Matrices of Linear Transformations

Geometric Algorithms
Lecture 9

Practice Problem

$$T\left(\begin{bmatrix}1\\0\end{bmatrix}\right) = 9 \qquad \qquad T\left(\begin{bmatrix}0\\1\end{bmatrix}\right) = 2$$

Suppose that T is a linear transformation with the above input-output behavior.

What is the domain of T? What is the codomain of T?

What is the value of
$$T\left(\begin{vmatrix} 2\\ -3 \end{vmatrix}\right)$$
?

Answer

$$T\left(\begin{bmatrix}1\\0\end{bmatrix}\right) = 9 \qquad T\left(\begin{bmatrix}0\\1\end{bmatrix}\right) = 2$$

Objectives

- 1. Look at more examples of linear transformations
- 2. Show that matrix transformations and linear transformations are really the same thing
- 3. See more the geometry of linear transformations
- 4. Relate the properties of matrix equations to properties of linear transformations

Keywords

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matrix of a linear transformation
standard basis vectors (standard coordinate vectors)
2D linear transformations
the unit square
one-to-one
onto
```

Recap

Recall: Matrices as Transformations

Matrices allow us to transform vectors.

The transformed vector lies in the span of its columns.

$$X \mapsto AX$$

map a vector \mathbf{x} to the vector $A\mathbf{v}$

Recall: Transformation of a Matrix

The *transformation of a* $(m \times n)$ *matrix* A is the function $T: \mathbb{R}^n \to \mathbb{R}^m$ such that

$$T(\mathbf{v}) = A\mathbf{v}$$

given \mathbf{v} , return A multiplied by \mathbf{v}

$$\mathbf{e.g.} \quad T(\mathbf{v}) = \begin{bmatrix} 1 & 1 \\ 0 & 1 \end{bmatrix} \mathbf{v}$$

Recall: Motivating Questions

What kind of functions can we define in this way?

How do we interpret what the transformation does to a set of vectors?

How does this relate back to matrix equations?

Recall: Linear Transformations

Definition. A transformation $T: \mathbb{R}^m \to \mathbb{R}^n$ is **linear** if it satisfies the following two properties.

1.
$$T(\mathbf{u} + \mathbf{v}) = T(\mathbf{u}) + T(\mathbf{v})$$
 (additivity)

2.
$$T(c\mathbf{v}) = cT(\mathbf{v})$$
 (homogeneity)

Recall: Linear Transformations

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2.
$$T(c\mathbf{v}) = cT(\mathbf{v})$$
 (homogeneity)

Matrix transformations are linear transformations.

Properties of Linear Transformations

$$T(0) = ???$$

$$T(0) = 0$$

$$T(0) = 0$$

The zero vector is *fixed* by linear transformations.

Note: These may be different dimensions!

The zero vector is *fixed* by linear transformations.

$$T(a\mathbf{v} + b\mathbf{u}) = aT(\mathbf{v}) + bT(\mathbf{u})$$

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 (additivity)

$$T(a\mathbf{v} + b\mathbf{u}) = aT(\mathbf{v}) + bT(\mathbf{u})$$

$$T(a\mathbf{v} + b\mathbf{u})$$

= $T(a\mathbf{v}) + T(b\mathbf{u})$ (additivity)
= $aT(\mathbf{v}) + bT(\mathbf{u})$ (homogeneity for each term)

Theorem. A transformation $T: \mathbb{R}^m \to \mathbb{R}^n$ is linear if and only if for any vectors \mathbf{u} and \mathbf{v} in \mathbb{R}^m and any real numbers a and b,

$$T(a\mathbf{u} + b\mathbf{v}) = aT(\mathbf{u}) + bT(\mathbf{v})$$

Theorem. A transformation $T: \mathbb{R}^m \to \mathbb{R}^n$ is linear if and only if for any vectors \mathbf{u} and \mathbf{v} in \mathbb{R}^m and any real numbers a and b,

$$T(a\mathbf{u} + b\mathbf{v}) = aT(\mathbf{u}) + bT(\mathbf{v})$$

It's often easiest to show this single condition.

Linear Combinations

$$T(a_1\mathbf{v}_1 + a_2\mathbf{v}_2 + \dots + a_n\mathbf{v}_n) = a_1T(\mathbf{v}_1) + a_2T(\mathbf{v}_2) + \dots + a_nT(\mathbf{v}_n)$$

We can generalize this condition to any linear combination.

Linear Combinations

$$T\left(\sum_{i=1}^{n} a_i \mathbf{v}_i\right) = \sum_{i=1}^{n} a_i T(\mathbf{v}_i)$$

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Linear Combinations

$$T\left(\sum_{i=1}^{n} a_i \mathbf{v}_i\right) = \sum_{i=1}^{n} a_i T(\mathbf{v}_i)$$

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This is the most useful form.

Example: Identity

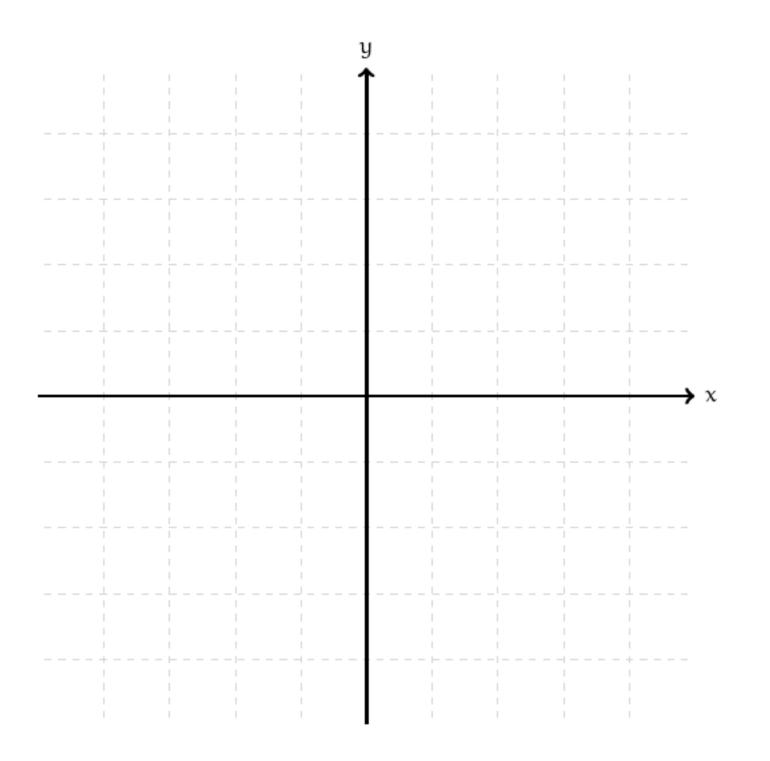
$$T(\mathbf{v}) = \mathbf{v}$$

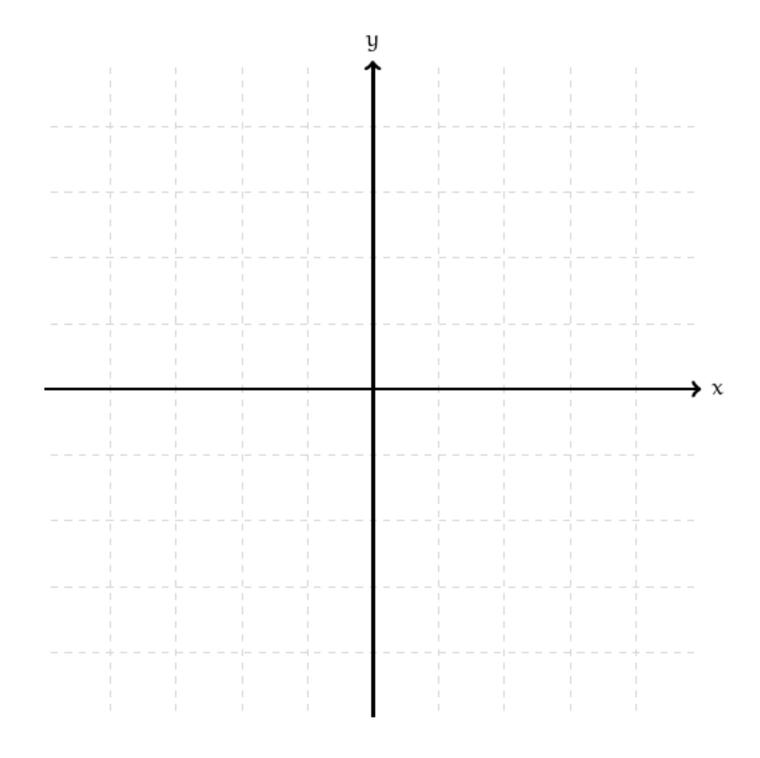
Example: Zero

$$T(\mathbf{v}) = 0$$

Example: Rotation

We'll see this on Thursday, but we can reason about it geometrically for now.

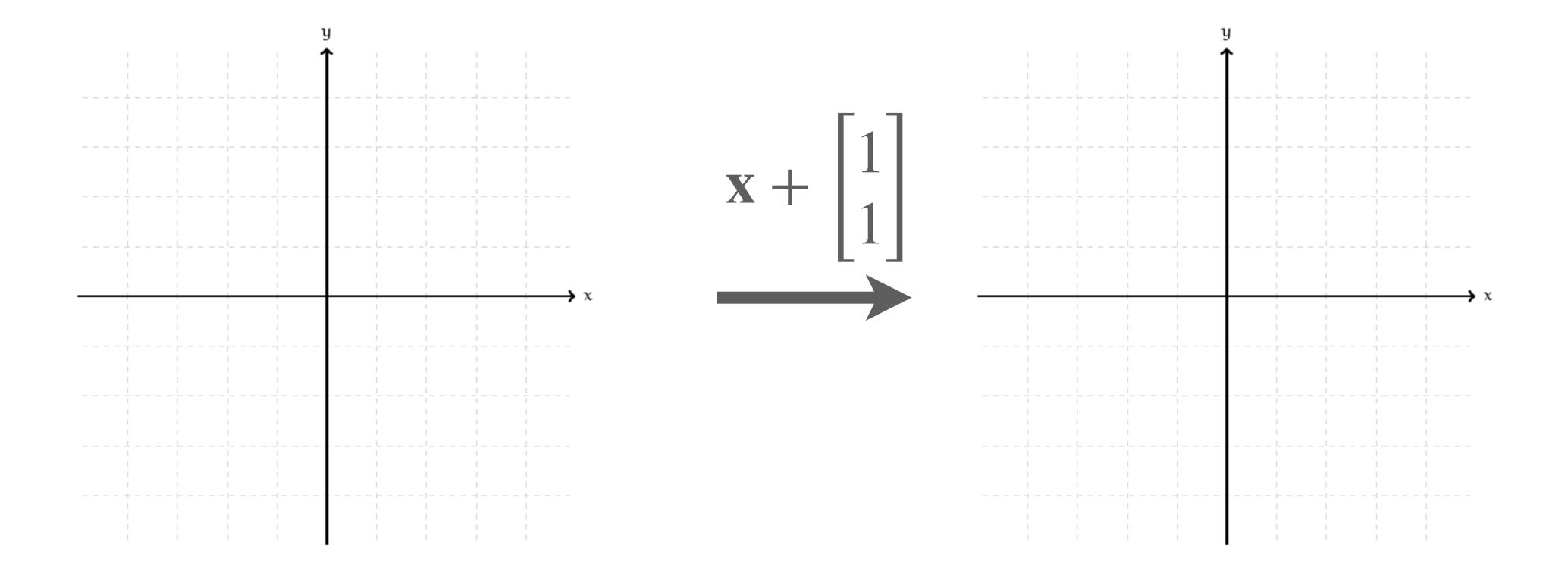




Non-Example: Squares

$$T(x) = x^2$$
Note that $T: \mathbb{R}^1 \to \mathbb{R}^1$

Non-Example: Translation



Example (Understanding Check)

$$T(\mathbf{v}) = 5\mathbf{v}$$

Example (Understanding Check)

$$T(x) = e^x$$

Properties of Linear Transformations

$$T(0) = ???$$

$$T(0) = 0$$

The Zero Vector

$$T(0) = 0$$

The zero vector is *fixed* by linear transformations. It can't move anywhere.

The Zero Vector

Note: These may be different dimensions!

The zero vector is *fixed* by linear transformations. It can't move anywhere.

Verification

any matrix transformation:

rotation about the origin:

translation (non-example):

$$T(a\mathbf{v} + b\mathbf{u}) = aT(\mathbf{v}) + bT(\mathbf{u})$$

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$$= T(a\mathbf{v}) + T(b\mathbf{u})$$
 (by additivity)

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= $T(a\mathbf{v}) + T(b\mathbf{u})$ (by additivity)
= $aT(\mathbf{v}) + bT(\mathbf{u})$ (by homogeneity for each term)

Theorem. A transformation $T: \mathbb{R}^m \to \mathbb{R}^n$ is linear if and only if for any vectors \mathbf{u} and \mathbf{v} in \mathbb{R}^m and any real numbers a and b,

$$T(a\mathbf{u} + b\mathbf{v}) = aT(\mathbf{u}) + bT(\mathbf{v})$$

Example (Again)

$$T(\mathbf{v}) = 5\mathbf{v}$$

Linear Combinations

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We can generalize this condition to any linear combination.

Linear Combinations

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Linear Combinations

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We can generalize this condition to any linear combination.

This is the most useful form.

We know that matrix transformations are linear transformations.

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Are there any other kinds of linear transformations?

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Matrix of a Linear Transformation

Theorem. A transformation T is linear if and only if there is a matrix whose corresponding transformation is T (which "implements" T).

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Linear transformations are **exactly** matrix transformations.

A Fundamental Concern

Given a linear transformation T, how do we find the matrix A such that

$$T(\mathbf{v}) = A\mathbf{v}$$
?

A Thought Experiment

Suppose I tell you ${\it T}$ is a linear transformation and

$$T\left(\begin{bmatrix}1\\2\end{bmatrix}\right) = \begin{bmatrix}3\\4\end{bmatrix} \qquad T\left(\begin{bmatrix}3\\4\end{bmatrix}\right) = \begin{bmatrix}5\\6\end{bmatrix}$$

Do we know what
$$T\begin{pmatrix} 4 \\ 6 \end{pmatrix}$$
 is?

Answer: Yes

$$T\left(\begin{bmatrix}1\\2\end{bmatrix}\right) = \begin{bmatrix}3\\4\end{bmatrix} \qquad T\left(\begin{bmatrix}3\\4\end{bmatrix}\right) = \begin{bmatrix}5\\6\end{bmatrix}$$

Because of additivity:

$$T\left(\begin{bmatrix} 4\\6\end{bmatrix}\right) =$$

A Thought Experiment
$$T\left(\begin{bmatrix}1\\2\end{bmatrix}\right) = \begin{bmatrix}3\\4\end{bmatrix}$$
 $T\left(\begin{bmatrix}3\\4\end{bmatrix}\right) = \begin{bmatrix}5\\6\end{bmatrix}$

What about:

$$T\left(\begin{bmatrix}2\\3\end{bmatrix}\right) =$$

$$T\left(\begin{bmatrix}1\\0\end{bmatrix}\right) =$$

The Takeaway

Linearity is a very strong restriction.

If we know the values of $T: \mathbb{R}^n \to \mathbb{R}^m$ on **any** set of vectors which spans all of \mathbb{R}^n , then we know T.

why?:

Suppose I am holding a matrix A.

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Your objective is to figure out what A is.

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(you pick the v's, and I have to tell the truth)

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Your objective is to figure out what A is.

But you're only allowed to ask the question:

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(you pick the v's, and I have to tell the truth)

This is basically linear algebraic battleship.

Recall: Calculating Av

$$\begin{bmatrix} a_{11} & a_{12} & \dots & a_{1n} \\ a_{21} & a_{22} & \dots & a_{2n} \\ \vdots & \vdots & \ddots & \vdots \\ a_{m1} & a_{m2} & \dots & a_{mn} \end{bmatrix} \begin{bmatrix} v_1 \\ v_2 \\ \vdots \\ v_n \end{bmatrix} = \begin{bmatrix} ? \\ ? \\ \vdots \\ ? \end{bmatrix}$$

$$b_1 = a_{11}v_1 + a_{12}v_2 + \dots + a_{1n}v_n = \sum_{i=1}^{n} a_{1i}v_i$$

Recall: Matrix-Vector Multiplication

Definition. Given a $(m \times n)$ matrix A with columns $\mathbf{a}_1, \mathbf{a}_2, ..., \mathbf{a}_n$, and a vector \mathbf{v} in \mathbb{R}^n , we define

$$A\mathbf{v} = \begin{bmatrix} \mathbf{a}_1 & \mathbf{a}_2 & \dots & \mathbf{a}_n \end{bmatrix} \begin{bmatrix} v_1 \\ v_2 \\ \vdots \\ v_n \end{bmatrix} = v_1 \mathbf{a}_1 + v_2 \mathbf{a}_2 + \dots + v_n \mathbf{a}_n$$

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 $A\mathbf{v}$ is a linear combination of the columns of A with weights given by \mathbf{v}

Isolating a_{11}

$$b_1 = a_{11}v_1 + a_{12}v_2 + \dots + a_{1n}v_n = \sum_{i=1}^{n} a_{1i}v_i$$

Isolating a_{11}

$$b_1 = a_{11}v_1 + a_{12}v_2 + \dots + a_{1n}v_n = \sum_{i=1}^{n} a_{1i}v_i$$

We actually get the whole column \mathbf{a}_1

So its like battleship, but you get to choose one column at a time.

The Takeaway

We can learn the first column of the matrix implementing

$$T$$
 by looking at $T \left(\begin{bmatrix} 1 \\ 0 \\ \vdots \\ 0 \end{bmatrix} \right)$

Matrix of a Linear Transformation

Standard Basis

Definition. The *n*-dimensional standard basis vectors (or standard coordinate vectors) are the vectors $\mathbf{e}_1, ..., \mathbf{e}_n$ where

$$\mathbf{e}_{i} = \begin{bmatrix} 0 & 1 & 1 \\ 0 & 2 & \vdots \\ 0 & i-1 \\ 1 & 0 & i+1 \\ \vdots & \vdots \\ 0 & n-1 \\ 0 & n \end{bmatrix}$$

Standard Basis

Definition (Alternative). The n-dimensional standard basis vectors $\mathbf{e}_1, ..., \mathbf{e}_n$ are the columns of the $n \times n$ identity matrix.

$$I = [\mathbf{e}_1 \ \mathbf{e}_2 \ \dots \ \mathbf{e}_n]$$

Standard Basis and the Matrix Equation

The key points: $[\mathbf{a}_1 \ \mathbf{a}_2 \ \dots \ \mathbf{a}_n] \mathbf{e}_i = \mathbf{a}_i$

The standard basis vectors gives us a way to "look into" a matrix.

Standard Basis and Vector Coordinates

$$\begin{bmatrix} v_1 \\ v_2 \\ \vdots \\ v_n \end{bmatrix} = v_1 \mathbf{e}_1 + v_2 \mathbf{e}_2 + \dots + v_n \mathbf{e}_n$$
vectors can be viewed as descri-

Column vectors can be viewed as describing how to write a vector as a linear combination of the standard basis.

Example:

Standard Basis and Linear Transformations

Theorem. For any linear transformation $T: \mathbb{R}^n \to \mathbb{R}^m$, the matrix

$$A = \begin{bmatrix} T(\mathbf{e}_1) & T(\mathbf{e}_2) & \dots & T(\mathbf{e}_n) \end{bmatrix}$$

is the <u>unique</u> matrix such that $T(\mathbf{v}) = A\mathbf{v}$ for all \mathbf{v} in \mathbb{R}^n .

More Formally

$$T(\mathbf{v}) =$$

$$= \begin{bmatrix} T(\mathbf{e}_1) & T(\mathbf{e}_2) & \dots & T(\mathbf{e}_n) \end{bmatrix} \mathbf{v}$$

How To: Matrices of Linear Transformations

Question. Find the matrix which implements the transformation $T: \mathbb{R}^n \to \mathbb{R}^m$.

Solution. Determine the images of standard basis under T. Then write down

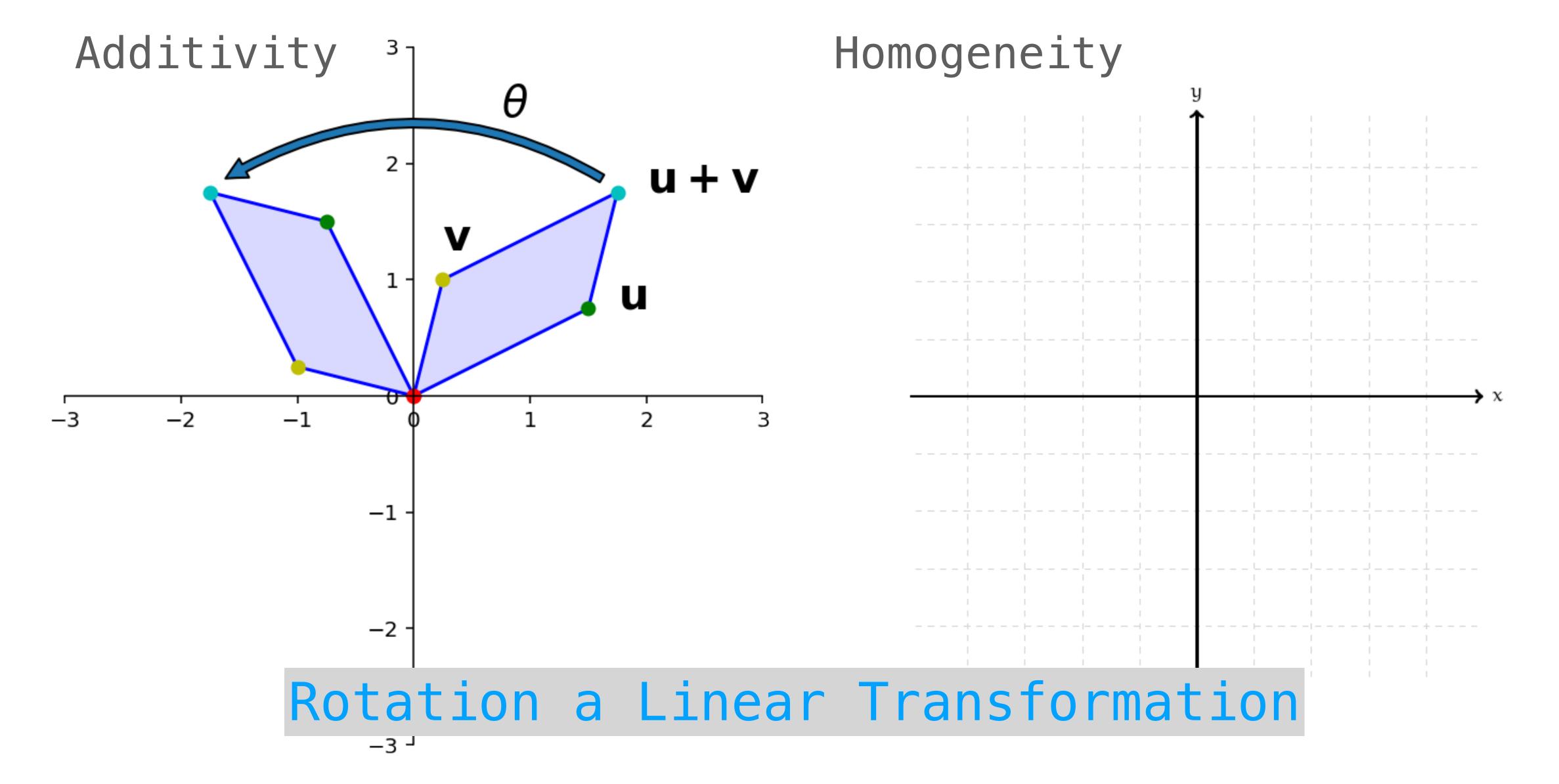
$$T(\mathbf{e}_1)$$
 $T(\mathbf{e}_2)$... $T(\mathbf{e}_n)$

Question

Write done the matrix which implements the linear transformation T which **rotates** vectors by 90 degrees clockwise.

Answer

General Rotation



Geometry of Matrix Transformations

Motivating Questions

What kind of functions can we define in this way?

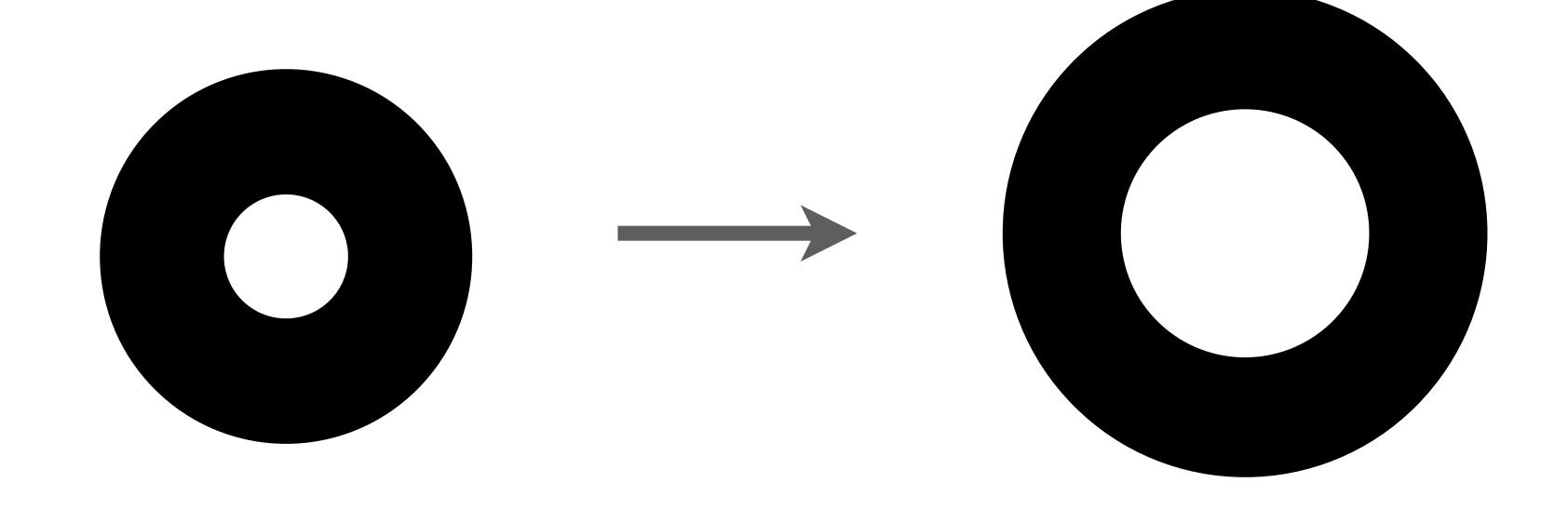
How do we interpret what the transformation does to a set of vectors?

How does this relate back to matrix equations?

Motto

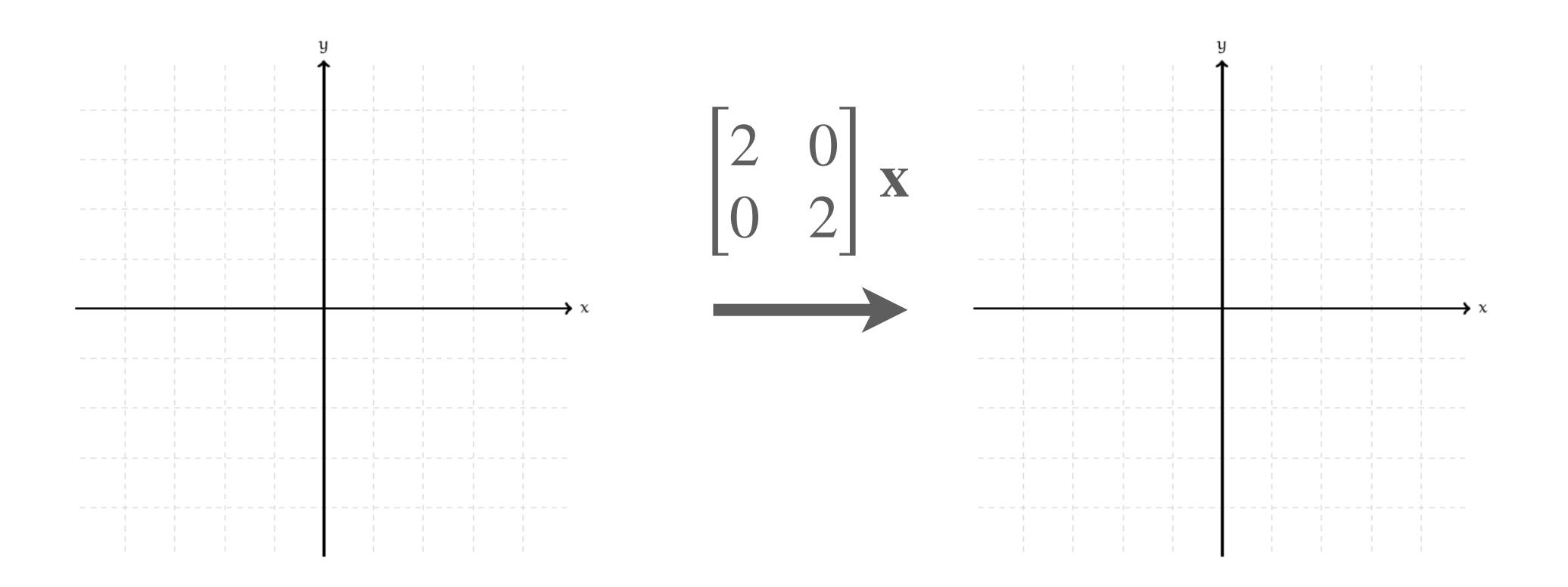
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Matrix transformations change the "shape" of a set of set of vectors (points).
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Example: Dilation



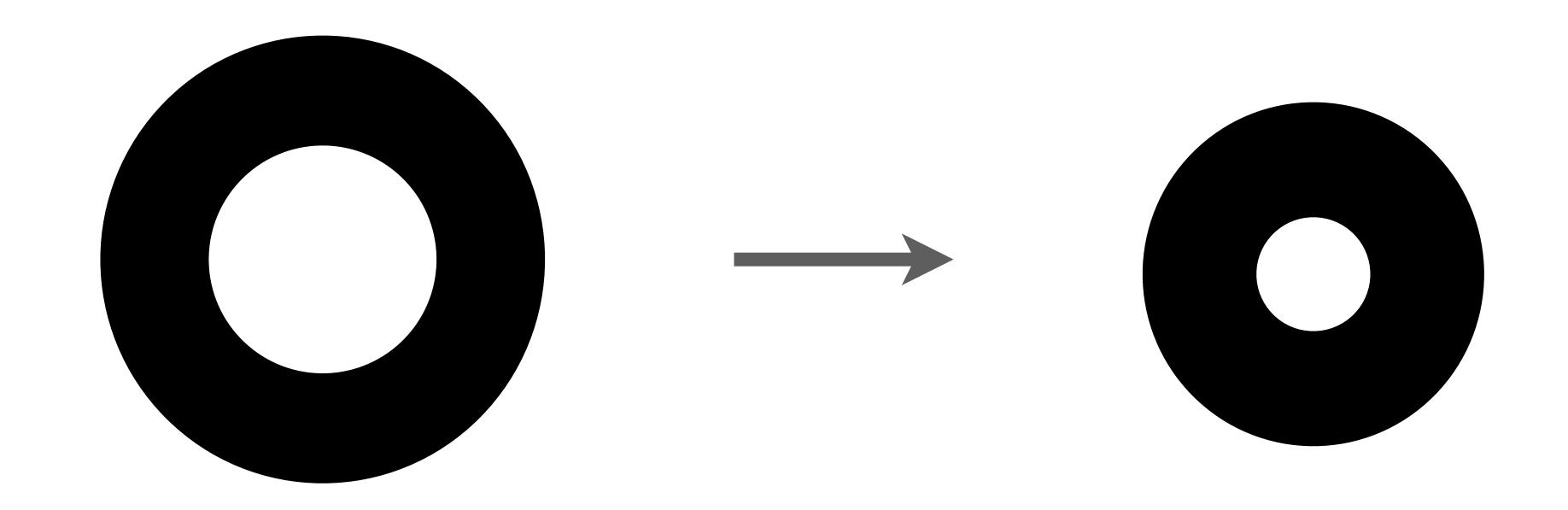
Example: Dilation

$$\begin{bmatrix} r & 0 \\ 0 & r \end{bmatrix} \begin{bmatrix} x_1 \\ x_2 \end{bmatrix} = \begin{bmatrix} rx_1 \\ rx_2 \end{bmatrix}$$



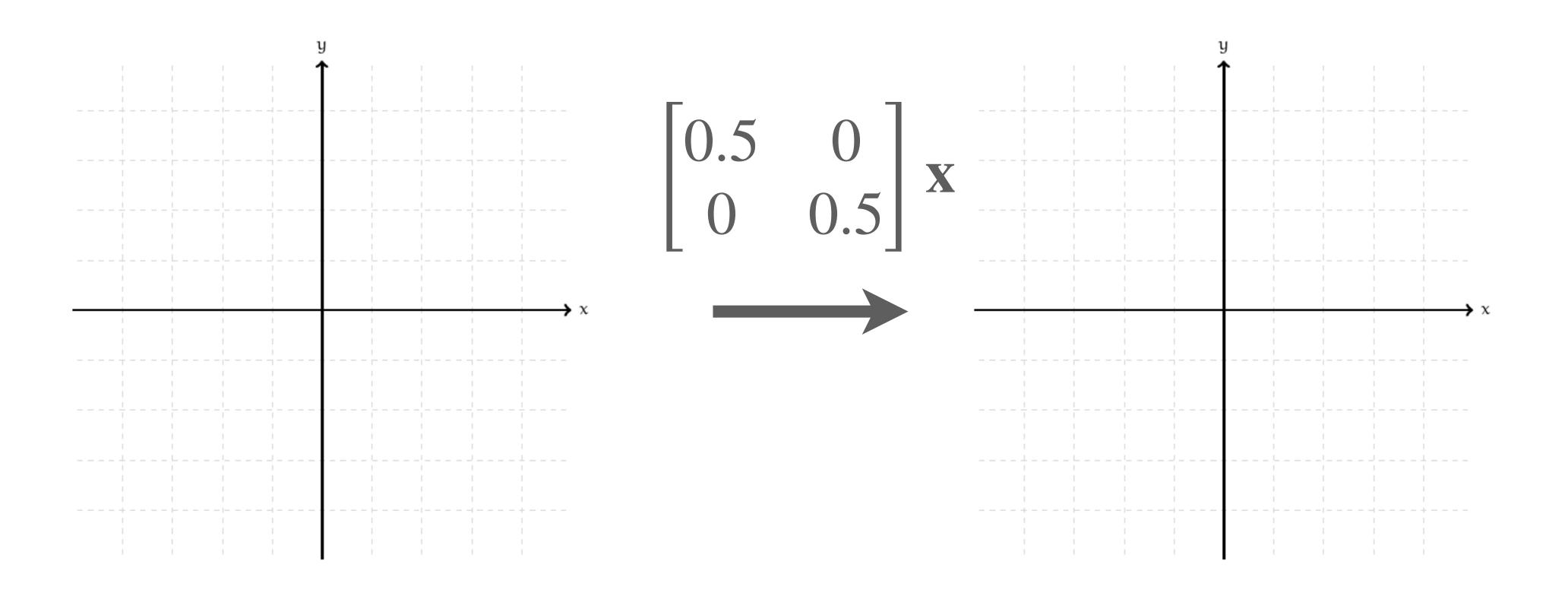
if r > 1, then the transformation pushes points away from the origin.

Example: Contraction



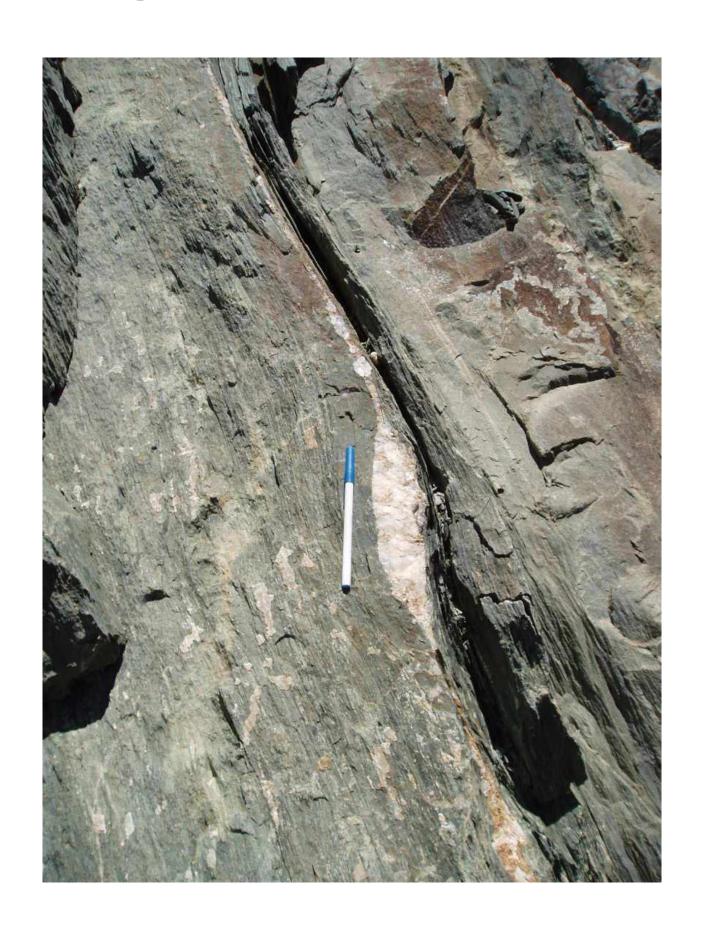
Example: Contraction

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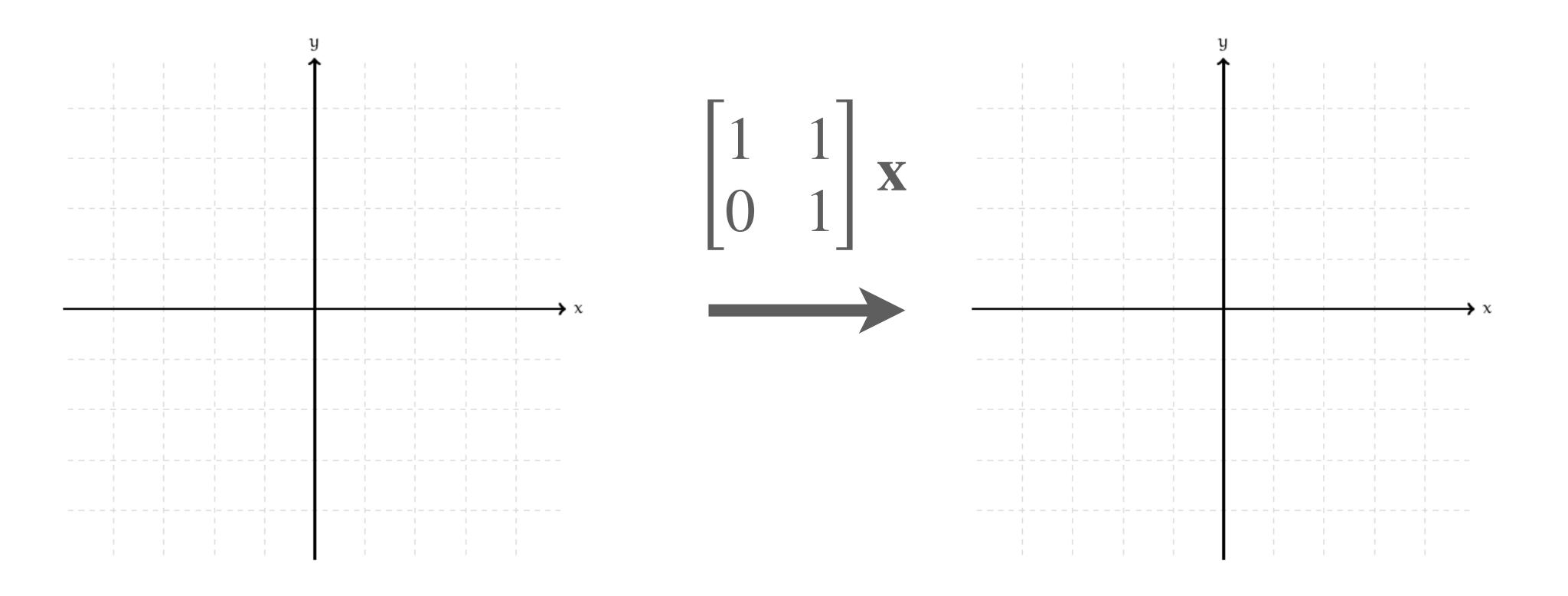
if $0 \le r \le 1$, then the transformation pulls points towards the origin.

Example: Shearing



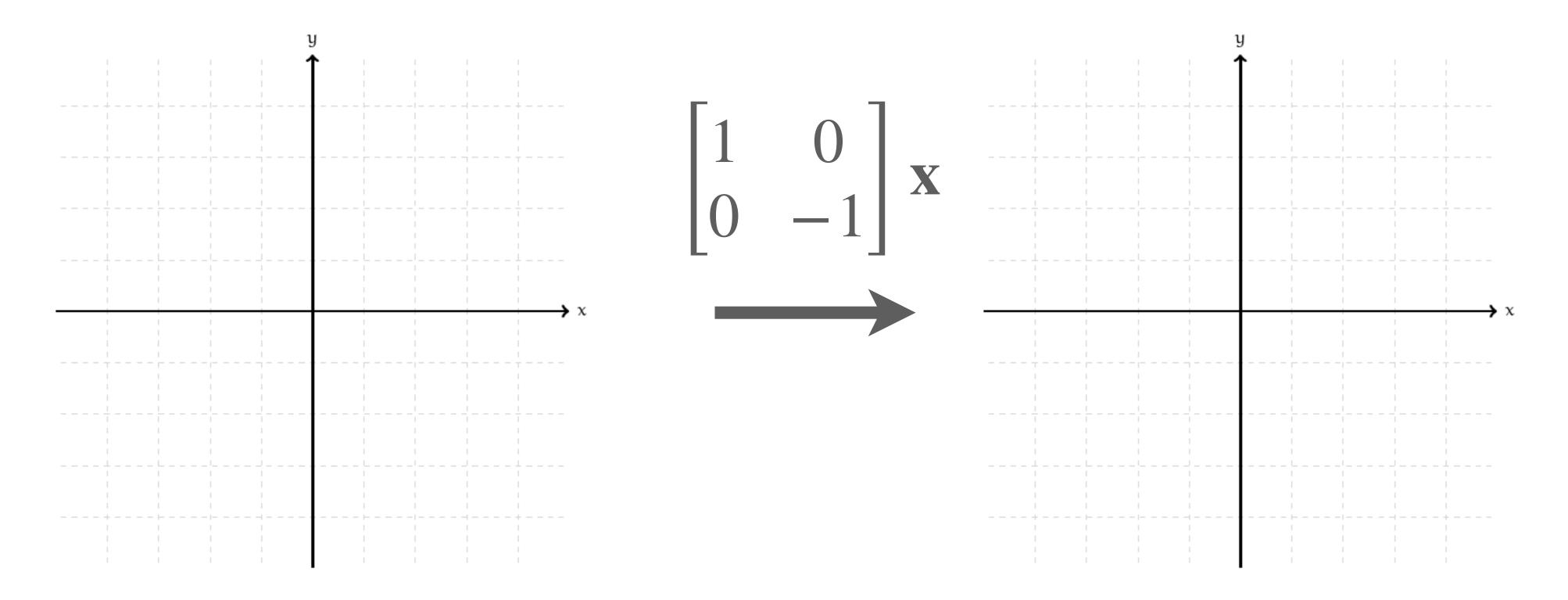
Example: Shearing

$$\begin{bmatrix} x_1 \\ x_2 \end{bmatrix} = \begin{bmatrix} x_1 + x_2 \\ x_2 \end{bmatrix}$$



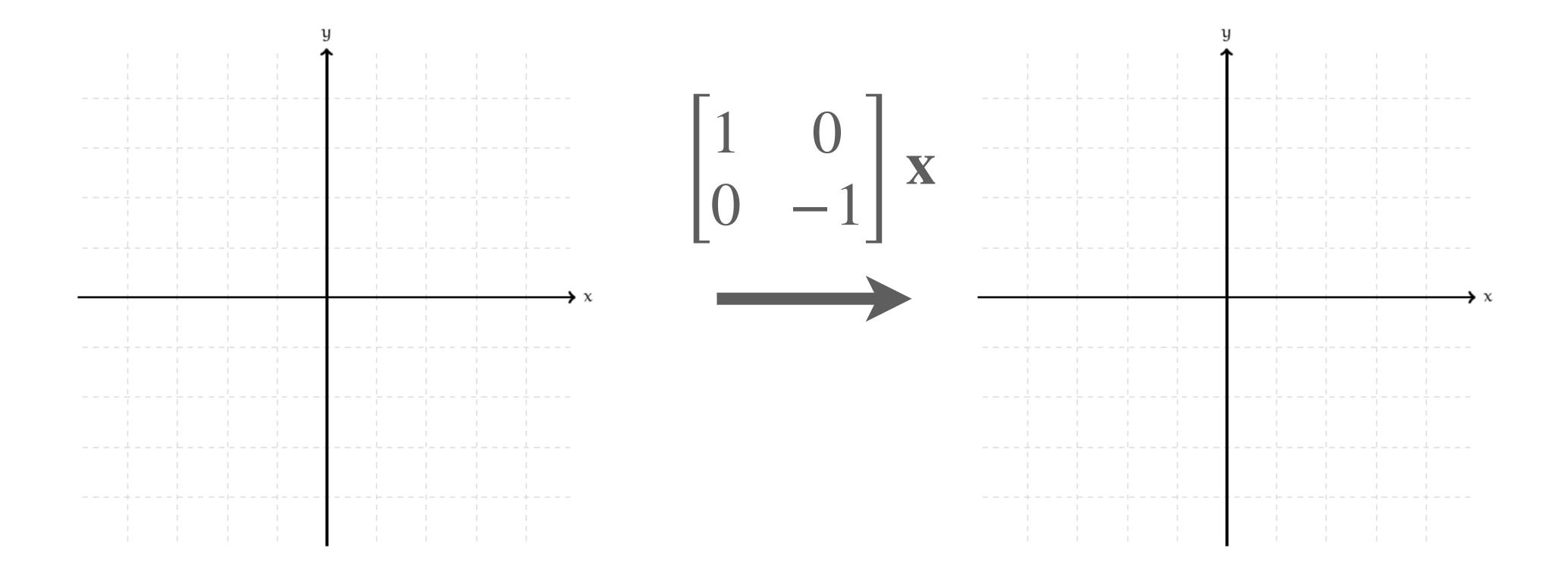
Imagine shearing like with rocks or metal.

Question



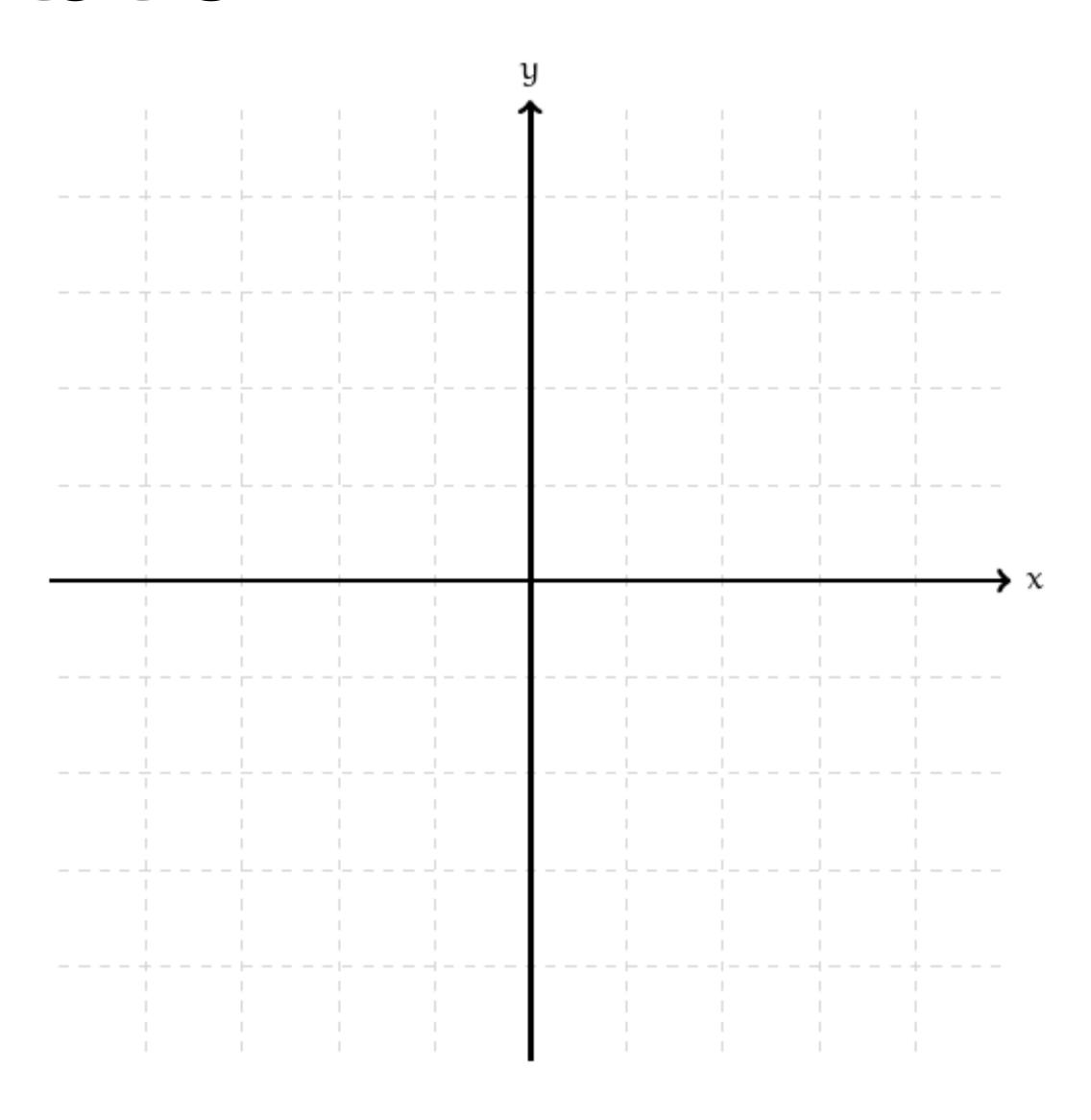
Draw how this matrix transforms points. What kind of transformation does it represent?

Answer: Reflection



General Rotation

How does rotation affect the standard basis?



$$\begin{bmatrix} \cos \theta & -\sin \theta \\ \sin \theta & \cos \theta \end{bmatrix}$$

$$\begin{bmatrix} \cos \theta & -\sin \theta \\ \sin \theta & \cos \theta \end{bmatrix}$$

Note: This is rotation about the origin.

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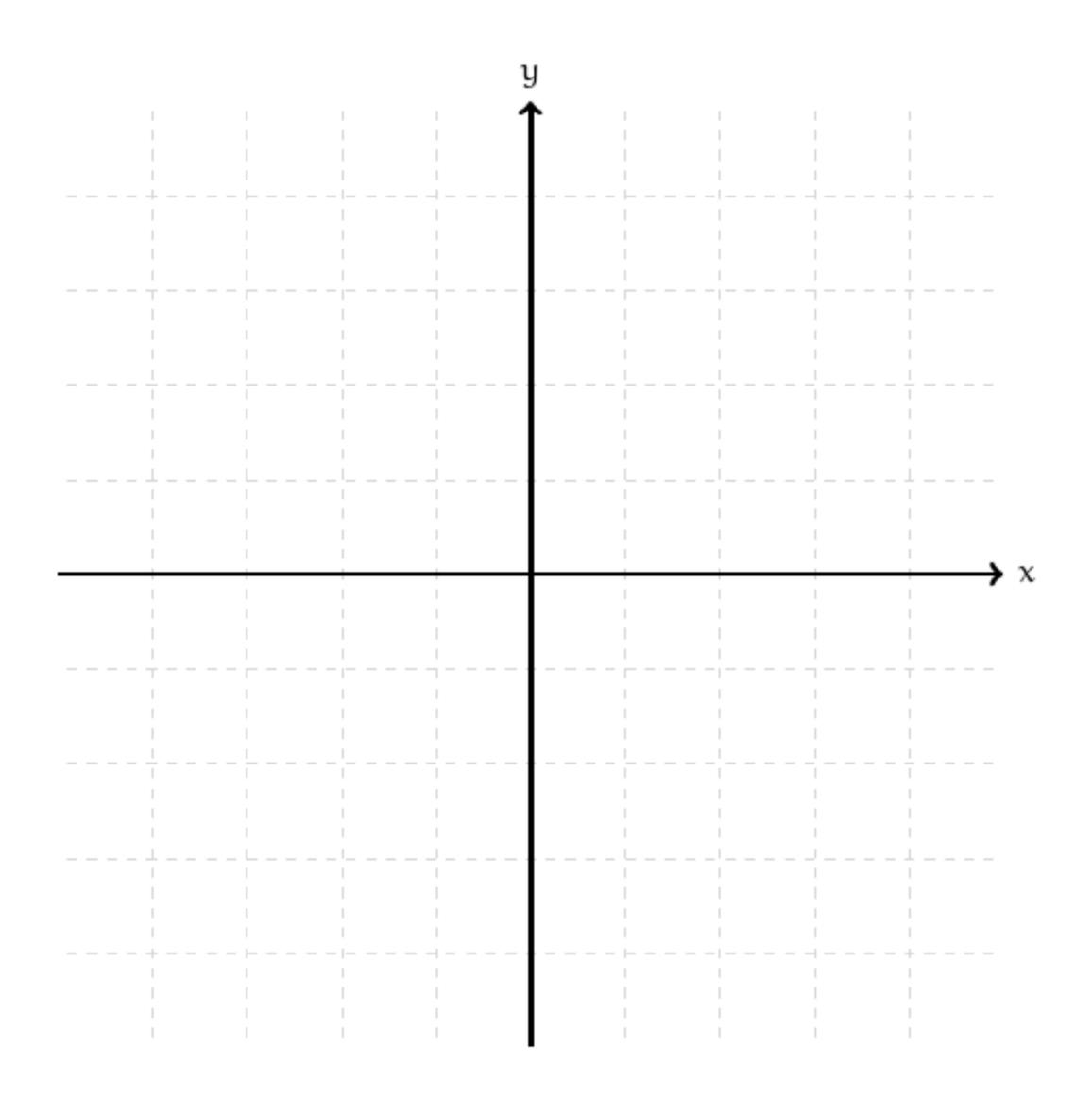
The Takeaway: We can figure out the matrices which implement complex linear transformations by understanding what they do to the standard basis.

Question (Conceptual)

Is rotation about a point other than the origin a linear transformation?

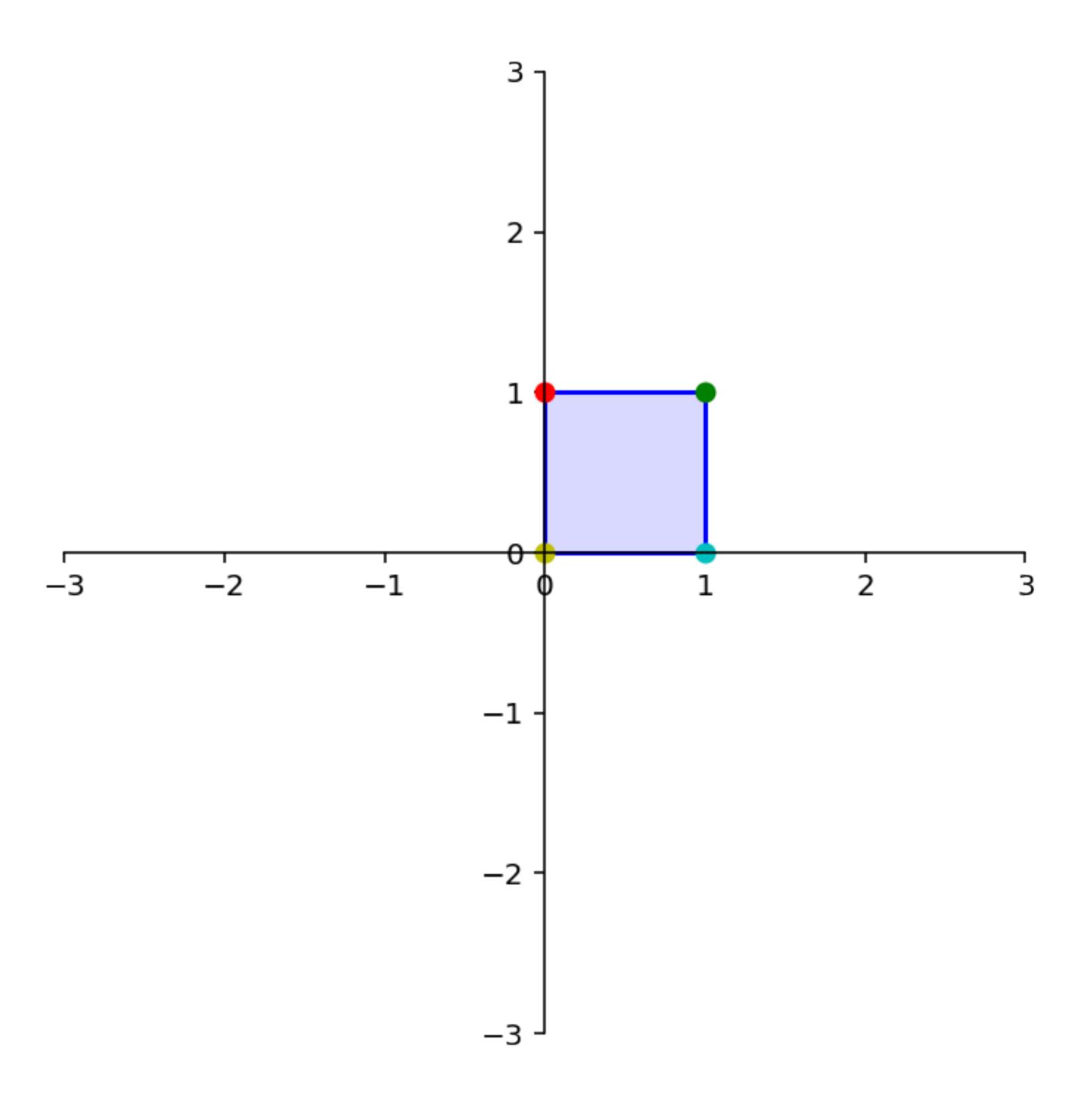
Answer: No

The origin is not fixed by this transformation.



The Unit Square

The *unit square* is the set of points in \mathbb{R}^2 enclosed by the points (0,0), (0,1), (1,0), (1,1).



How To: The Unit Square and Matrices

How To: The Unit Square and Matrices

Question. Find the matrix which implements the linear transformation which is represented geometrically in the following picture.

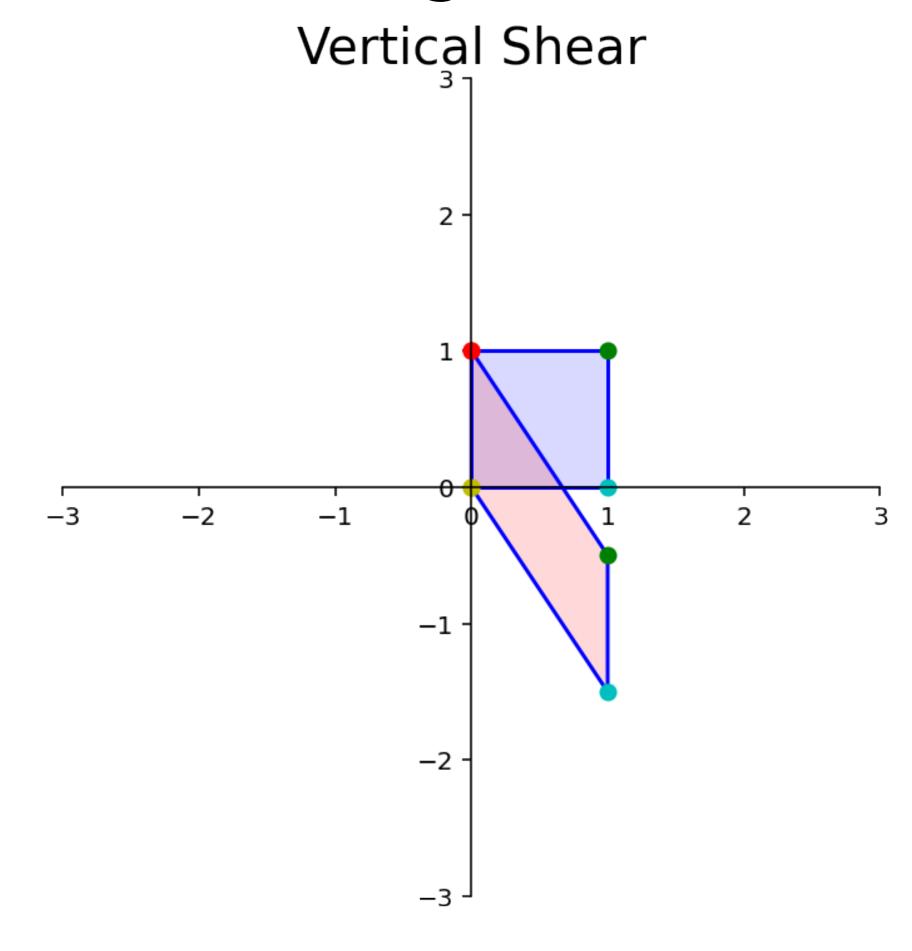
How To: The Unit Square and Matrices

Question. Find the matrix which implements the linear transformation which is represented geometrically in the following picture.

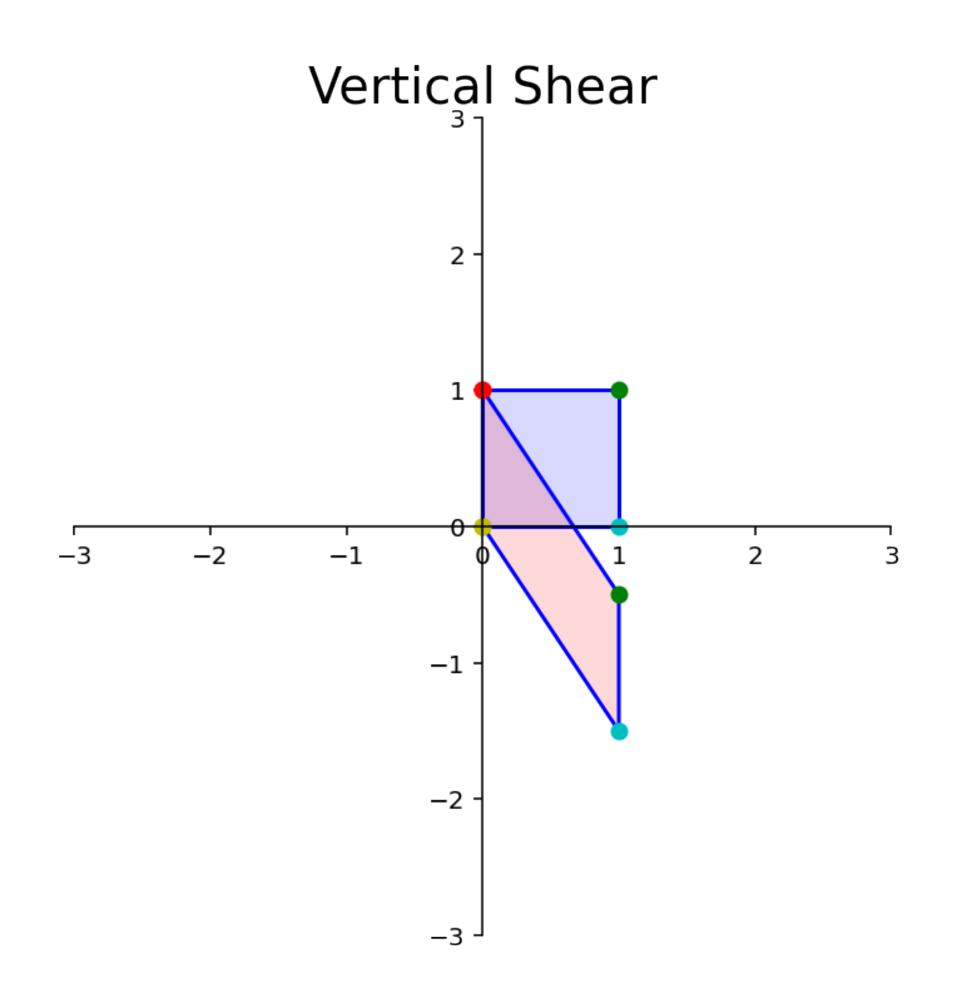
Solution. Find where the standard basis vectors go.

Question

Write down the matrix for the following shearing operation using this method.



Answer

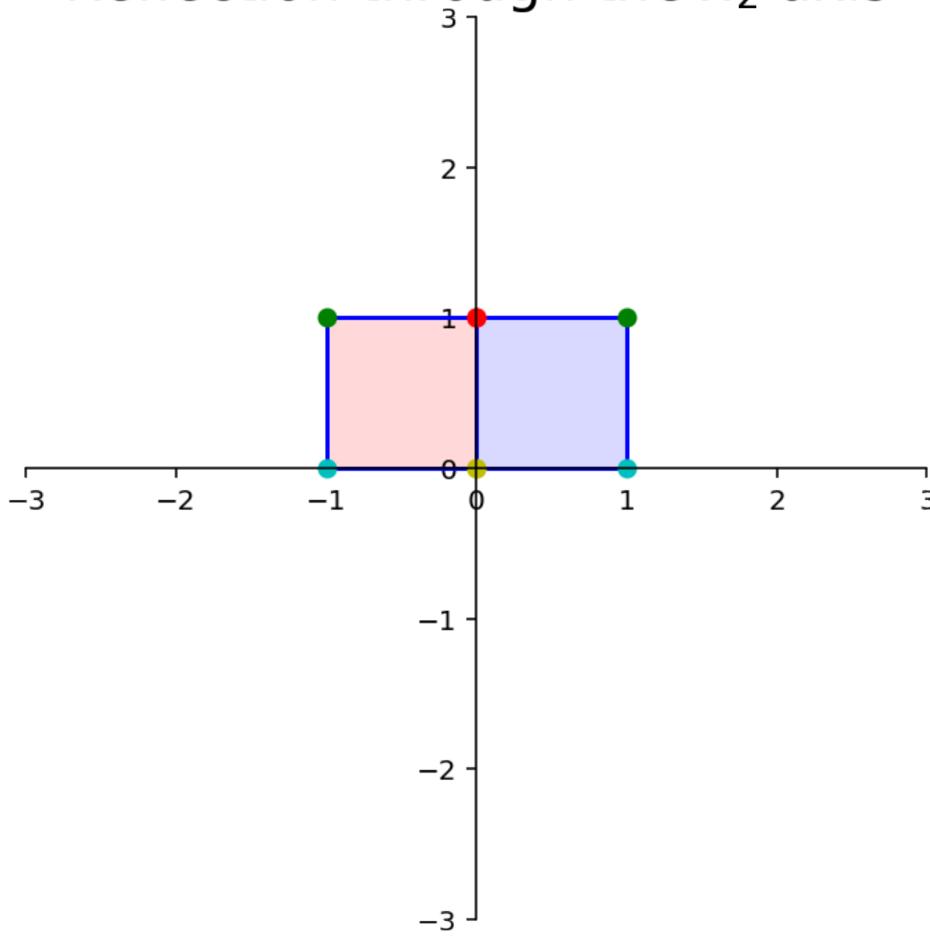


You need to know these matrices, but you don't need to memorize them.

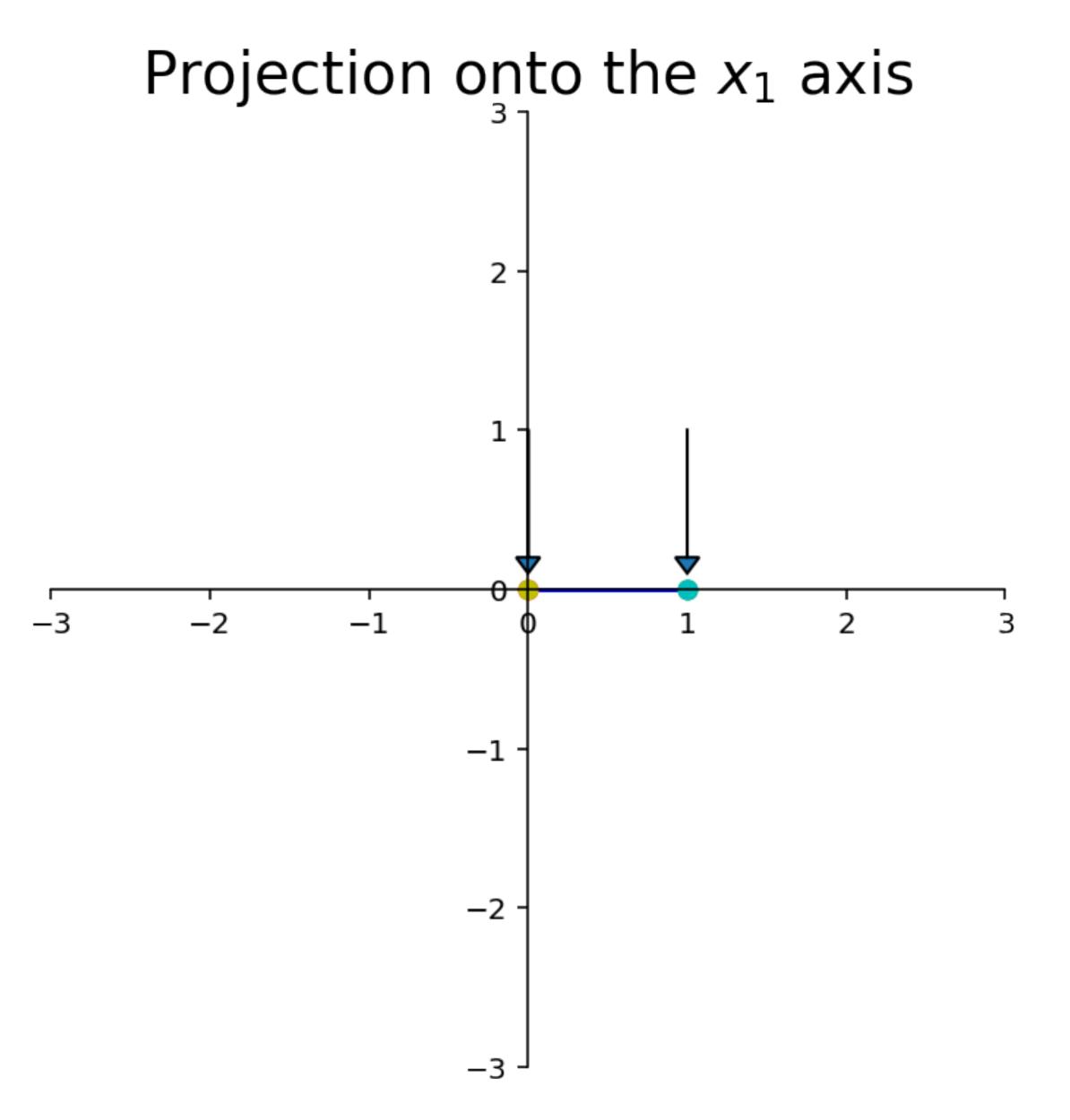
Remember: What does this matrix do to the unit square? Then build the matrix from there.

Reflection through the x_2 -axis

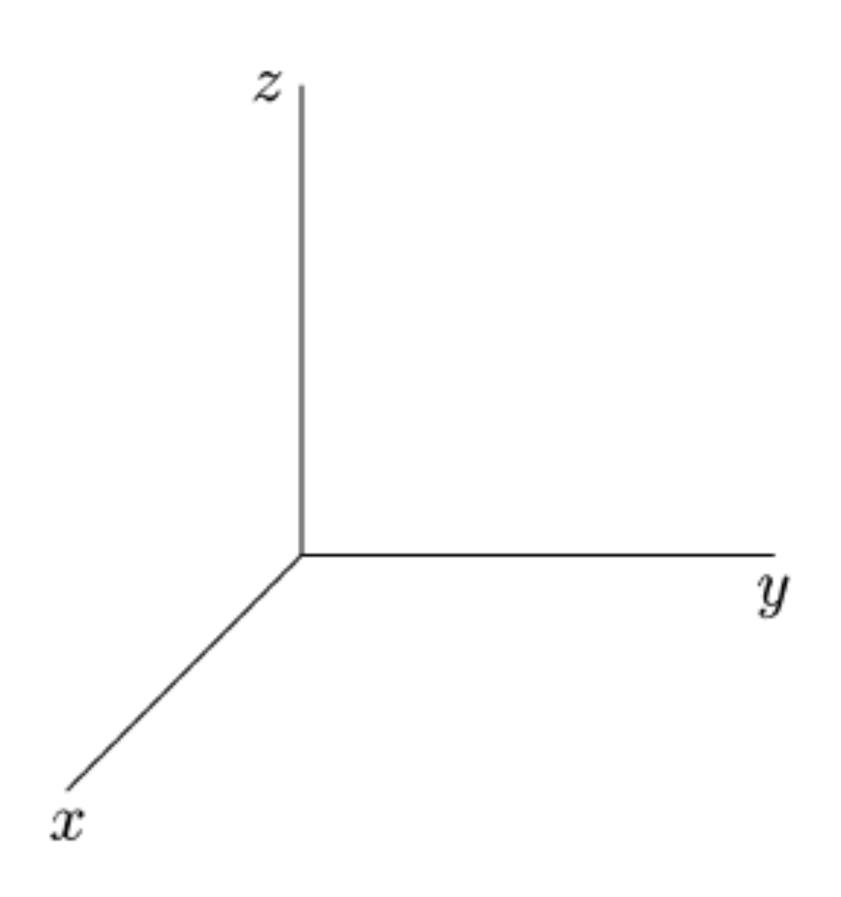
Reflection through the x_2 axis

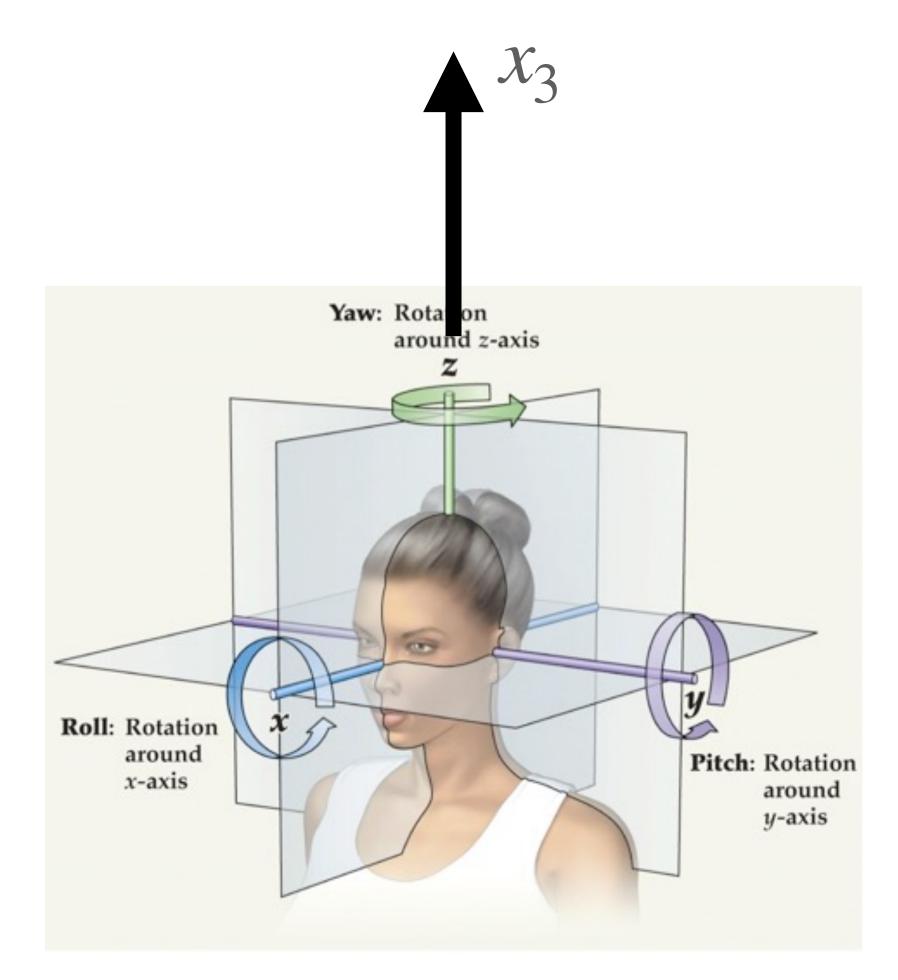


Projections



A 3D Example: Rotation about the x_3 -Axis (z-Axis)





List of Important 2D Linear Transformations

- » dilation, contraction
- » reflections
- » projections
- » horizontal/vertical contractions
- » horizontal/vertical shearing

Look through the notes for a comprehensive collection of pictures or...

demo

One-to-One and Onto

Recall: Motivating Questions

What kind of functions can we define in this way?

How do we interpret what the transformation does to a set of vectors?

How does this relate back to matrix equations?

Recall: A New Interpretation of the Matrix Equation

 $A\mathbf{x} = \mathbf{b}$? \equiv is there a vector which A transforms into \mathbf{b} ?

Solve $A\mathbf{x} = \mathbf{b} \equiv \text{find a vector which } A$ transforms into \mathbf{b}

Recall: A New Interpretation of the Matrix Equation

$$A\mathbf{x} = \mathbf{b}$$
? \equiv is there a vector which A transforms into \mathbf{b} ?

Solve
$$A\mathbf{x} = \mathbf{b} \equiv \text{find a vector which } A$$

transforms into \mathbf{b}

What about other questions?

Other Questions Like...

Does $A\mathbf{x} = \mathbf{b}$ have a solution for any choice of b?

Does $A\mathbf{x} = \mathbf{0}$ have a unique solution?

Other Questions Like...

Does $A\mathbf{x} = \mathbf{b}$ have at least one solution for any choice of \mathbf{b} ?

Does $A\mathbf{x} = \mathbf{b}$ have at most one solution for any choice of \mathbf{b} ?

Wait

```
A\mathbf{x} = \mathbf{0} has a unique solution
```

why?:

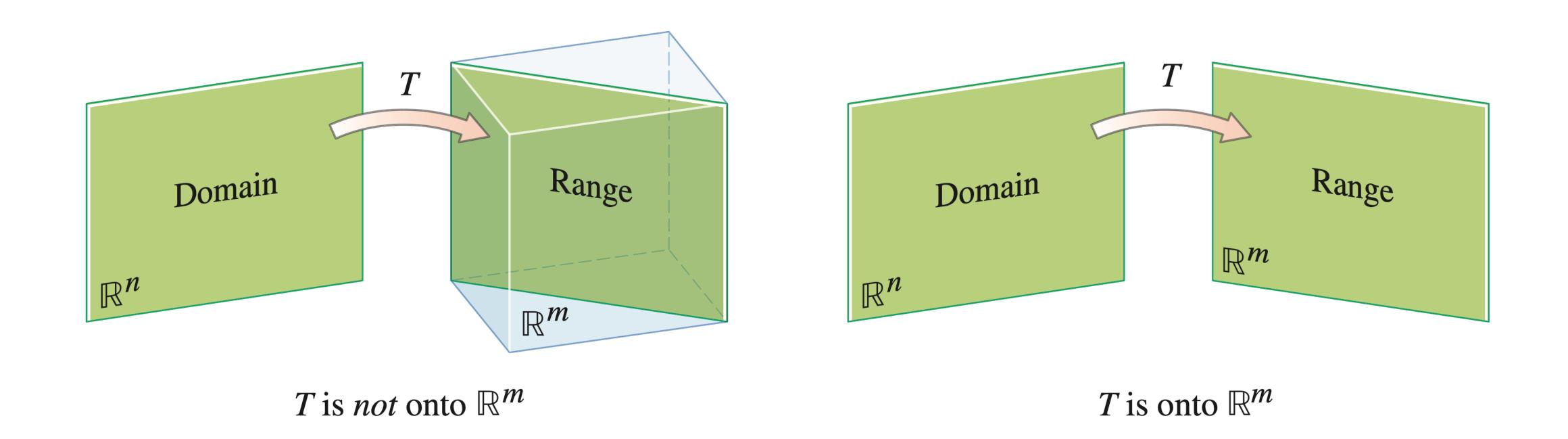
Ax = b has at most one solution

Onto and One-to-One

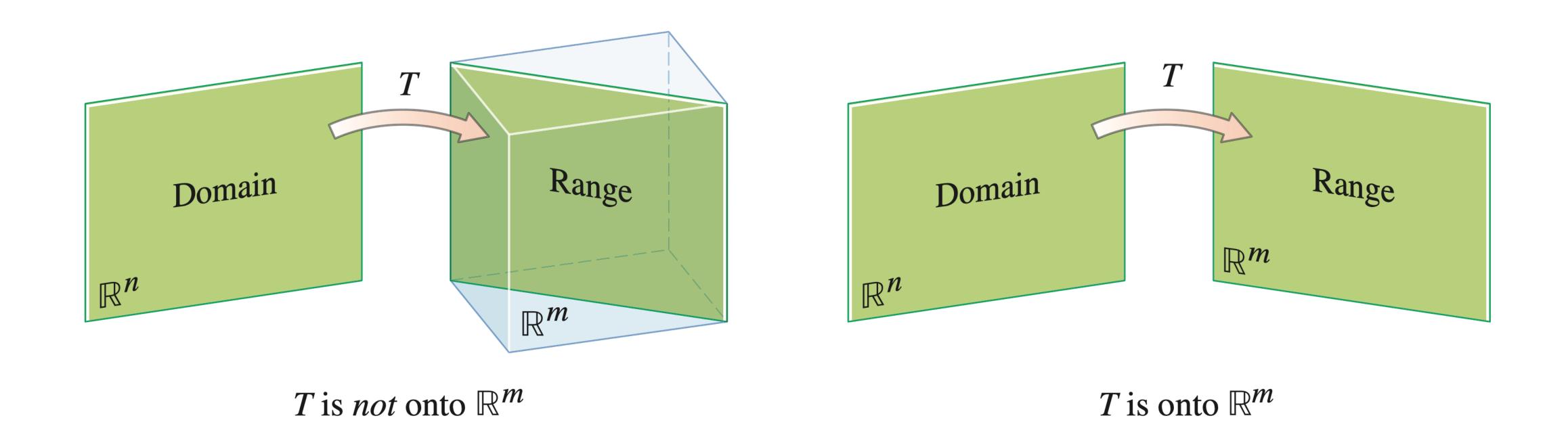
Definition. A transformation $T: \mathbb{R}^n \to \mathbb{R}^m$ is **onto** if any vector \mathbf{b} in \mathbb{R}^m is the image of at least one vector \mathbf{v} in \mathbb{R}^n (where $T(\mathbf{v}) = \mathbf{b}$).

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Onto (Pictorially)



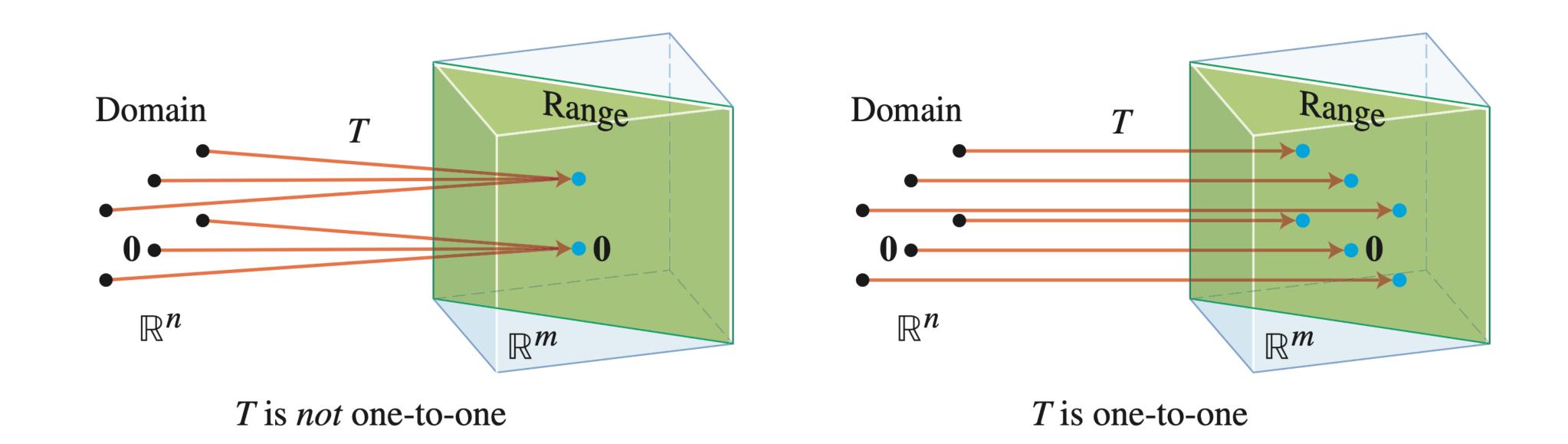
Onto (Pictorially)



T is onto if its range = its codomain

image source: Linear Algebra and its Applications. Lay, Lay, and McDonald

One-to-One (Pictorially)



Taking Stock: Onto

Theorem. The following are logically equivalent for the linear transformation $T: \mathbb{R}^n \to \mathbb{R}^m$ implemented by the matrix A.

- $\gg T$ is onto
- $\Rightarrow Ax = b$ has a solution for any choice of b
- \Rightarrow range(T) = codomain(T)
- \gg the columns of A span \mathbb{R}^m
- $\gg A$ has a pivot position in every <u>row</u>

Taking Stock: One-to-One

Theorem. The following are logically equivalent for the linear transformation $T: \mathbb{R}^n \to \mathbb{R}^m$ implemented by the matrix A.

- $\gg T$ is one-to-one
- $\Rightarrow A\mathbf{x} = \mathbf{b}$ has at most one solution for any \mathbf{b}
- \gg The columns of A are linearly independent
- » A has a pivot position in every <u>column</u>

How To: One-to-One and Onto

Question. Show that the linear transformation T is one-to-one/onto.

Solution. (one approach) Find the matrix which implements T and see if it has a pivot in every column/row.

Warning: this is not the only way. Always try to think if you can solve it using *any* of the perspectives

Example: both 1-1 and onto

Rotation about the origin:

$$\begin{bmatrix}
\cos \theta & -\sin \theta \\
\sin \theta & \cos \theta
\end{bmatrix}$$

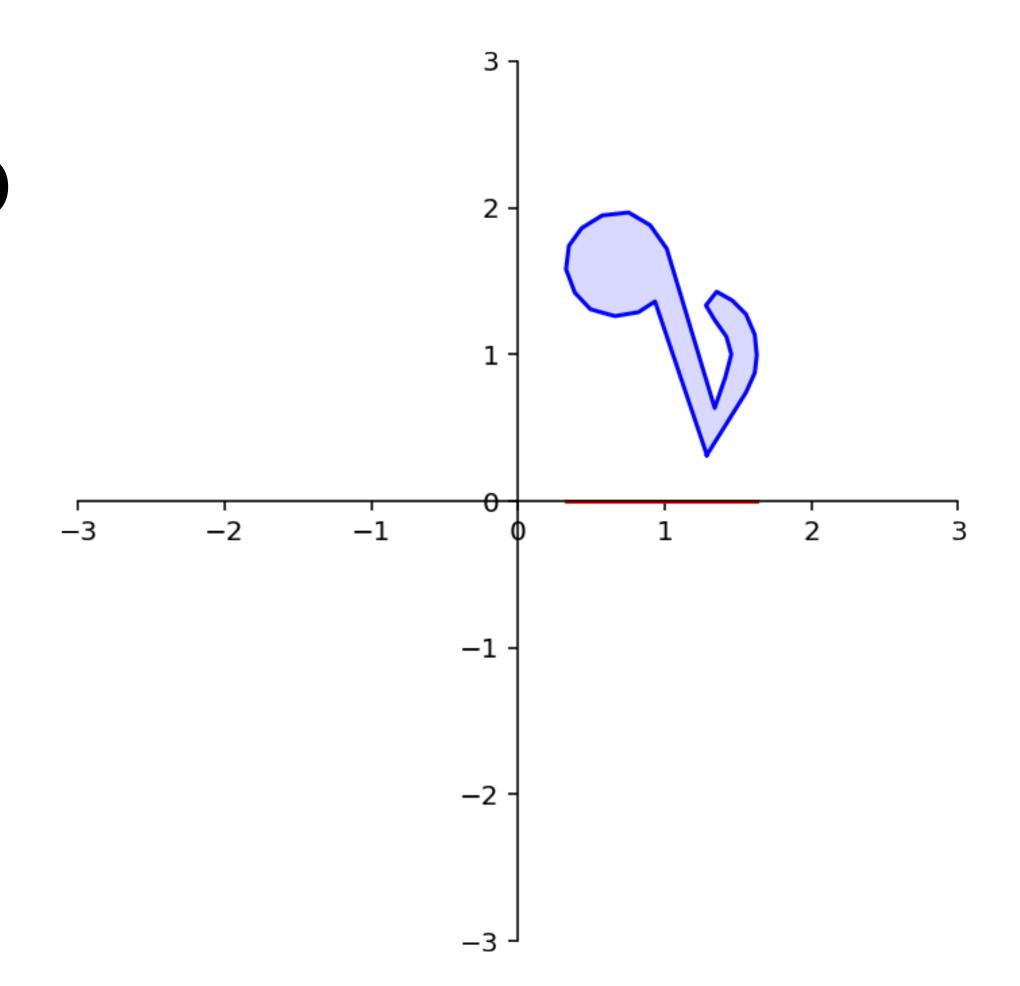
Example: 1-1, not onto

Lifting:

$$\begin{bmatrix} x_1 \\ x_2 \end{bmatrix} \mapsto \begin{bmatrix} x_1 \\ x_2 \\ x_1 + x_2 \end{bmatrix}$$

Example: not 1-1, not onto

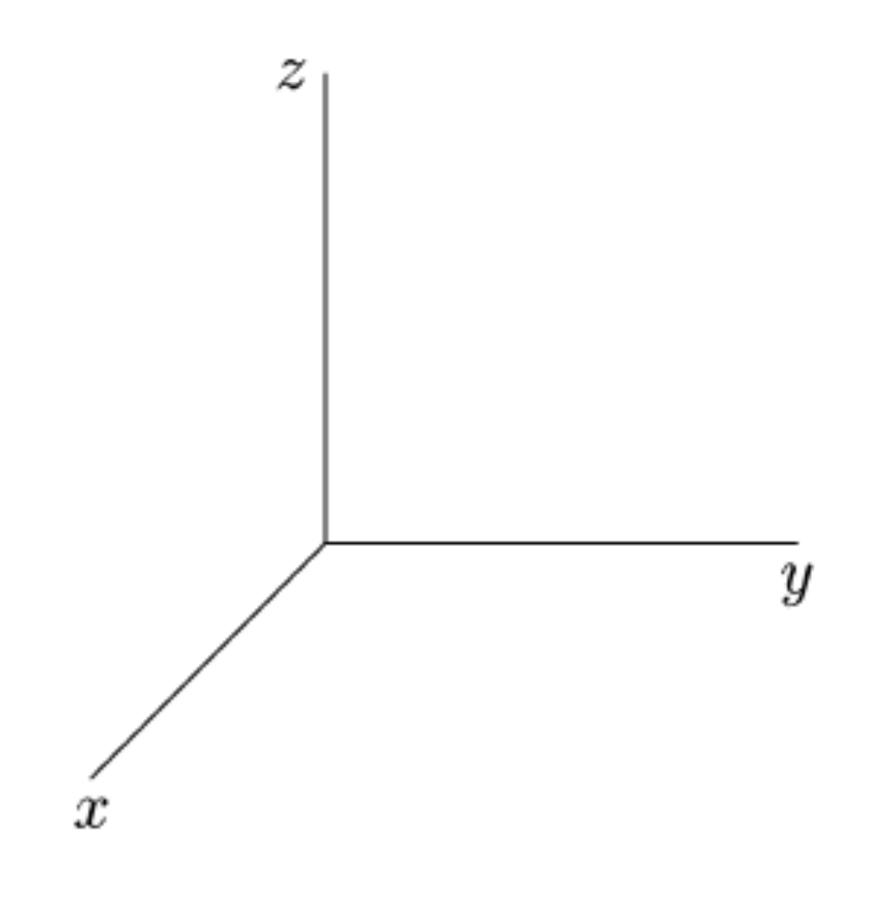
Projection onto the x_1 axis:



Example: onto, not 1-1

Projection from \mathbb{R}^3 to \mathbb{R}^2 .

$$\begin{bmatrix} x_1 \\ x_2 \\ x_3 \end{bmatrix} \mapsto \begin{bmatrix} x_1 \\ x_2 \end{bmatrix}$$



Summary

Matrix transformations and linear transformations are the same thing.

We can find these matrices by looking at how the transformation behaves on the <u>standard basis</u>.

We can reason about matrix equations by directly reasoning about the linear transformations.