Geometric Algorithms
Lecture 1

#### Outline

- Sive a few motivating examples for the study of linear systems
- >> Formally define linear systems
- » Solve some systems of linear equations

### Keywords

Systems of linear equations

Solutions

Coefficient matrix

Augmented matrix

Elimination and Back-substitution

Replacement, interchange, scaling

Row Equivalence

(In)consistency

## Motivation

### Lines (Slope-Intercept Form)

$$y = mx + b$$

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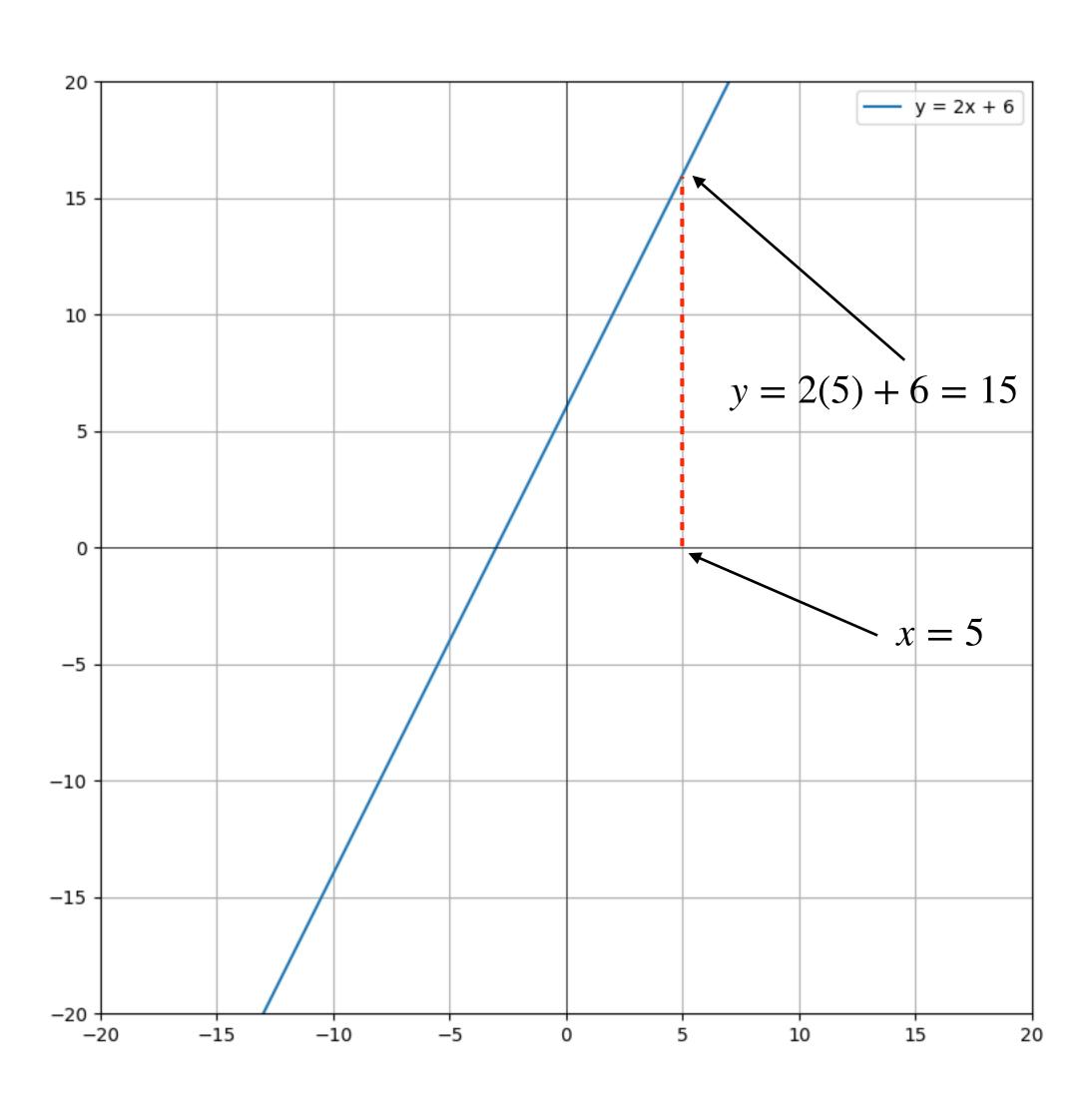
$$y = mx + b$$
slope y-intercept

## Lines (Slope-Intercept Form)

$$y = mx + b$$
slope y-intercept

Given a value of x, I can compute a value of y

## Lines (Graph)



$$ax + by = c$$

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x-intercept:  $\frac{c}{a}$ 

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x-intercept:  $\frac{c}{a}$ 

y-intercept:  $\frac{c}{b}$ 

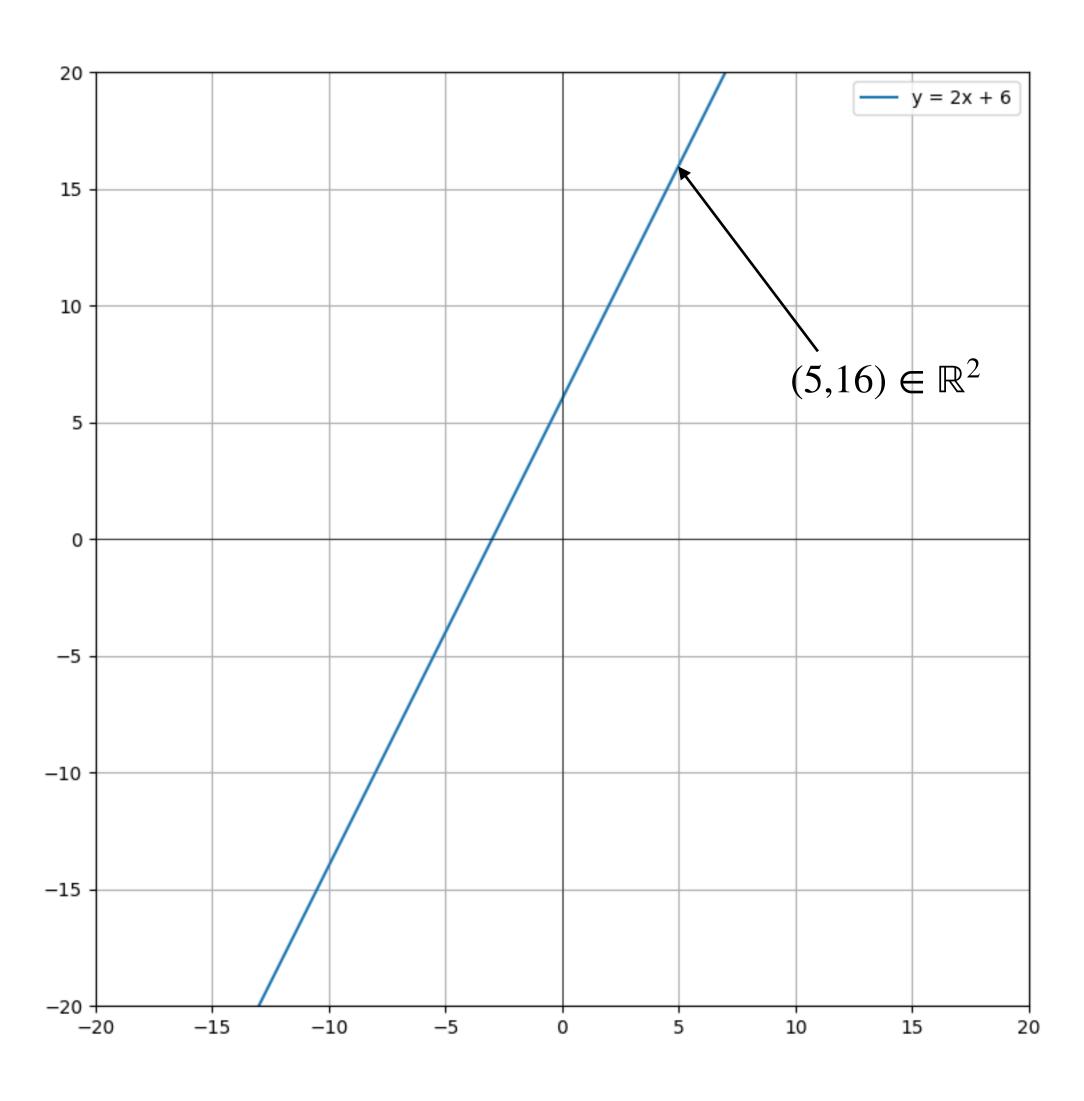
$$ax + by = c$$

x-intercept:  $\frac{c}{a}$ 

y-intercept:  $\frac{c}{h}$ 

What values of x and y make the equality hold?

## Lines (Graph)



$$\{(x,y): (-2)x + y = 6\}$$

#### Lines

slope-int → general

$$(-m)x + y = b$$

 $general \rightarrow slope-int$ 

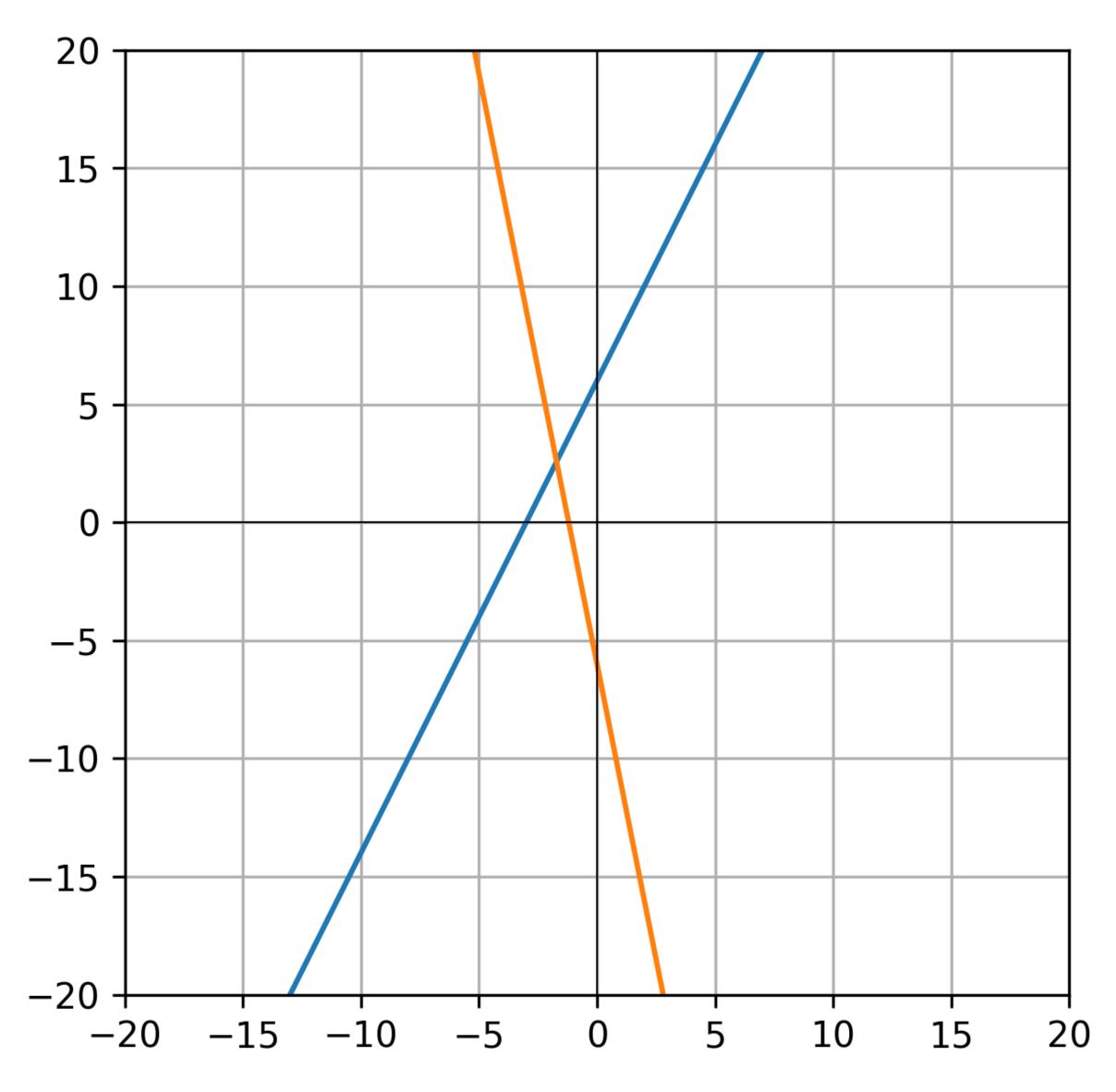
$$y = \left(\frac{-a}{b}\right)x + \frac{c}{b}$$

#### Line Intersection

$$y = m_1 x + b_1$$
$$y = m_2 x + b_2$$

**Question.** Given two lines, where do they intersect?

## Line Intersection (Graph)



## Line Intersection (Alternative)

$$a_1x + b_1y = c_1$$
  
 $a_2x + b_2y = c_2$ 

**Question.** Given two (general form) lines, what values of x and y satisfy **both** equations?

## Line Intersection (Alternative)

$$a_1x + b_1y = c_1$$
  
 $a_2x + b_2y = c_2$ 

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#### **Example: Balancing Chemical Equations**

$$\begin{array}{c} C_6H_{12}O_6 \rightarrow C_2H_5OH + CO_2 \\ \text{Glucose} \end{array}$$

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 Ethanol

We want to know how much ethanol is produced by fermentation (for science)

#### **Example: Balancing Chemical Equations**

$$\begin{array}{c} C_6H_{12}O_6 \rightarrow C_2H_5OH + CO_2 \\ \text{Glucose} \end{array}$$

We want to know how much ethanol is produced by fermentation (for science)

The **number of atoms** has to be *preserved* on each side of the equation

### **Balancing Chemical Equations**

$$\alpha C_6 H_{12} O_6 \rightarrow \beta C_2 H_5 O H + \gamma C O_2$$
Glucose Ethanol

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 Glucose Ethanol

$$6\alpha = 2\beta + \gamma \qquad (C)$$

$$12\alpha = 6\beta \qquad (H)$$

$$6\alpha = \beta + 2\gamma \qquad (O)$$

## **Balancing Chemical Equations**

$$\alpha C_6 H_{12} O_6 \rightarrow \beta C_2 H_5 O H + \gamma C O_2$$
Glucose Ethanol

$$6\alpha - 2\beta - \gamma = 0 \qquad (C)$$

$$12\alpha - 6\beta = 0 \qquad (H)$$

$$6\alpha - \beta - 2\gamma = 0 \qquad (O)$$

## Formal Definitions

**Definition.** A *linear equation* in variables  $x_1, x_2, ..., x_n$  is an equation which can be written in the form

$$a_1x_1 + a_2x_2 + \dots + a_nx_n = b$$

where  $a_1, a_2, ..., a_n, b$  are real numbers ( $\mathbb R$ )

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**Definition.** A *linear equation* in variables  $x_1, x_2, ..., x_n$  is an equation which can be written in the form

coefficients unknowns
$$a_1x_1 + a_2x_2 + \dots + a_nx_n = b$$

where  $a_1, a_2, ..., a_n, b$  are real numbers ( $\mathbb R$ )

## Examples

## Linear Equations (Point sets)

Linear equations describe point sets:

$$\{(s_1, s_2, ..., s_n) \in \mathbb{R}^n : a_1 s_1 + a_2 s_2 + ... + a_n s_n = b\}$$

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$$\{(s_1, s_2, ..., s_n) \in \mathbb{R}^n : a_1 s_1 + a_2 s_2 + ... + a_n s_n = b\}$$

The collections of numbers such that the equation holds

## Examples

If a 2D linear equation is a *line* then a 3D linear equation is...

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Not a line...

If a 2D linear equation is a *line* then a 3D linear equation is...

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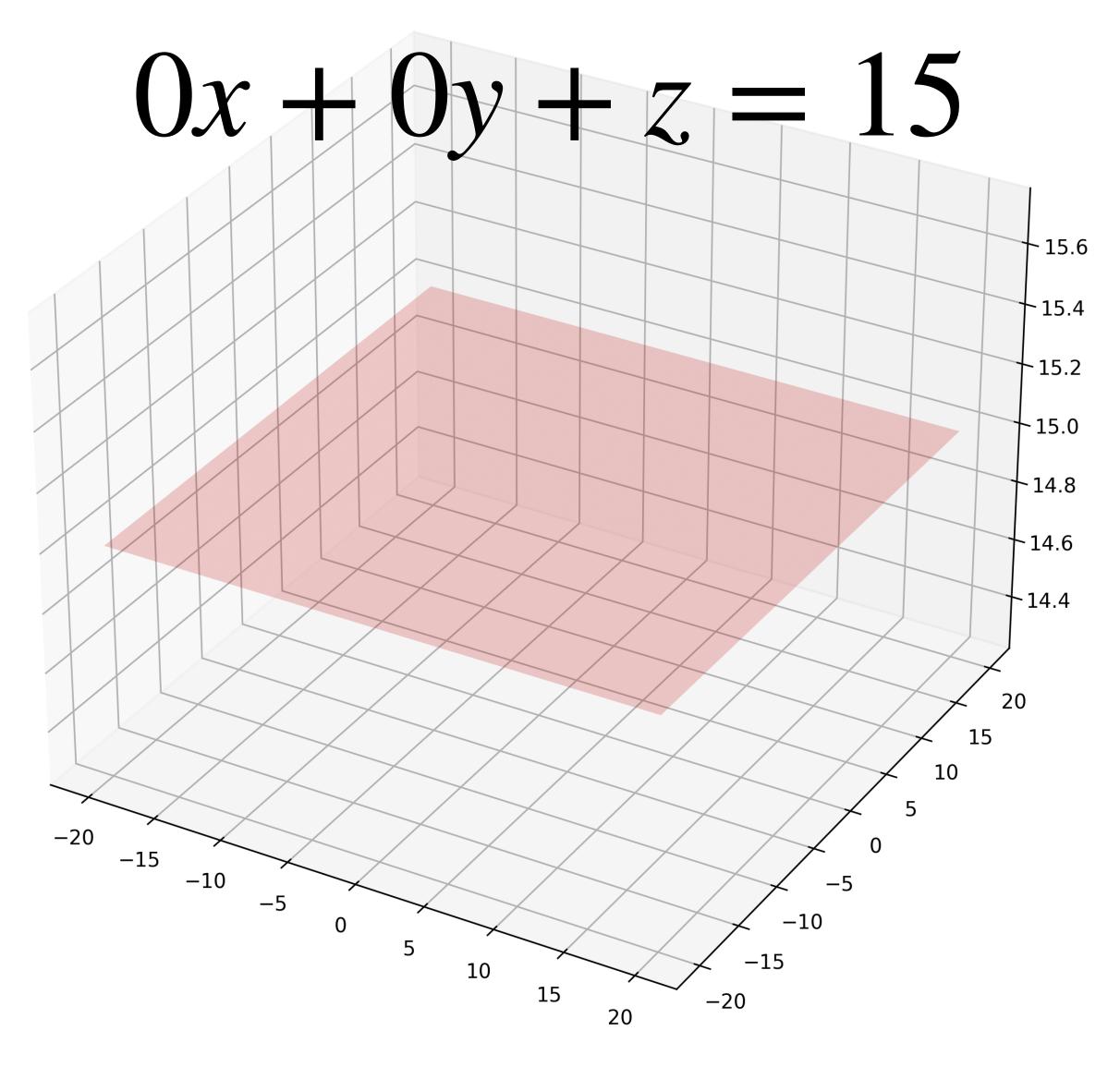
A plane(!)

$$0x + 0y + z = 5$$

This equation describes the solution set

$$\{(x, y, z) : z = 5\}$$

so x and y can be whatever we want

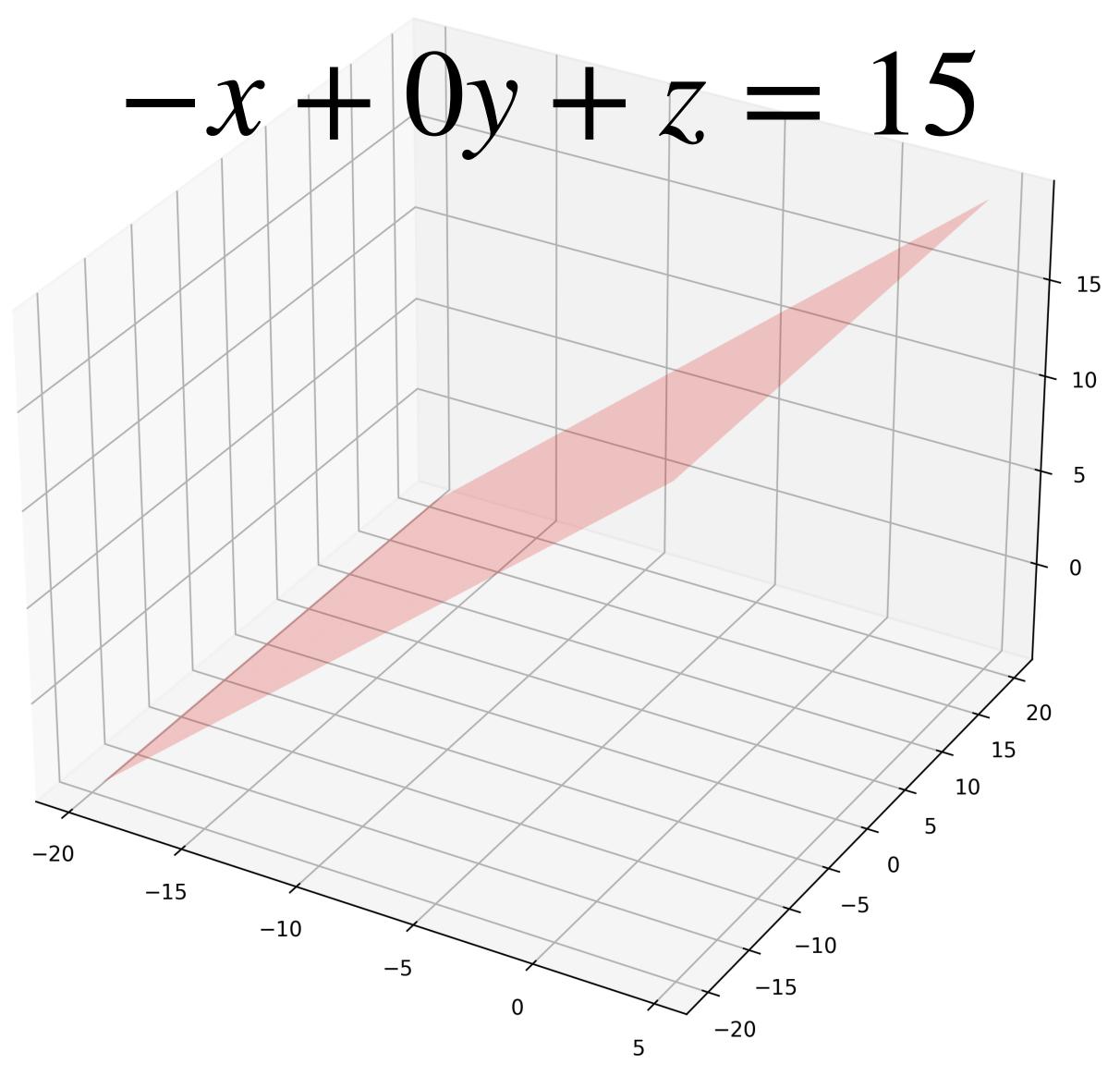


$$-x + 0y + z = 5$$

This equation describes the point set

$$\{(x, y, z) : z = x + 5\}$$

so y can be whatever we want

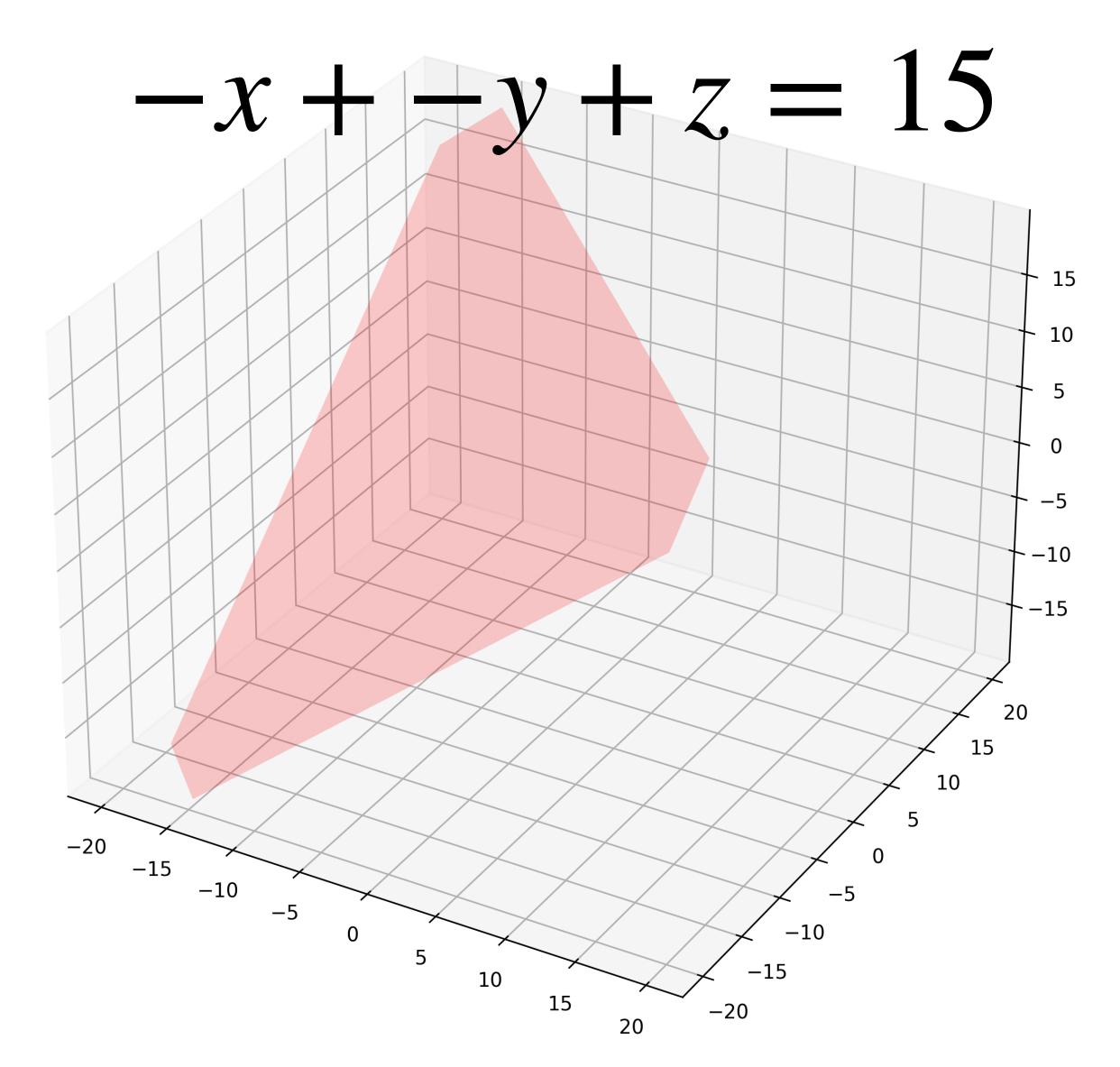


$$-x + -y + z = 5$$

This equation describes the solution set

$$\{(x, y, z) : z = x + y + 5\}$$

so all variables depend on each other



### XYZ-intercepts

$$ax + by + cz = d$$

Just like with lines, we can define

x-intercept: 
$$\frac{d}{a}$$
 y-intercept:  $\frac{d}{b}$  z-intercept:  $\frac{d}{c}$ 

These three points define the plane

### Question

I just lied

Give an example of a linear equation that defines a plane with an x-intercept and y-intercept but no z-intercept

### Answer

After three dimensions, we can't visualize planes

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The point set of a linear equation is called a hyperplane

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The point set of a linear equation is called a hyperplane

<u>Theme of the course:</u> Hyperplanes "behave" like 3D planes in many respects

### Systems of Linear Equations

**Definition.** A *system of linear equations* is just a collection of linear equations *over the same variables* 

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**Definition.** A *system of linear equations* is just a collection of linear equations *over the same variables* 

**Definition.** A *solution* to a system is a point that satisfies all its equations *simultaneously* 

linear system:

$$x + 2y = 1$$

$$-x - y - z = -1$$

$$2x + 6y - z = 1$$

solution: 
$$(3, -1, -1)$$

### System of Linear equations (General-form)

$$a_{11}x_1 + a_{12}x_2 + \dots + a_{1n}x_n = b_1$$

$$a_{21}x_1 + a_{22}x_2 + \dots + a_{2n}x_n = b_2$$

$$\vdots$$

$$a_{m1}x_1 + a_{m2}x_2 + \dots + a_{mn}x_n = b_m$$

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Does a system have a solution?

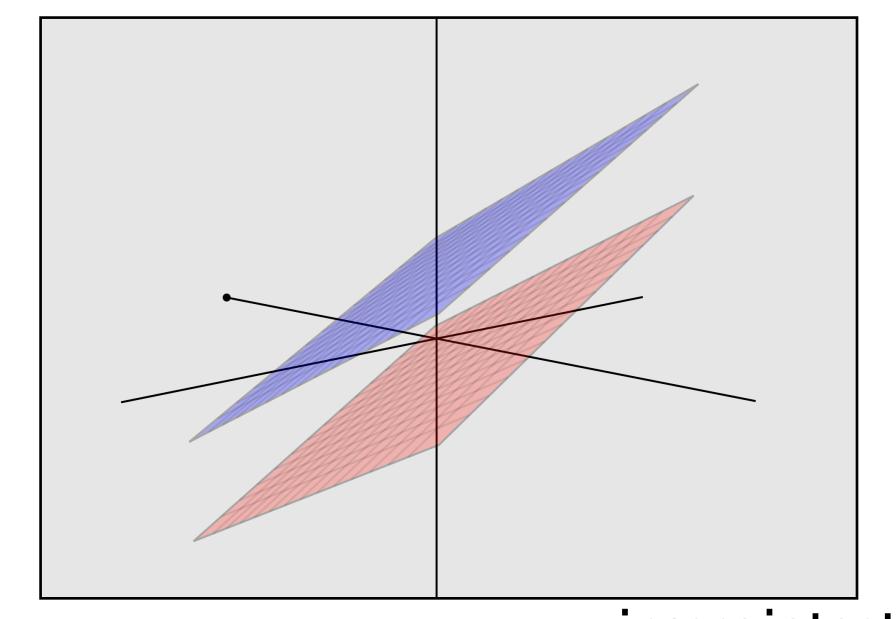
How many solutions are there?

What are its solutions?

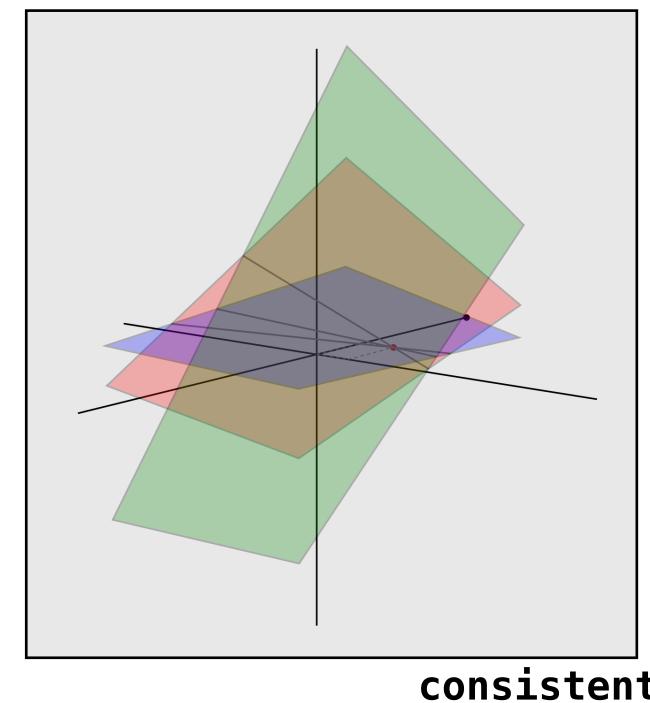
### Consistency

Definition. A system of linear equations is *consistent* if it has a solution

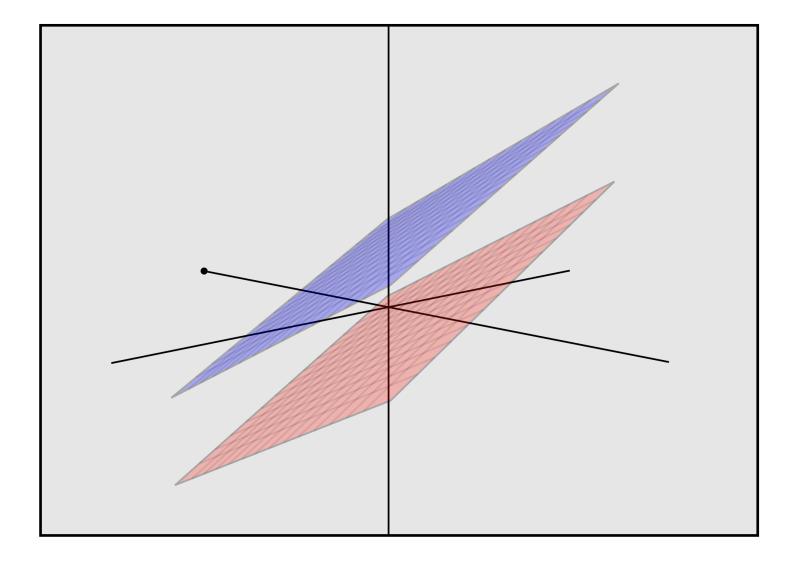
It is inconsistent if it has <u>no</u> solutions



inconsistent



consistent



### Number of Solutions

zero the system is inconsistent

one the system has a unique solution

many the system has infinity solutions

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zero the system is inconsistent

one the system has a unique solution

many the system has infinity solutions

These are the **only** options

$$\begin{bmatrix} 2 & 3 & -8 \\ 0 & 1 & 2 \\ 0 & 2 & 0 \end{bmatrix} \approx \begin{aligned} 2x + 3y &= -8 \\ y &= 2 \\ 2y &= 0 \end{aligned}$$

$$\begin{bmatrix} 2 & 3 & -8 \\ 0 & 1 & 2 \\ 0 & 2 & 0 \end{bmatrix} \approx 2x + 3y = -8$$
$$y = 2$$
$$2y = 0$$

Writing down the unknowns is *tedious* (and more difficult to input into a computer)

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Writing down the unknowns is *tedious* (and more difficult to input into a computer)

We'll write down linear systems as **matrices**, which are just 2D grids of numbers with *fixed* width and height

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Writing down the unknowns is *tedious* (and more difficult to input into a computer)

We'll write down linear systems as **matrices**, which are just 2D grids of numbers with *fixed* width and height

$$a_{11}x_1 + a_{12}x_2 + \dots + a_{1n}x_n = b_1$$

$$a_{21}x_1 + a_{22}x_2 + \dots + a_{2n}x_n = b_2$$

$$\vdots$$

$$a_{m1}x_1 + a_{m2}x_2 + \dots + a_{mn}x_n = b_m$$

$$\begin{bmatrix} a_{11} & a_{12} & \dots & a_{1n} & b_1 \\ a_{21} & a_{22} & \dots & a_{2n} & b_2 \\ \vdots & \vdots & \ddots & \vdots & \vdots \\ a_{m1} & a_{m2} & \dots & a_{mn} & b_m \end{bmatrix}$$

$$\begin{bmatrix} a_{11} & a_{12} & \dots & a_{1n} & b_1 \\ a_{21} & a_{22} & \dots & a_{2n} & b_2 \\ \vdots & \vdots & \ddots & \vdots & \vdots \\ a_{m1} & a_{m2} & \dots & a_{mn} & b_m \end{bmatrix}$$

augmented matrix

$$\begin{bmatrix} a_{11} & a_{12} & \dots & a_{1n} \\ a_{21} & a_{22} & \dots & a_{2n} \\ \vdots & \vdots & \ddots & \vdots \\ a_{m1} & a_{m2} & \dots & a_{mn} \end{bmatrix}$$

coefficient matrix

$$6\alpha - 2\beta - \gamma = 0 \qquad (C)$$

$$12\alpha - 6\beta = 0 \qquad (H)$$

$$6\alpha - \beta - 2\gamma = 0 \qquad (O)$$

$$\begin{bmatrix} 6 & -2 & -1 & 0 \\ 12 & -6 & 0 & 0 \\ 6 & -1 & -2 & 0 \end{bmatrix}$$

# Solving Linear Systems

### Solving Systems with Two Variables

$$2x + 3y = -6$$

$$4x - 5y = 10$$

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The Approach

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$$4x - 5y = 10$$

#### The Approach

Solve for x in terms of y in EQ1

$$2x + 3y = -6$$

$$4x - 5y = 10$$

### The Approach

Solve for x in terms of y in EQ1

$$2x + 3y = -6$$
  
 $4x - 5y = 10$ 

### The Approach

Solve for x in terms of y in EQ1

Substitute result for x in EQ2 and solve for y

$$2x = (-3)y - 6$$
$$4x - 5y = 10$$

### The Approach

Solve for x in terms of y in EQ1

Substitute result for x in EQ2 and solve for y

$$x = (-3/2)y - 3$$
$$4x - 5y = 10$$

### The Approach

Solve for x in terms of y in EQ1

Substitute result for x in EQ2 and solve for y

$$x = (-3/2)y - 3$$
$$4((-3/2)y - 3) - 5y = 10$$

### The Approach

Solve for x in terms of y in EQ1

Substitute result for x in EQ2 and solve for y

$$x = (-3/2)y - 3$$
$$-6y - 12 - 5y = 10$$

### The Approach

Solve for x in terms of y in EQ1

Substitute result for x in EQ2 and solve for y

$$x = (-3/2)y - 3$$
$$-11y = 22$$

### The Approach

Solve for x in terms of y in EQ1

Substitute result for x in EQ2 and solve for y

$$x = (-3/2)y - 3$$
$$y = -2$$

### The Approach

Solve for x in terms of y in EQ1

Substitute result for x in EQ2 and solve for y

$$x = (-3/2)(-2) - 3$$
$$y = -2$$

### The Approach

Solve for x in terms of y in EQ1

Substitute result for x in EQ2 and solve for y

$$x = 3 - 3$$

$$y = -2$$

### The Approach

Solve for x in terms of y in EQ1

Substitute result for x in EQ2 and solve for y

$$x = 0$$

$$y = -2$$

### The Approach

Solve for x in terms of y in EQ1

Substitute result for x in EQ2 and solve for y

# another perspective...

$$2x + 3y = -6$$
  
 $4x - 5y = 10$ 

### The Approach

Eliminate x from the EQ2 and solve for yEliminate y from EQ1 and solve for x

# Let's work through it

$$2x + 3y = -6$$
$$4x - 5y = 10$$

$$x - 2y + z = 5$$
$$2y - 8z = -4$$
$$6x + 5y + 9z = -4$$

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$$x - 2y + z = 5$$
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#### The Approach

Eliminate x from the EQ2 and EQ3

$$x - 2y + z = 5$$
$$2y - 8z = -4$$
$$6x + 5y + 9z = -4$$

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#### The Approach

Eliminate x from the EQ2 and EQ3

Eliminate y from EQ3

Eliminate z from EQ2 and EQ1

$$x - 2y + z = 5$$
$$2y - 8z = -4$$
$$6x + 5y + 9z = -4$$

#### The Approach

Eliminate x from the EQ2 and EQ3

Eliminate y from EQ3

Eliminate z from EQ2 and EQ1

$$x - 2y + z = 5$$
$$2y - 8z = -4$$
$$6x + 5y + 9z = -4$$

#### The Approach

Eliminate x from the EQ2 and EQ3

Eliminate y from EQ3

Eliminate z from EQ2 and EQ1

Eliminate y from EQ1

Elimination

Back-Substitution

$$x - 2y + z = 5$$

$$2y - 8z = -4$$

$$6(5 + 2y - z) + 5y + 9z = -4$$

```
Eliminate x from the EQ2 and EQ3

Eliminate y from EQ3

Eliminate z from EQ2 and EQ1

Eliminate y from EQ1
```

$$x - 2y + z = 5$$
$$2y - 8z = -4$$
$$30 + 12y - 6z + 5y + 9z = -4$$

```
Eliminate x from the EQ2 and EQ3

Eliminate y from EQ3

Eliminate z from EQ2 and EQ1

Eliminate y from EQ1
```

$$x - 2y + z = 5$$
$$2y - 8z = -4$$
$$17y + 3z = -34$$

```
Eliminate x from the EQ2 and EQ3
Eliminate y from EQ3
Eliminate z from EQ2 and EQ1
Eliminate y from EQ1
```

$$x - 2y + z = 5$$

$$2y - 8z = -4$$

$$17(8z - 4)/2 + 3z = -34$$

#### The Approach

```
Eliminate x from the EQ2 and EQ3
```

#### Eliminate y from EQ3

Eliminate z from EQ2 and EQ1

$$x - 2y + z = 5$$
$$2y - 8z = -4$$
$$17(4z - 2) - 3z = -34$$

#### The Approach

Eliminate x from the EQ2 and EQ3

#### Eliminate y from EQ3

Eliminate z from EQ2 and EQ1

$$x - 2y + z = 5$$
$$2y - 8z = -4$$
$$68z - 34 - 3z = 26$$

#### The Approach

Eliminate x from the EQ2 and EQ3

#### Eliminate y from EQ3

Eliminate z from EQ2 and EQ1

$$x - 2y + z = 5$$
  
 $2y - 8z = -4$   
 $71z = 0$ 

#### The Approach

Eliminate x from the EQ2 and EQ3

#### Eliminate y from EQ3

Eliminate z from EQ2 and EQ1

$$x - 2y + 0 = 5$$
  
 $2y - 8(0) = -4$   
 $z = 0$ 

```
Eliminate x from the EQ2 and EQ3
Eliminate y from EQ3
Eliminate z from EQ2 and EQ1
Eliminate y from EQ1
```

$$x - 2y = 5$$

$$2y = -4$$

$$z = 0$$

```
Eliminate x from the EQ2 and EQ3

Eliminate y from EQ3

Eliminate z from EQ2 and EQ1

Eliminate y from EQ1
```

$$x - 2(-2) = 5$$

$$y = -2$$

$$z = 0$$

```
Eliminate x from the EQ2 and EQ3
Eliminate y from EQ3
Eliminate z from EQ2 and EQ1
Eliminate y from EQ1
```

$$x = 1$$

$$y = -2$$

$$z = 0$$

```
Eliminate x from the EQ2 and EQ3
Eliminate y from EQ3
Eliminate z from EQ2 and EQ1
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$$x = 1$$

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$$z = 0$$

#### The Approach

```
Eliminate x from the EQ2 and EQ3
Eliminate y from EQ3
Eliminate z from EQ2 and EQ1
Eliminate y from EQ1
```

Elimination

Back-Substitution

# Verifying the Solution

$$x - 2y + z = 5$$
$$2y - 8z = -4$$
$$6x + 5y + 9z = -4$$

$$x = 1$$

$$y = -2$$

$$z = 0$$

### Solving Systems as Matrices

How does this look with matrices?

**Observation.** Each intermediate step of elimination and back-substitution gives us a new linear system with the same solutions

### Solving Systems as Matrices

How does this look with matrices?

**Observation.** Each intermediate step of elimination and back-substitution gives us a new linear system with the same solutions

Can we represent these intermediate steps as operations on matrices?

## Let's look back at this...

$$2x + 3y = -6$$
$$4x - 5y = 10$$

## Elementary Row Operations

scaling multiply a row by a number

replacement add a multiple of one row to

another

interchange switch two rows

## Elementary Row Operations

scaling multiply a row by a number

replacement add a multiple of one row to

another

interchange switch two rows

These operations don't change the solutions

# Scaling Example

$$2x + 3y = -6$$
$$4x - 5y = 10$$

$$R_1 \leftarrow 2R_1$$

$$4x + 6y = -12$$
$$4x - 5y = 10$$

$$\begin{bmatrix} 2 & 3 & -6 \\ 4 & -5 & 10 \end{bmatrix}$$

$$\begin{bmatrix} 4 & 6 & -12 \\ 4 & -5 & 10 \end{bmatrix}$$

## Replacement Example

$$2x + 3y = -6$$
$$4x - 5y = 10$$

$$R_2 \leftarrow R_2 + R_1$$

$$2x + 3y = -6$$
$$6x - 2y = 4$$

$$\begin{bmatrix} 2 & 3 & -6 \\ 4 & -5 & 10 \end{bmatrix}$$

$$\begin{bmatrix} 2 & 3 & -6 \\ 6 & -2 & 4 \end{bmatrix}$$

## Interchange Example

$$2x + 3y = -6$$
$$4x - 5y = 10$$

$$R_1 \leftrightarrow R_2$$

$$4x - 5y = 10$$
$$2x + 3y = -6$$

$$\begin{bmatrix} 2 & 3 & -6 \\ 4 & -5 & 10 \end{bmatrix}$$

$$\begin{bmatrix} 4 & -5 & 10 \\ 2 & 3 & -6 \end{bmatrix}$$

$$\begin{bmatrix} 2 & 3 & -6 \\ 4 & -5 & 10 \end{bmatrix}$$

$$R_2 \leftarrow R_2 - 2R_1$$

$$\begin{bmatrix} 2 & 3 & -6 \\ 0 & -11 & 22 \end{bmatrix}$$

$$\begin{bmatrix} 2 & 3 & -6 \\ 4 & -5 & 10 \end{bmatrix}$$

$$R_2 \leftarrow R_2 - 2R_1$$



$$R_2 \leftarrow R_2/(-11)$$



$$\begin{bmatrix} 2 & 3 & -6 \\ 0 & -11 & 22 \end{bmatrix}$$

$$\begin{bmatrix} 2 & 3 & -6 \\ 0 & 1 & -2 \end{bmatrix}$$

$$R_2 \leftarrow R_2 - 2R_1$$



$$R_2 \leftarrow R_2/(-11)$$



$$R_1 \leftarrow R_1 - 3R_2$$



$$\begin{bmatrix} 2 & 3 & -6 \\ 0 & -11 & 22 \end{bmatrix}$$

$$\begin{bmatrix} 2 & 3 & -6 \\ 0 & 1 & -2 \end{bmatrix}$$

$$\begin{bmatrix} 2 & 0 & 0 \\ 0 & 1 & -2 \end{bmatrix}$$

$$\begin{bmatrix} 2 & 3 & -6 \\ 4 & -5 & 10 \end{bmatrix}$$

$$R_2 \leftarrow R_2 - 2R_1$$



$$R_2 \leftarrow R_2/(-11)$$



$$R_1 \leftarrow R_1 - 3R_2$$



$$R_1 \leftarrow R_1/2$$



$$\begin{bmatrix} 2 & 3 & -6 \\ 0 & -11 & 22 \end{bmatrix}$$

$$\begin{bmatrix} 2 & 3 & -6 \\ 0 & 1 & -2 \end{bmatrix}$$

$$\begin{bmatrix} 2 & 0 & 0 \\ 0 & 1 & -2 \end{bmatrix}$$

$$\begin{bmatrix} 1 & 0 & 0 \\ 0 & 1 & -2 \end{bmatrix}$$

$$R_2 \leftarrow R_2 - 2R_1$$

$$R_2 \leftarrow R_2/(-11)$$

$$R_1 \leftarrow R_1 - 3R_2$$

$$R_1 \leftarrow R_1/2$$

$$\begin{bmatrix} 2 & 3 & -6 \\ 4 & -5 & 10 \end{bmatrix}$$



$$\begin{bmatrix} 1 & 0 & 0 \\ 0 & 1 & 2 \end{bmatrix}$$

$$R_2 \leftarrow R_2 - 2R_1$$
 elimination  $R_2 \leftarrow R_2/(-11)$   $R_1 \leftarrow R_1 - 3R_2$   $R_1 \leftarrow R_1/2$  substitution

$$\begin{bmatrix} 2 & 3 & -6 \\ 4 & -5 & 10 \end{bmatrix}$$



$$\begin{bmatrix} 1 & 0 & 0 \\ 0 & 1 & 2 \end{bmatrix}$$

## Row Equivalence

**Definition.** Two matrices are *row equivalent* if one can be transformed into the other by a sequence of row operations

$$\begin{bmatrix} 2 & 3 & -6 \\ 4 & -5 & 10 \end{bmatrix}$$



$$\begin{bmatrix} 1 & 0 & 0 \\ 0 & 1 & -2 \end{bmatrix}$$

## Row Equivalence

**Definition.** Two matrices are *row equivalent* if one can be transformed into the other by a sequence of row operations

$$\begin{bmatrix} 2 & 3 & -6 \\ 4 & -5 & 10 \end{bmatrix} \qquad \qquad \qquad \qquad \qquad \begin{bmatrix} 1 & 0 & 0 \\ 0 & 1 & -2 \end{bmatrix}$$

We can compute solutions by sequence of row operations

## Question

How do we know when we're done? What is the "target" matrix?

We'll get to that next time...

## Summary

Linear equations define <u>hyperplanes</u>

Systems of linear equations may or may not have <u>solutions</u>

Linear systems can be represented as <a href="matrices">matrices</a>, which makes them more convenient to solve