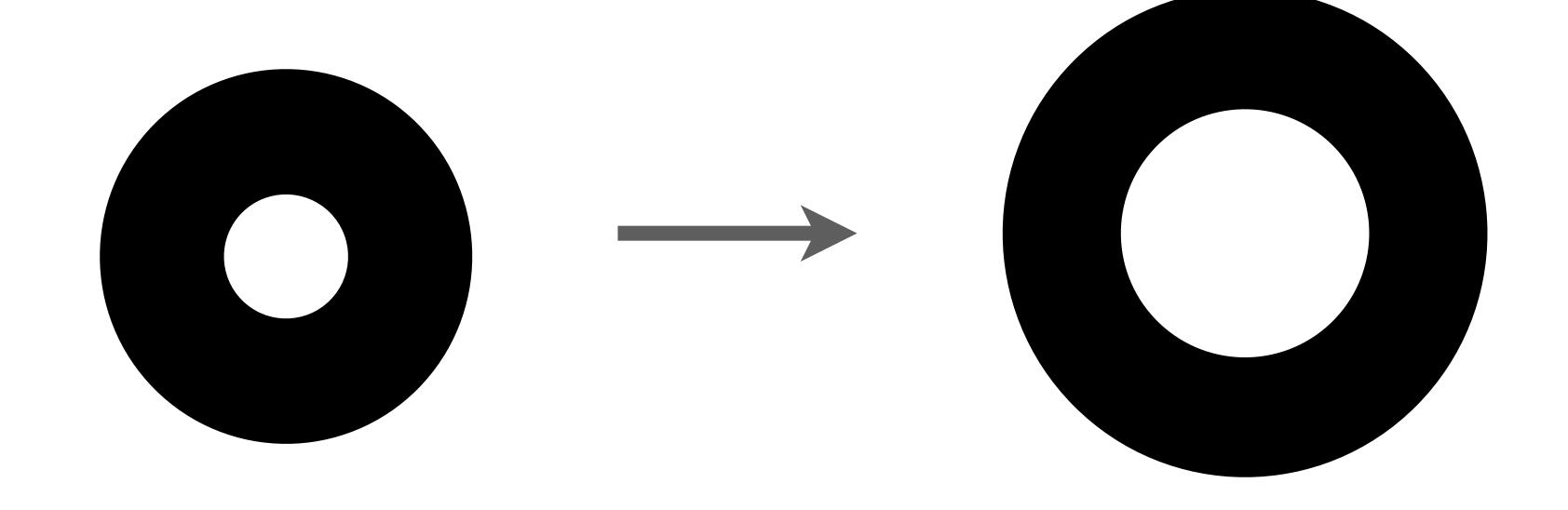
How do we interpret what the transformation does to a set of vectors?

How does this relate back to matrix equations?

#### Motto

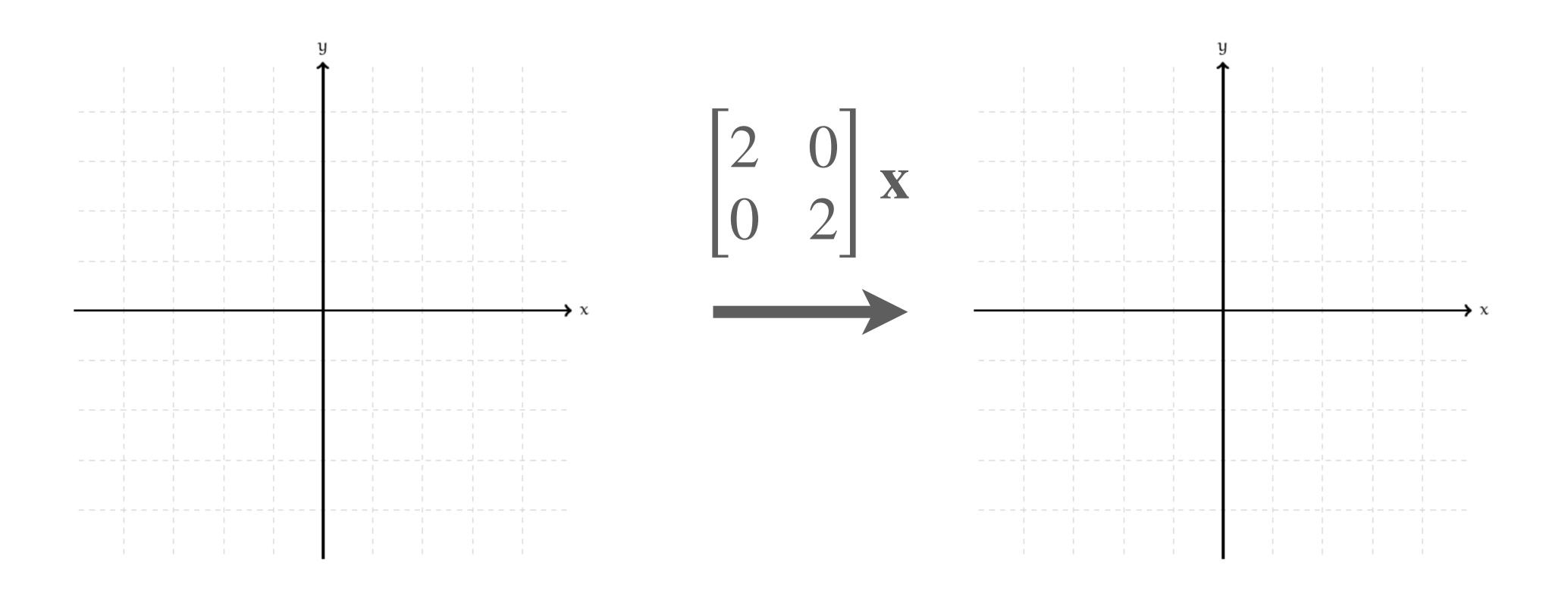
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Matrix transformations change the "shape" of a set of set of vectors (points).
```

## Example: Dilation



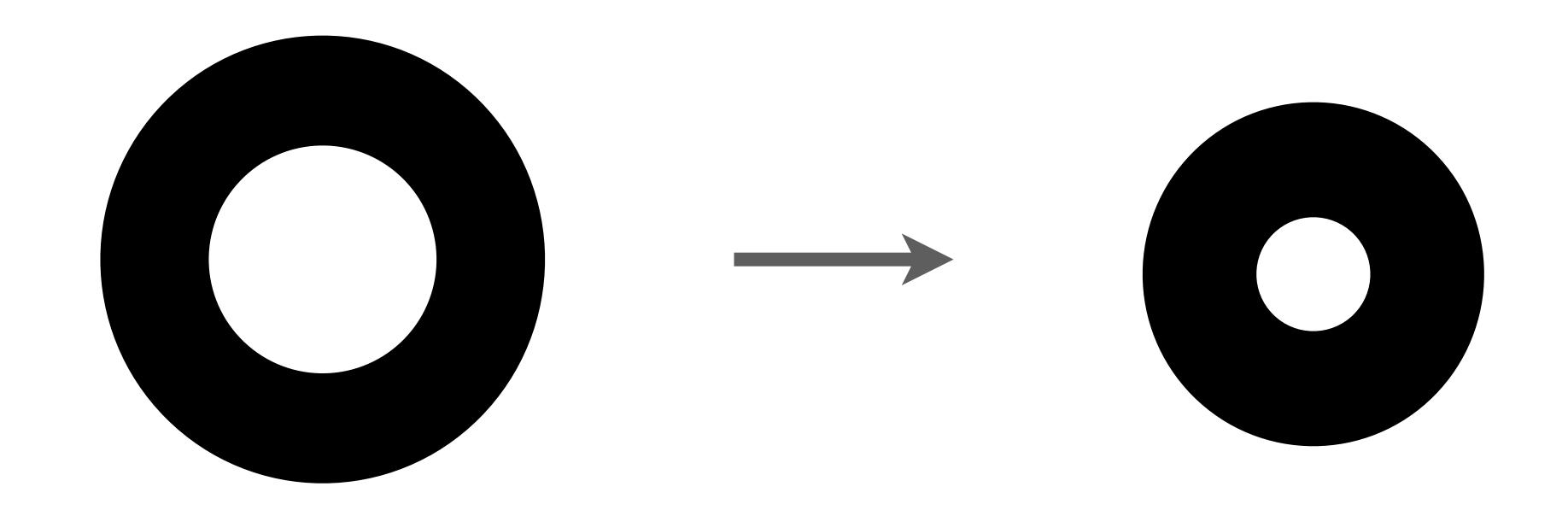
### **Example: Dilation**

$$\begin{bmatrix} r & 0 \\ 0 & r \end{bmatrix} \begin{bmatrix} x_1 \\ x_2 \end{bmatrix} = \begin{bmatrix} rx_1 \\ rx_2 \end{bmatrix}$$



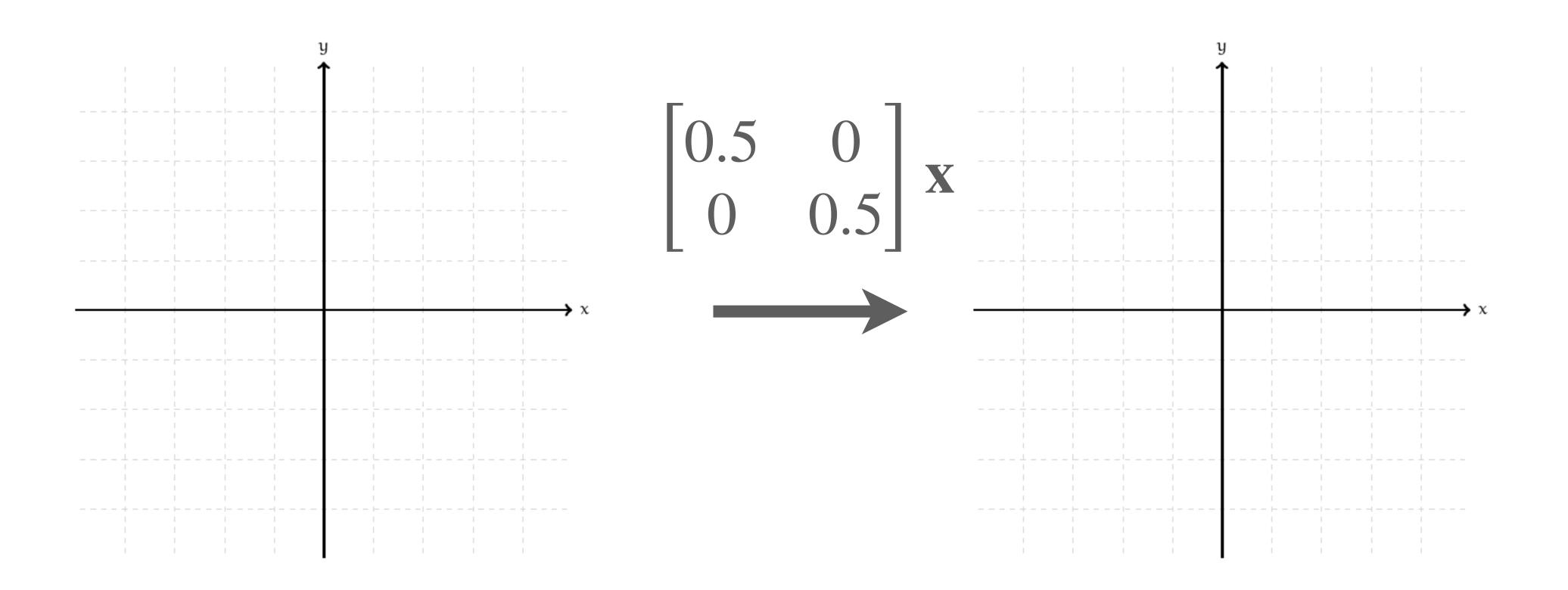
if r > 1, then the transformation pushes points away from the origin.

# Example: Contraction



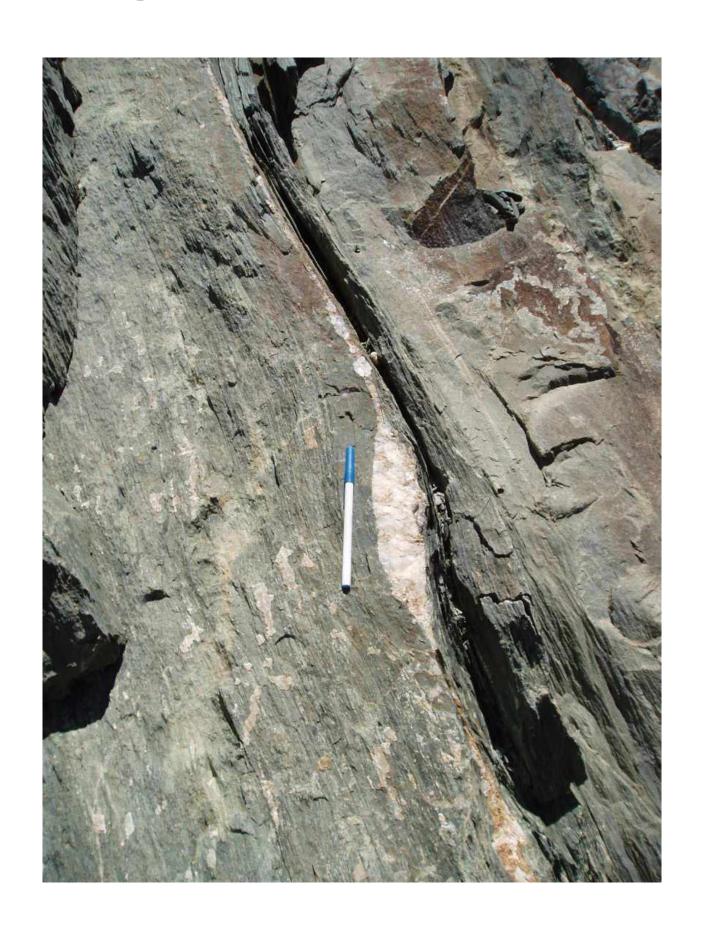
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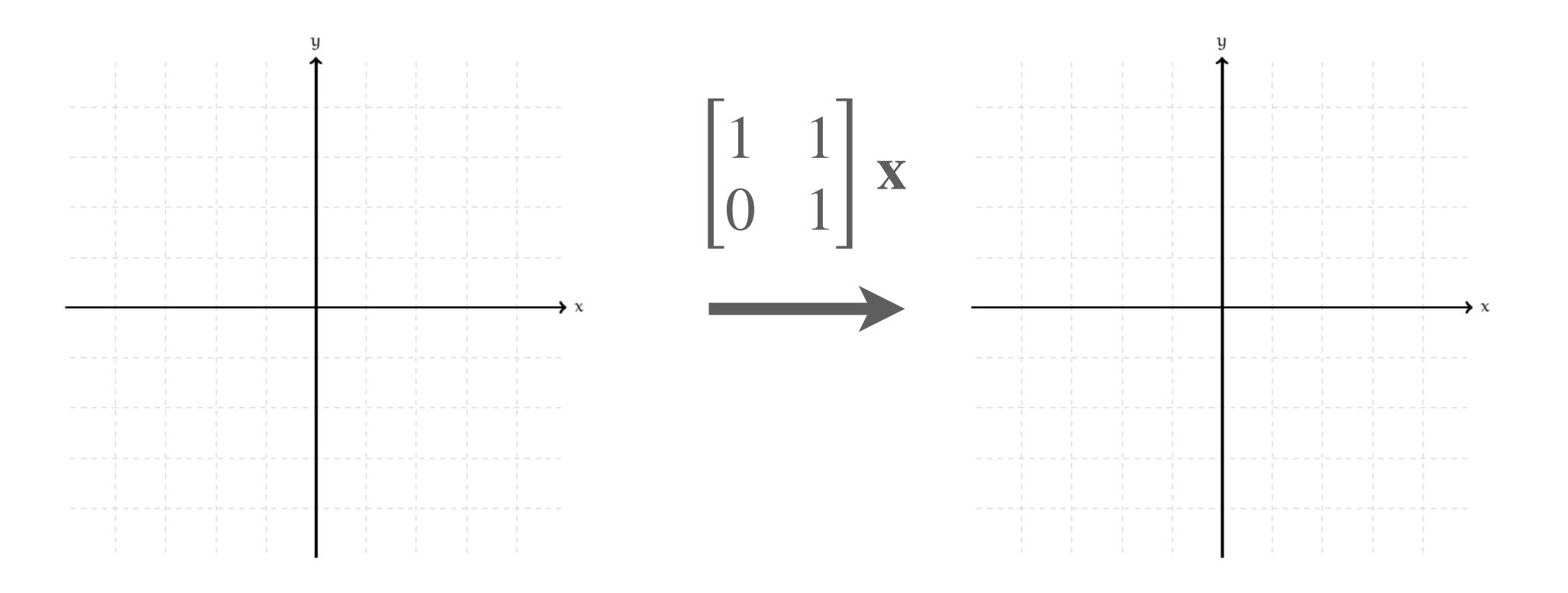
if  $0 \le r \le 1$ , then the transformation pulls points towards the origin.

# Example: Shearing

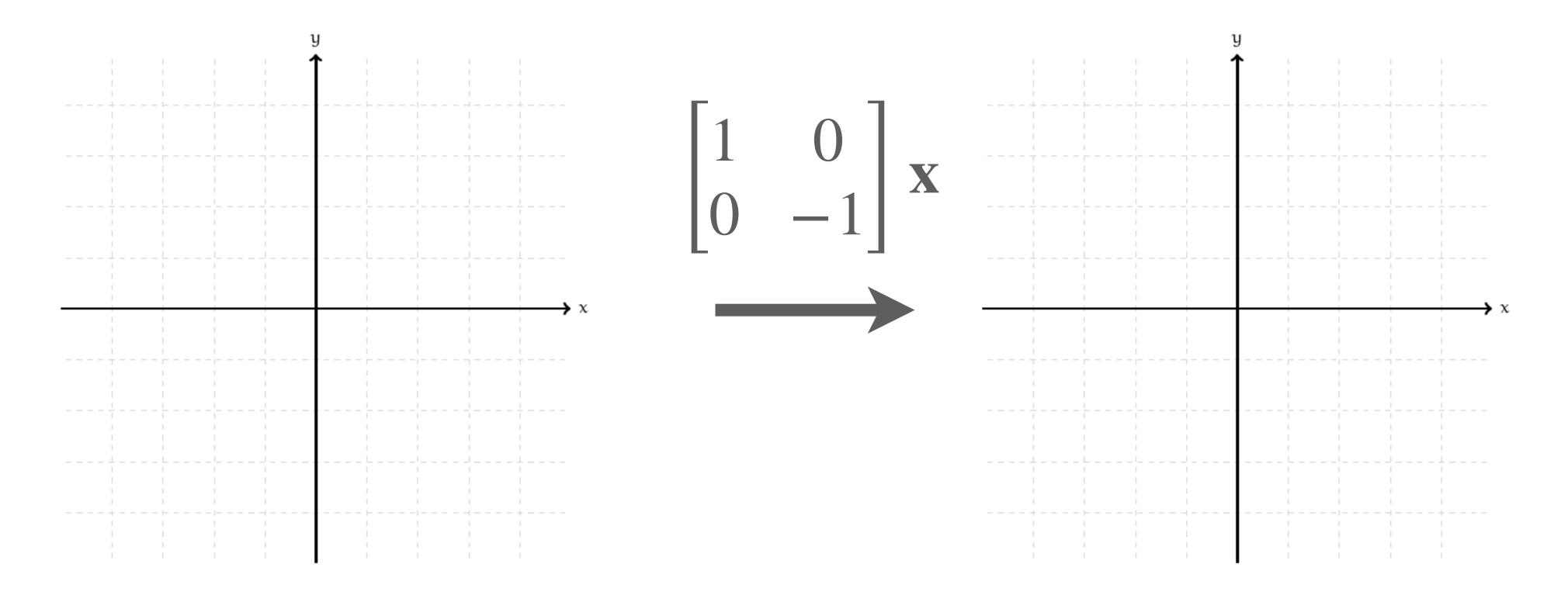


# Example: Shearing

$$\begin{bmatrix} x_1 \\ x_2 \end{bmatrix} = \begin{bmatrix} x_1 + x_2 \\ x_2 \end{bmatrix}$$

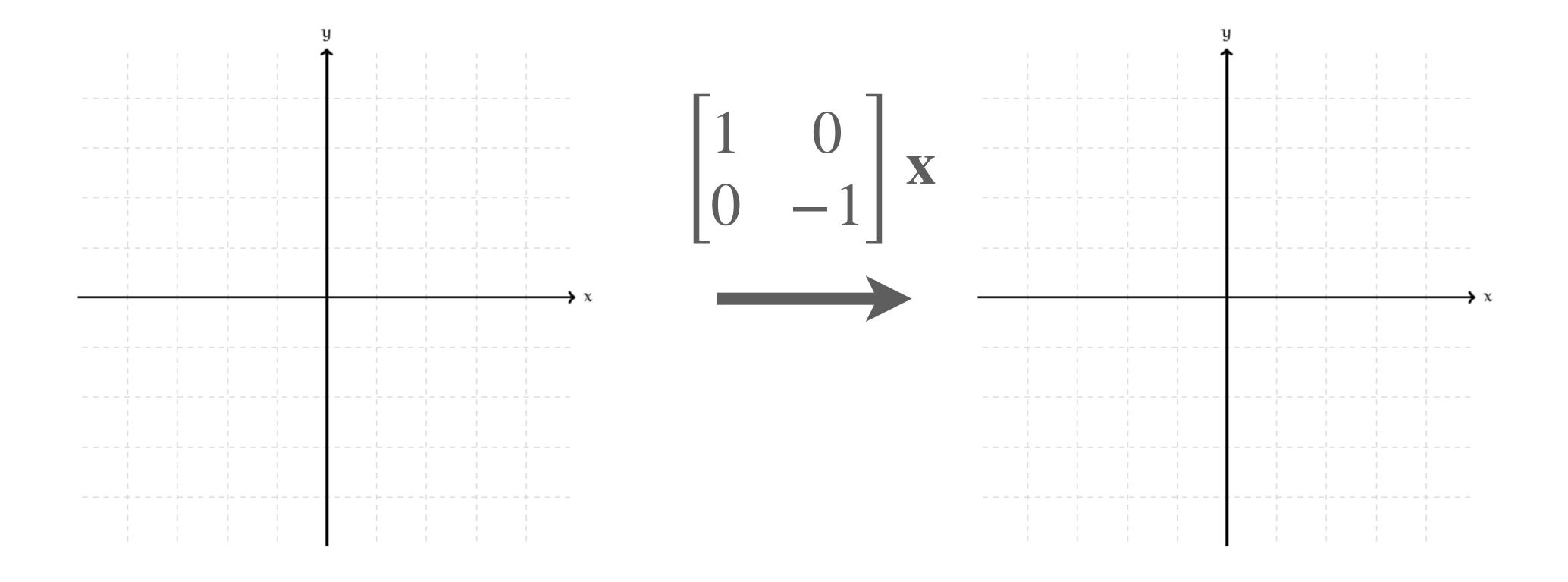


### Question



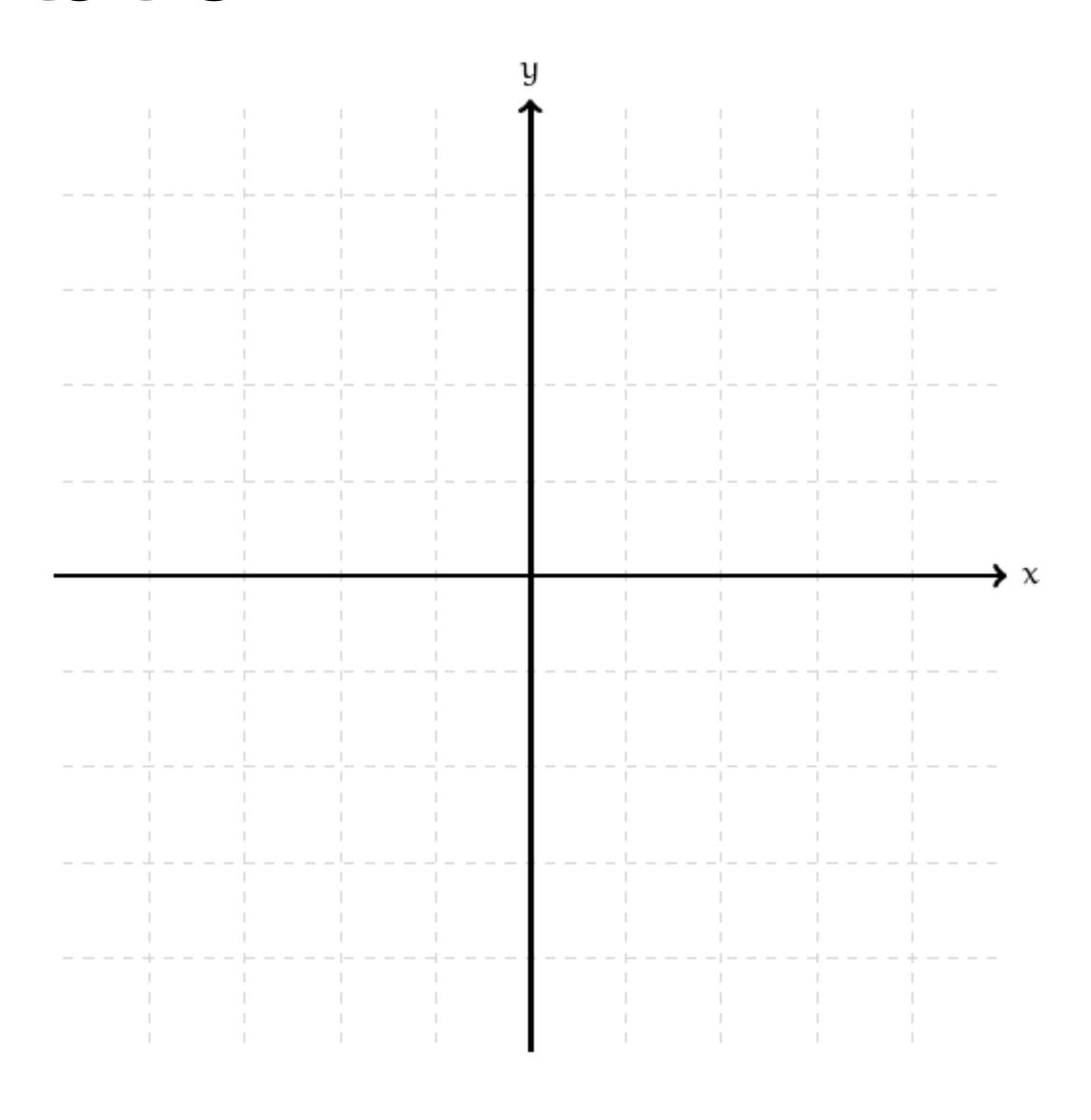
Draw how this matrix transforms points. What kind of transformation does it represent?

#### Answer: Reflection



#### **General Rotation**

How does rotation affect the standard basis?



$$\begin{bmatrix} \cos \theta & -\sin \theta \\ \sin \theta & \cos \theta \end{bmatrix}$$

$$\begin{bmatrix} \cos \theta & -\sin \theta \\ \sin \theta & \cos \theta \end{bmatrix}$$

Note: This is rotation about the origin.

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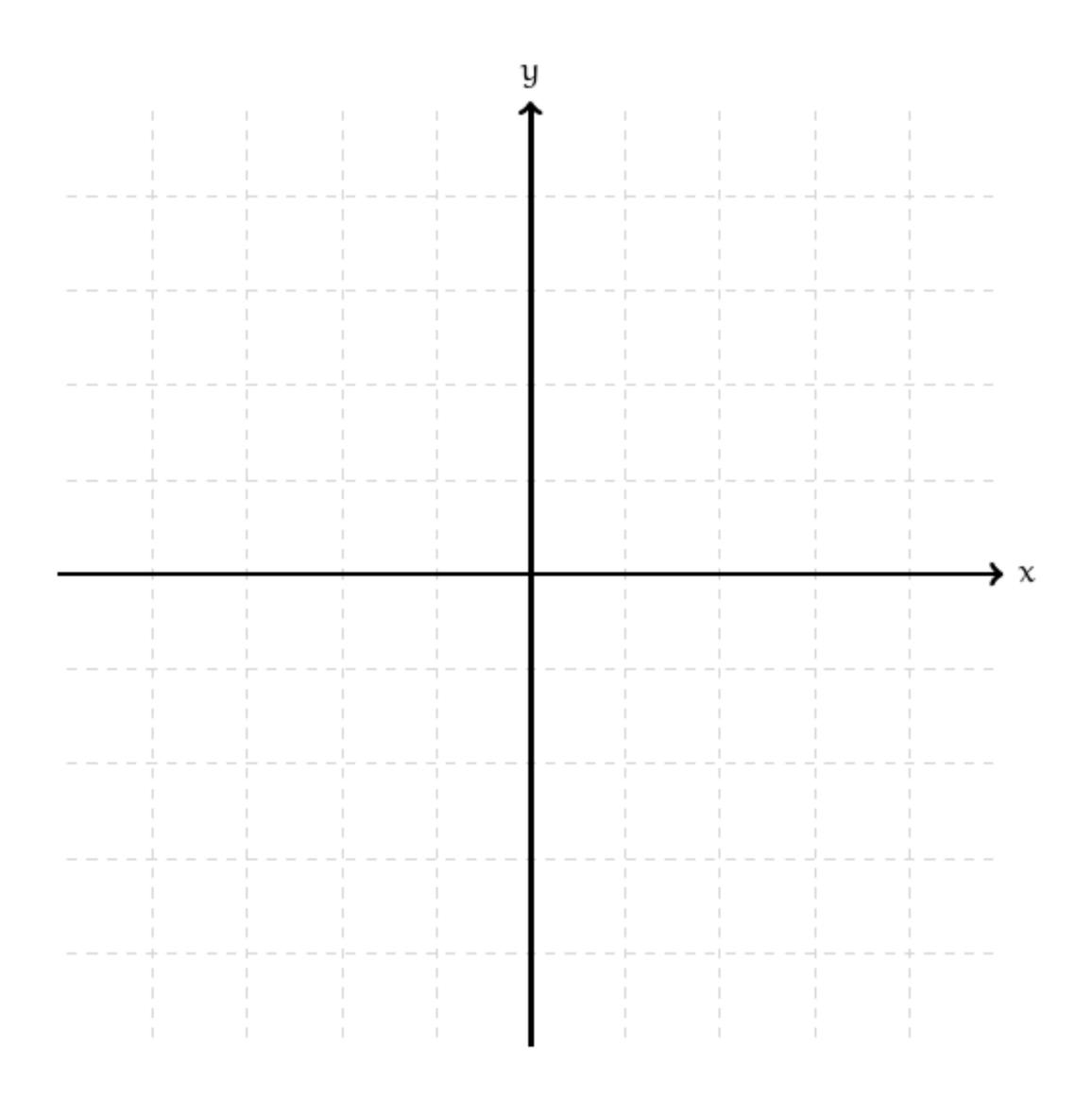
The Takeaway: We can figure out the matrices which implement complex linear transformations by understanding what they do to the standard basis.

### Question (Conceptual)

Is rotation about a point other than the origin a linear transformation?

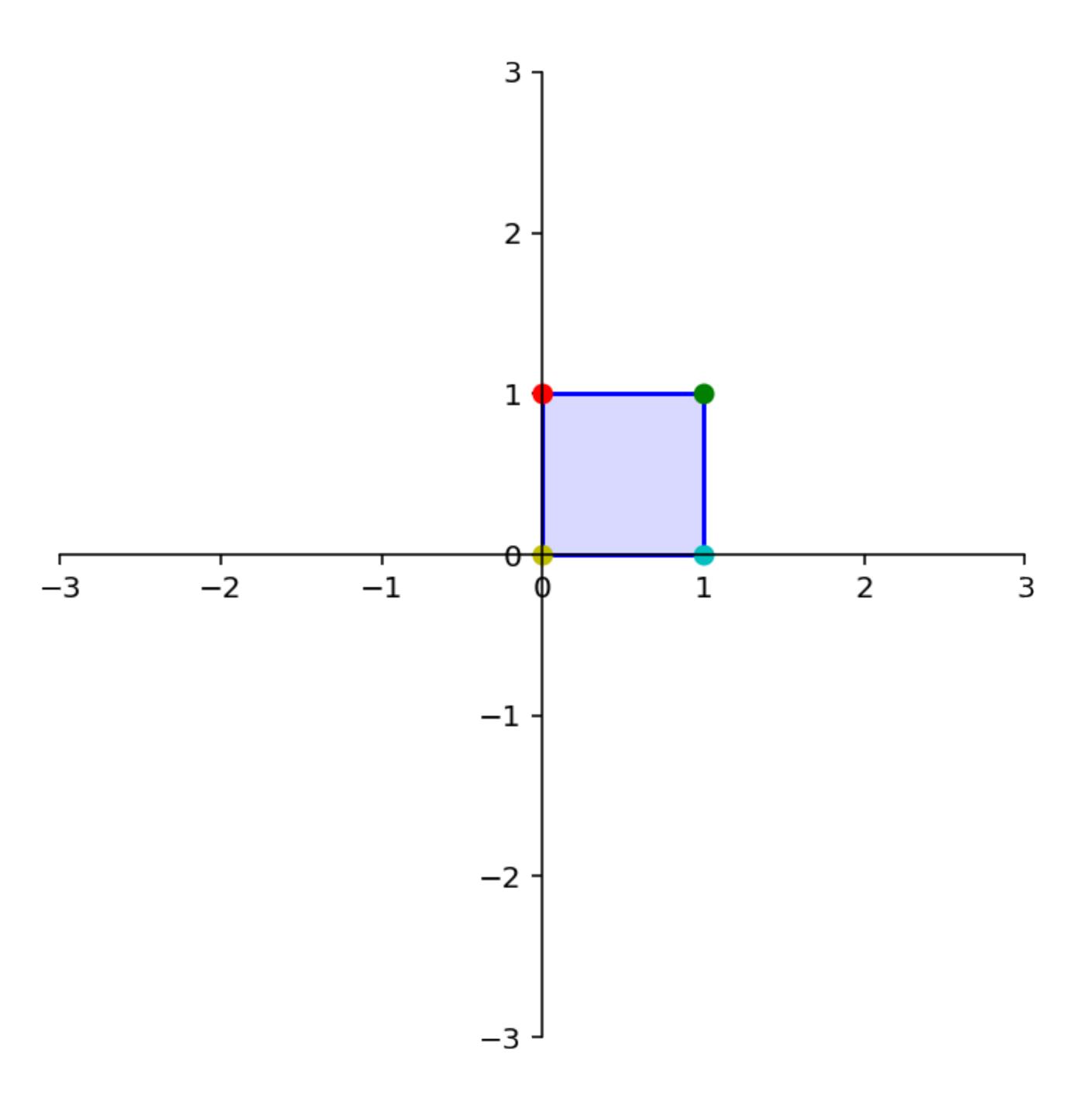
#### Answer: No

The origin is not fixed by this transformation.



### The Unit Square

The *unit square* is the set of points in  $\mathbb{R}^2$  enclosed by the points (0,0), (0,1), (1,0), (1,1).



## How To: The Unit Square and Matrices

### How To: The Unit Square and Matrices

**Question.** Find the matrix which implements the linear transformation which is represented geometrically in the following picture.

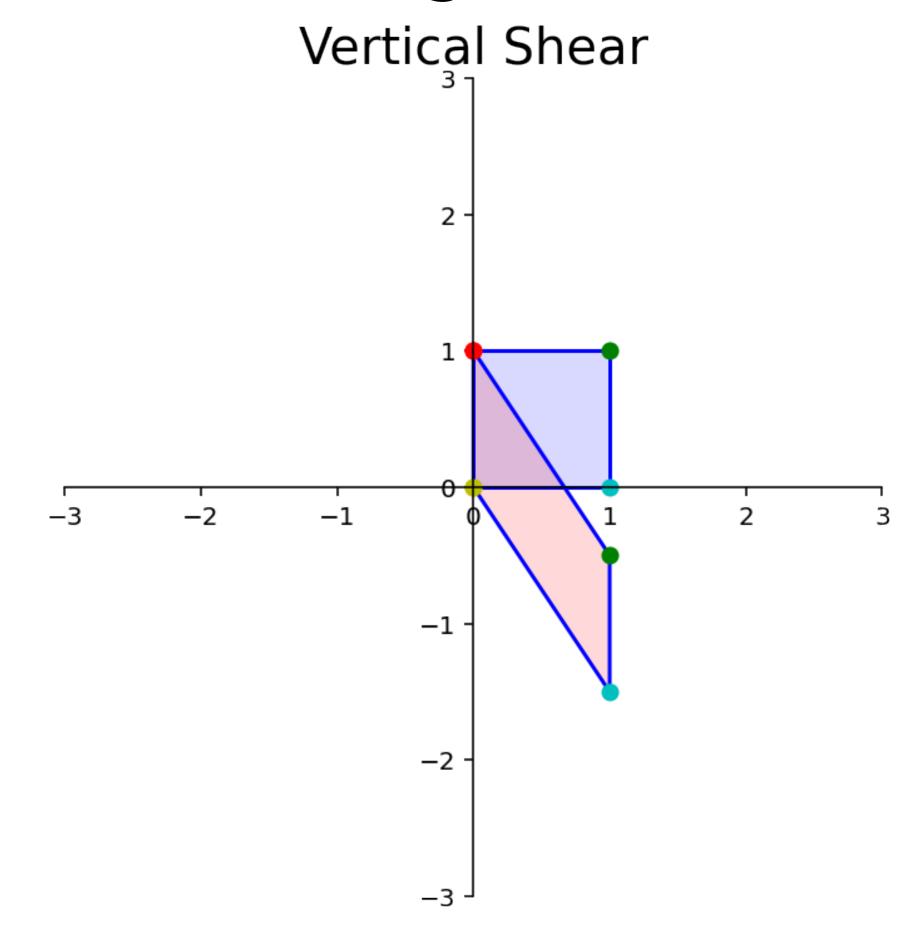
### How To: The Unit Square and Matrices

**Question.** Find the matrix which implements the linear transformation which is represented geometrically in the following picture.

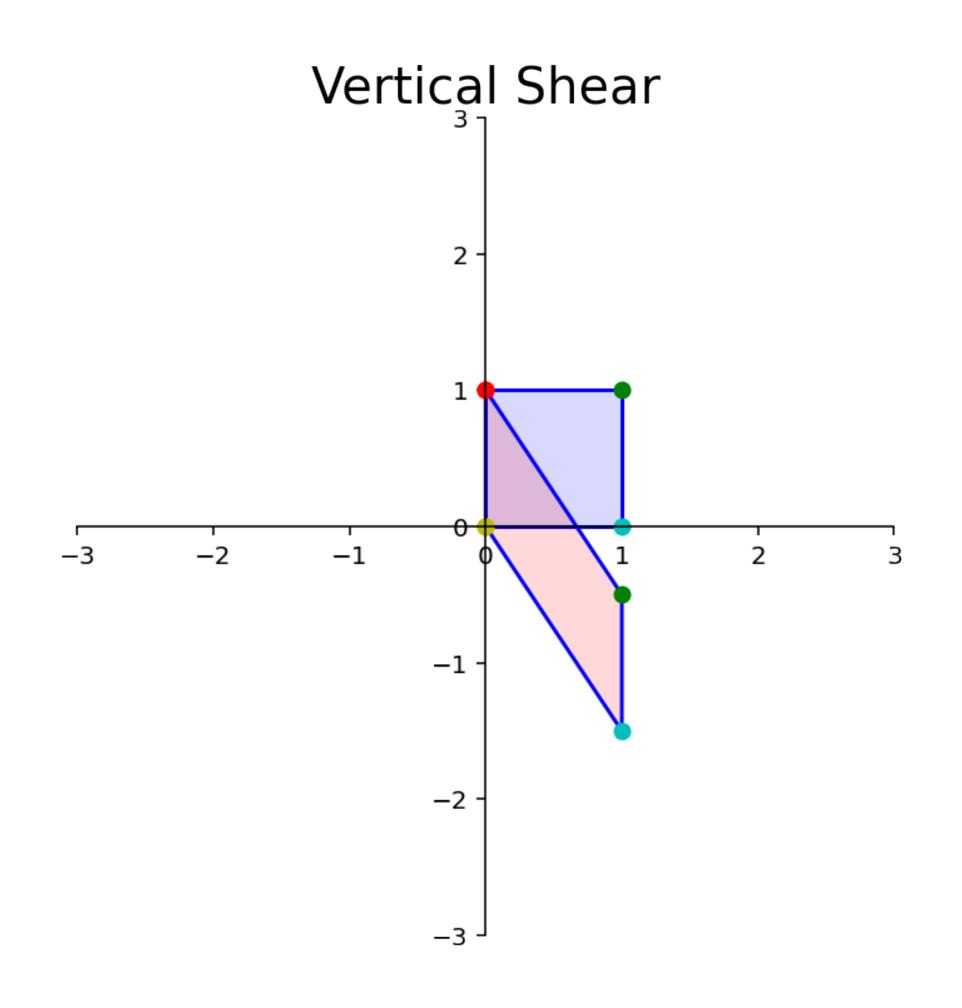
**Solution.** Find where the standard basis vectors go.

### Question

Write down the matrix for the following shearing operation using this method.



### Answer

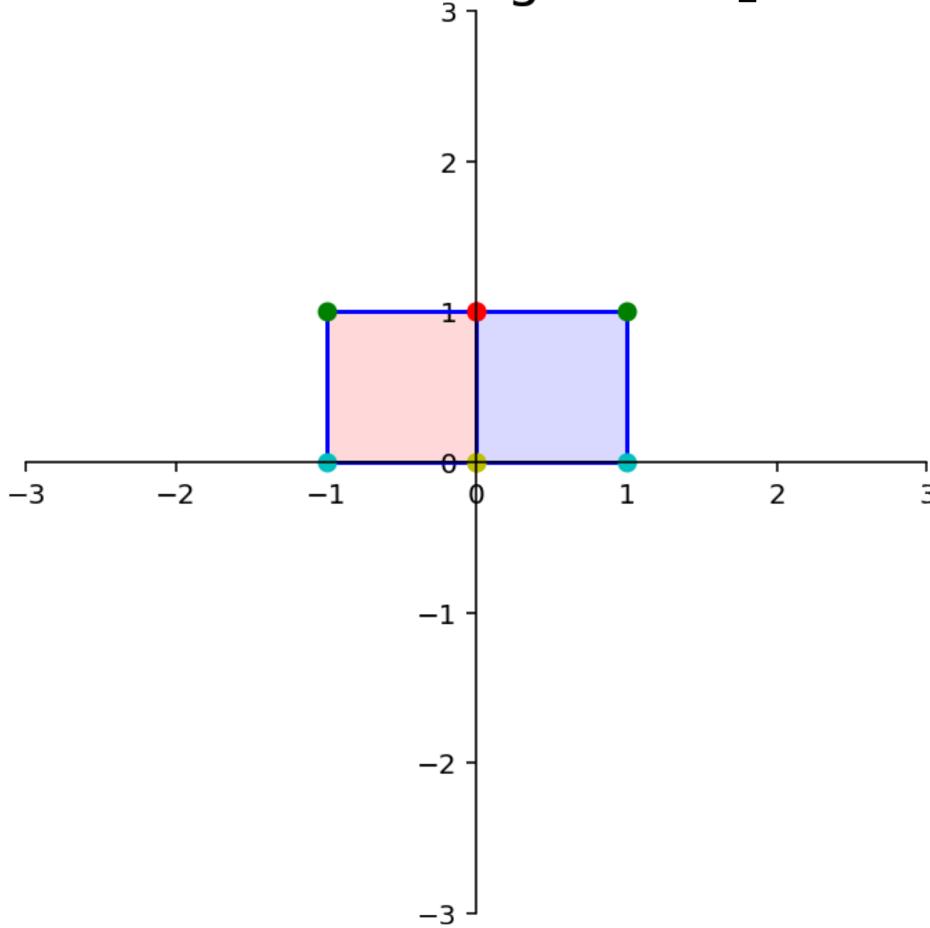


You need to know these matrices, but you don't need to memorize them.

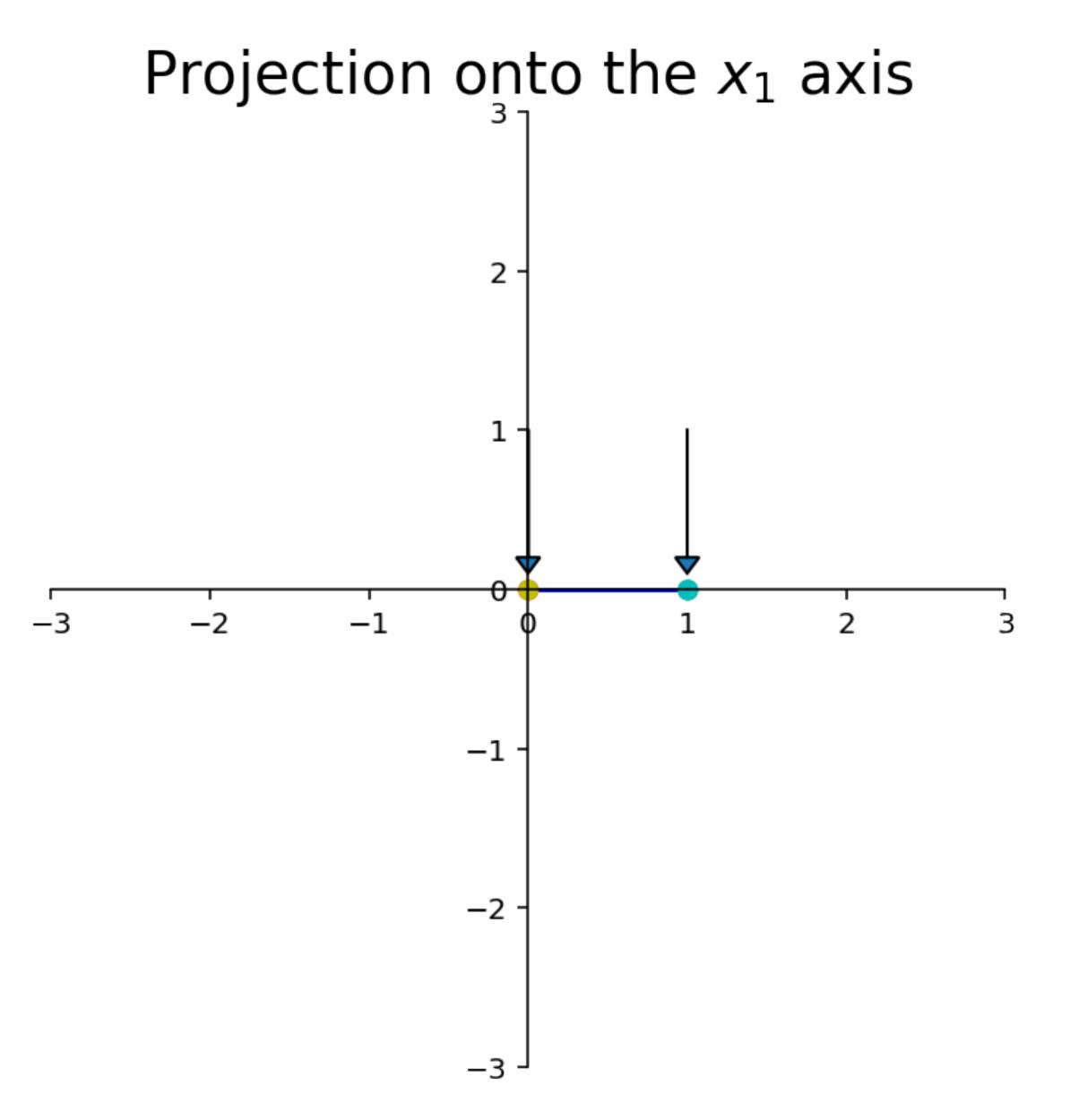
**Remember:** What does this matrix do to the unit square? Then build the matrix from there.

# Reflection through the $x_2$ -axis

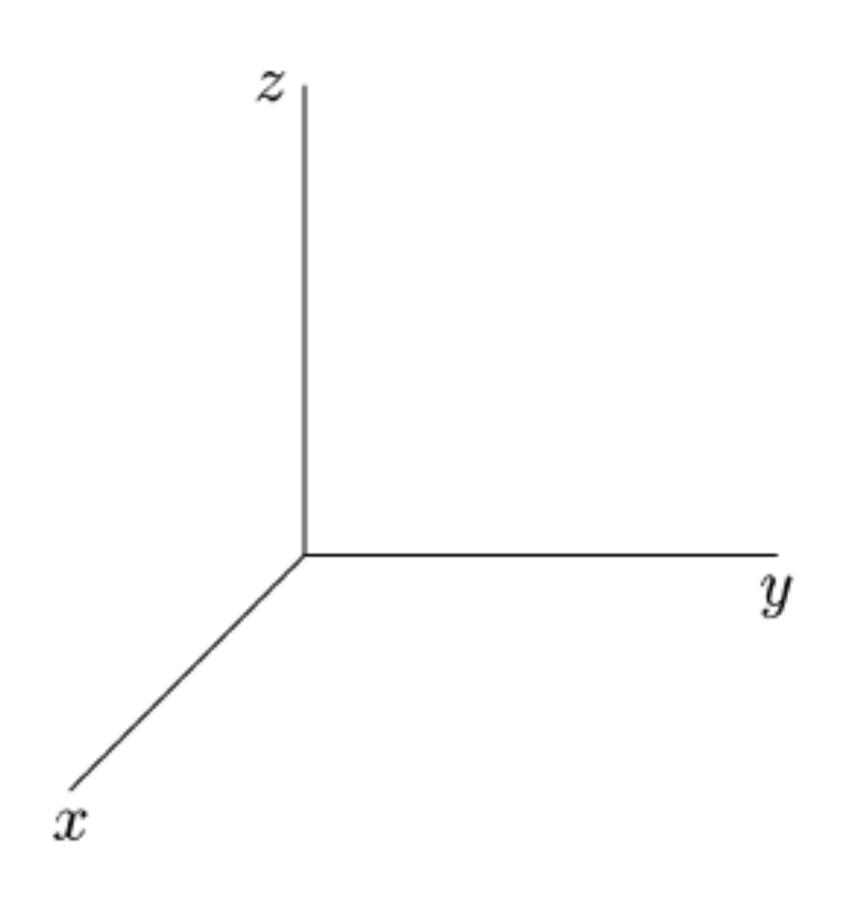
Reflection through the  $x_2$  axis

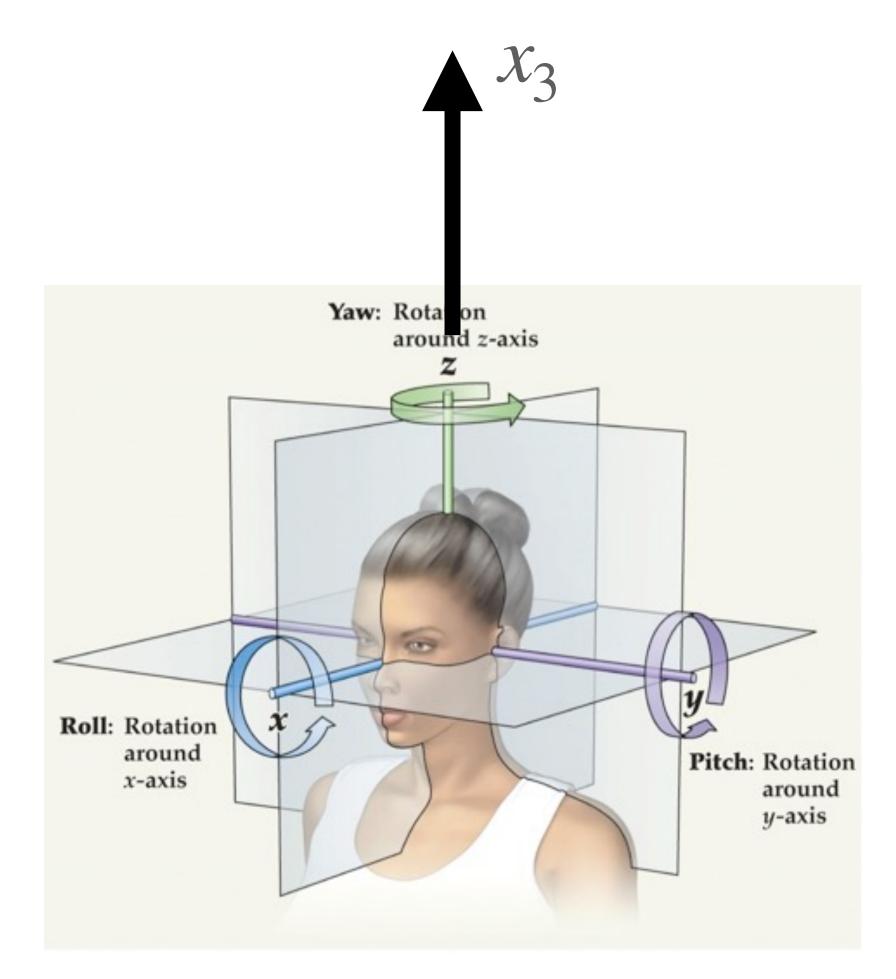


# Projections



### A 3D Example: Rotation about the $x_3$ -Axis (z-Axis)





### List of Important 2D Linear Transformations

- » dilation, contraction
- » reflections
- » projections
- » horizontal/vertical contractions
- » horizontal/vertical shearing

Look through the notes for a comprehensive collection of pictures or...

# demo

# One-to-One and Onto

# Recall: Motivating Questions

What kind of functions can we define in this way?

How do we interpret what the transformation does to a set of vectors?

How does this relate back to matrix equations?

### Recall: A New Interpretation of the Matrix Equation

 $A\mathbf{x} = \mathbf{b}$ ?  $\equiv$  is there a vector which A transforms into  $\mathbf{b}$ ?

Solve  $A\mathbf{x} = \mathbf{b} \equiv \text{find a vector which } A$ transforms into  $\mathbf{b}$ 

### Recall: A New Interpretation of the Matrix Equation

$$A\mathbf{x} = \mathbf{b}$$
?  $\equiv$  is there a vector which  $A$  transforms into  $\mathbf{b}$ ?

Solve 
$$A\mathbf{x} = \mathbf{b} \equiv \text{find a vector which } A$$
  
transforms into  $\mathbf{b}$ 

What about other questions?

#### Other Questions Like...

Does  $A\mathbf{x} = \mathbf{b}$  have a solution for any choice of b?

Does  $A\mathbf{x} = \mathbf{0}$  have a unique solution?

#### Other Questions Like...

Does  $A\mathbf{x} = \mathbf{b}$  have at least one solution for any choice of  $\mathbf{b}$ ?

Does  $A\mathbf{x} = \mathbf{b}$  have at most one solution for any choice of  $\mathbf{b}$ ?

#### Wait

```
A\mathbf{x} = \mathbf{0} has a unique solution
```

why?:

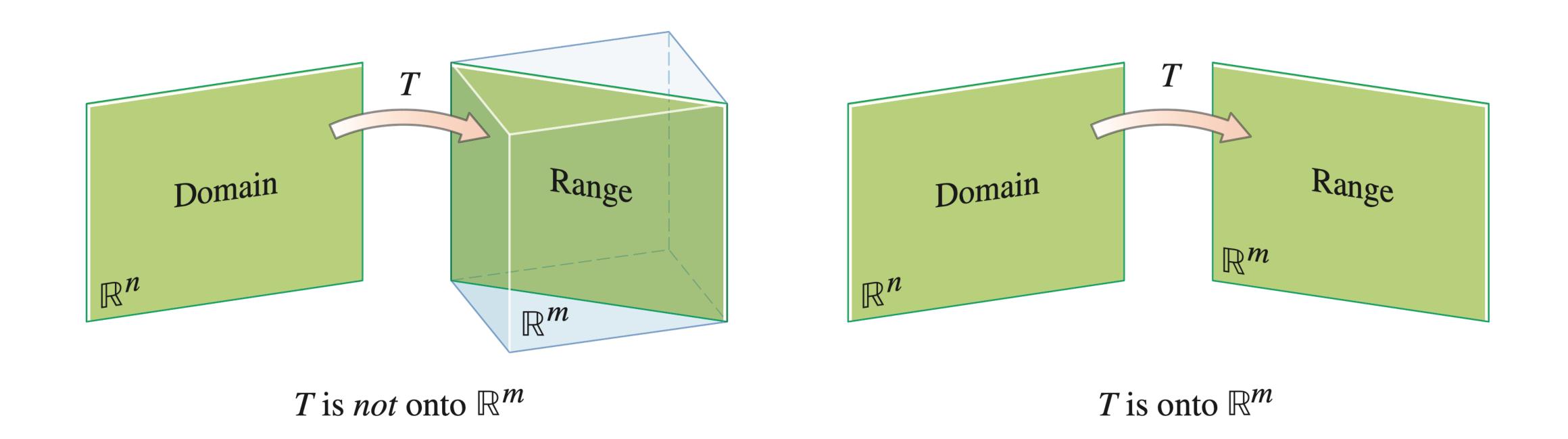
Ax = b has at most one solution

#### Onto and One-to-One

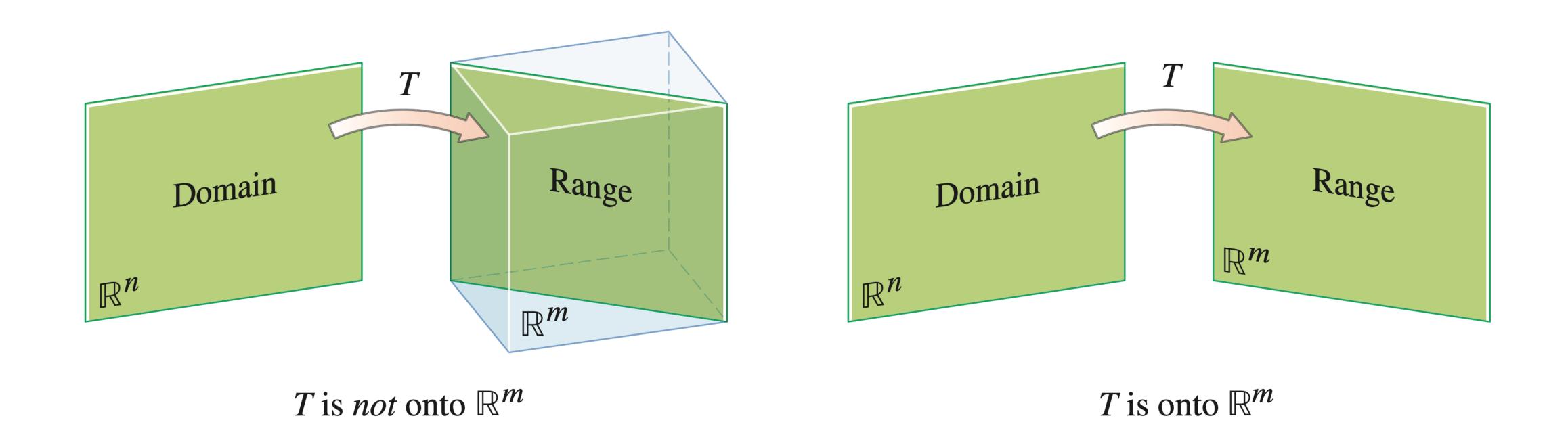
**Definition.** A transformation  $T: \mathbb{R}^n \to \mathbb{R}^m$  is **onto** if any vector  $\mathbf{b}$  in  $\mathbb{R}^m$  is the image of at least one vector  $\mathbf{v}$  in  $\mathbb{R}^n$  (where  $T(\mathbf{v}) = \mathbf{b}$ ).

**Definition.** A transformation  $T: \mathbb{R}^n \to \mathbb{R}^m$  is **oneto-one** if any vector  $\mathbf{b}$  in  $\mathbb{R}^m$  is the image of at most one vector  $\mathbf{v}$  in  $\mathbb{R}^n$  (where  $T(\mathbf{v}) = \mathbf{b}$ ).

# Onto (Pictorially)



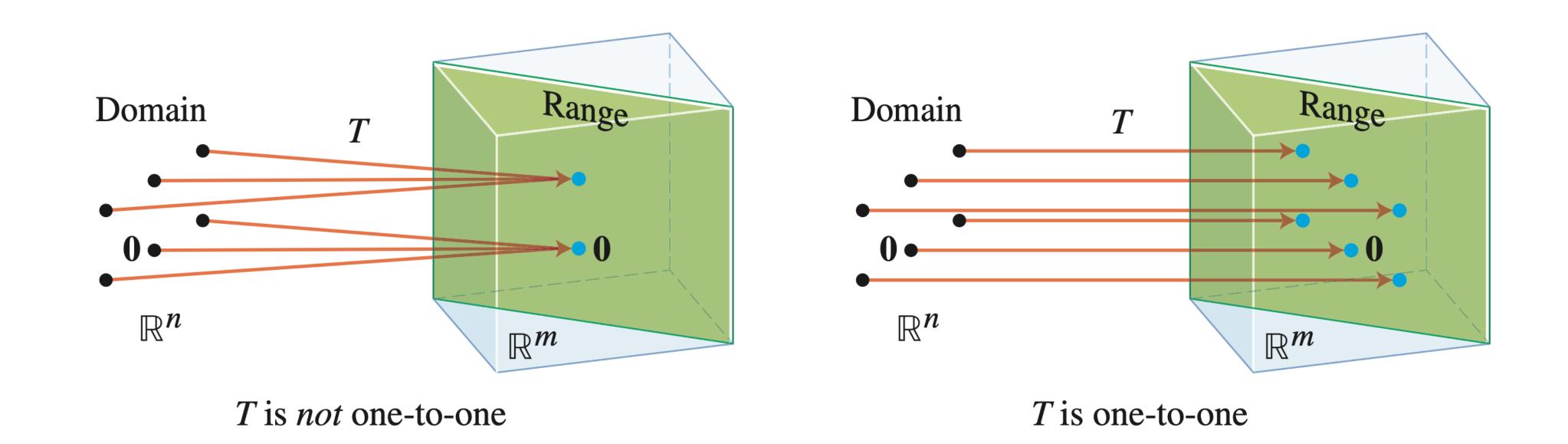
# Onto (Pictorially)



#### T is onto if its range = its codomain

image source: Linear Algebra and its Applications. Lay, Lay, and McDonald

# One-to-One (Pictorially)



# Taking Stock: Onto

**Theorem.** The following are logically equivalent for the linear transformation  $T: \mathbb{R}^n \to \mathbb{R}^m$  implemented by the matrix A.

- $\gg T$  is onto
- $\Rightarrow Ax = b$  has a solution for any choice of b
- $\Rightarrow$  range(T) = codomain(T)
- $\gg$  the columns of A span  $\mathbb{R}^m$
- » A has a pivot position in every <u>row</u>

### Taking Stock: One-to-One

**Theorem.** The following are logically equivalent for the linear transformation  $T: \mathbb{R}^n \to \mathbb{R}^m$  implemented by the matrix A.

- $\gg T$  is one-to-one
- $\Rightarrow A\mathbf{x} = \mathbf{b}$  has at most one solution for any  $\mathbf{b}$
- $\gg$  The columns of A are linearly independent
- » A has a pivot position in every <u>column</u>

#### How To: One-to-One and Onto

**Question.** Show that the linear transformation T is one-to-one/onto.

**Solution.** (one approach) Find the matrix which implements T and see if it has a pivot in every column/row.

Warning: this is not the only way. Always try to think if you can solve it using *any* of the perspectives

### Example: both 1-1 and onto

Rotation about the origin:

$$\begin{bmatrix}
\cos \theta & -\sin \theta \\
\sin \theta & \cos \theta
\end{bmatrix}$$

# Example: 1-1, not onto

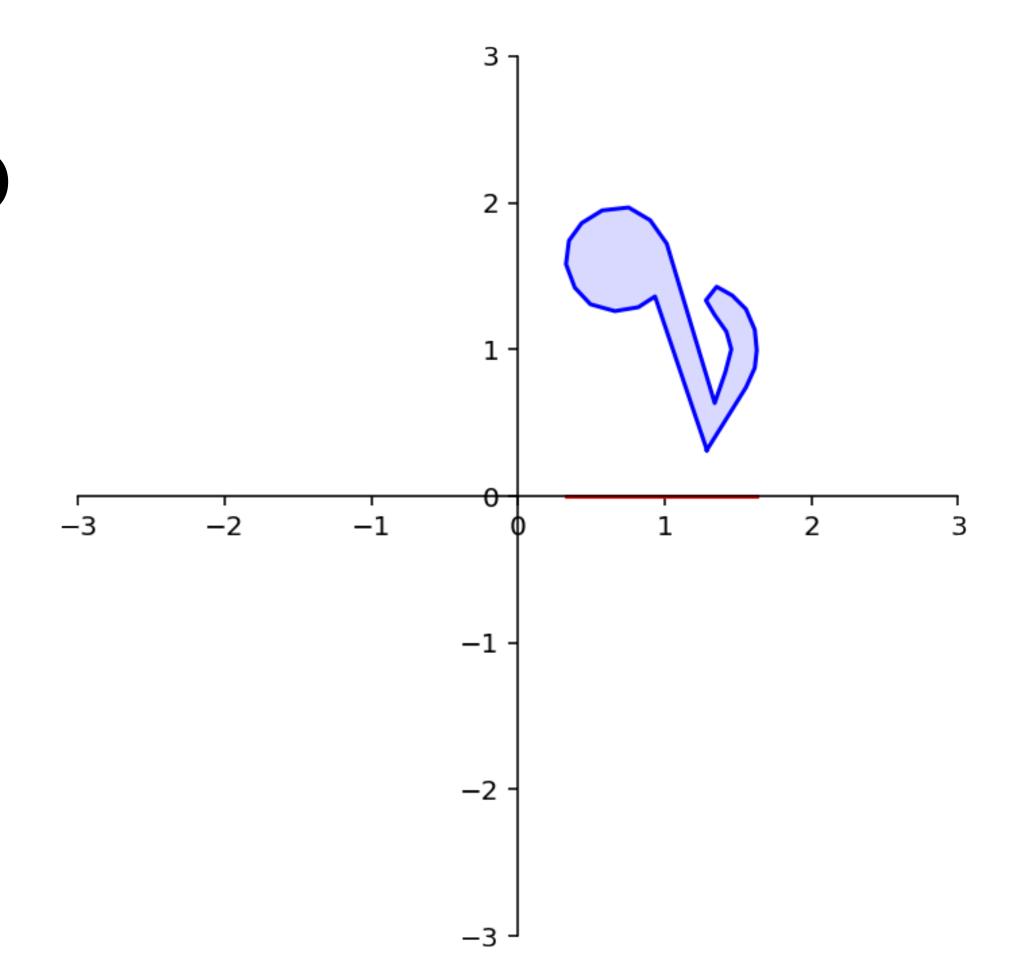
Lifting:

$$\begin{bmatrix} x_1 \\ x_2 \end{bmatrix} \mapsto \begin{bmatrix} x_1 \\ x_2 \\ x_1 + x_2 \end{bmatrix}$$

# Example: not 1-1, not onto

Projection onto the  $x_1$  axis:

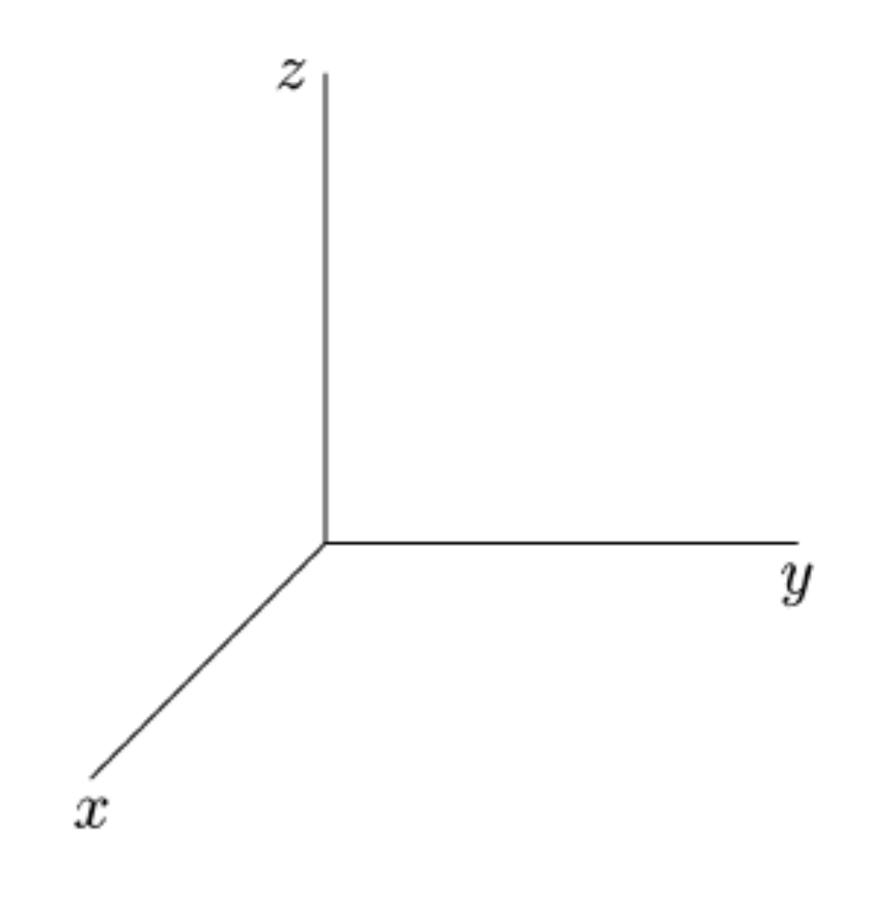
1000



# Example: onto, not 1-1

Projection from  $\mathbb{R}^3$  to  $\mathbb{R}^2$ .

$$\begin{bmatrix} x_1 \\ x_2 \\ x_3 \end{bmatrix} \mapsto \begin{bmatrix} x_1 \\ x_2 \end{bmatrix}$$



### Summary

Matrix transformations and linear transformations are the same thing.

We can find these matrices by looking at how the transformation behaves on the <u>standard basis</u>.

We can reason about matrix equations by directly reasoning about the linear transformations.